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| FORM PGR3 | TRANSFER OF Doctoral CANDIDATURE |
| ***PLEASE NOTE*** | * ***This form must be typed. Handwritten forms will not be accepted.***
* ***Double clicking on the check boxes enables you to change them from not-checked to checked.***
* ***This report is to be completed by a student transferring doctoral candidature to AUT from another institution and must be forwarded through the appropriate faculty postgraduate committee to the University Postgraduate Board. If supervisors from the previous institution and AUT are different, further information may be required.***
* ***Doctoral students will be enrolled in one of four intakes throughout the year (1st February, 1st May, 1st July or 1st October). Doctoral students in the Faculty of Design and Creative Technologies are only accepted into intakes 1st February or 1st July)***
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| proposed programme of study |
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| **Student ID No** |  | **Name** |  |
| **Faculty** | Choose a Faculty | **School/Dept** |  |
| **Ethnicity** |  | **Residency** | **Citizen/PR** [ ]  | **International** [ ]  |
| **Working Title** |  |
| **Proposed start date to align with an intake date** | **Choose an intake** | **Expected Submission date** |  |
| **Enrolment Status** | **Provisional (PGR2)**  | [ ]  | **Confirmed (PGR9)** | [ ]  |
| **Please supply a copy of the AUT equivalent of these approved by your institution** |
| **Will this research involve working with children?**If yes, see note below | **Yes** [ ]  | **No** [ ]   |
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| previous institution information |
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| **Name of programme** |  | **Institution** |  |
| **Period of Candidature** | **From** |  | **To** |  |
| **Mode of Study** | **Full-time**  | [ ]  | **Part-time**  | [ ]  |
| **Primary Supervisor** |  |  |
| **Secondary Supervisor** |  |  |
| **Reason for Transfer** |  |
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| scholarships |
|  |
| **Do you hold any scholarships/awards/sponsorship by an external organisation that will transfer with candidature?** | **Yes** [ ]  |  **No** [ ]  |
| **If yes, provide details** |  |
| Please indicate where you will be based during your studies: (This does not include trips for data collection) |
| **Campus:** If based in Auckland | Choose a Campus | **City:** |  | **Country:** |  |
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| Language |
| The University supports theses written in English or Te Reo Māori. Sign language is an official language of New Zealand, however theses must be written. Will your research be presented in: |
|  | English | [ ]  |
|  | Te Reo Māori | [ ]  |

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| ADDITIONAL INFORMATION |

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| * ***Expected Completion:*** *doctoral students are normally expected to complete within 3 years; applications for extensions will be considered at the end of this period*
* ***External parties:*** *when working with external parties, contractual arrangements should be in place to clarify your rights and responsibilities. The University Research Office is responsible for such contracts and is available to assist and answer questions.*
* ***Conflicts of Interest:*** *Please refer to the current version of the Postgraduate Handbook. This section establishes a set of questions to help identify any potential conflicts. If a conflict is identified, the supervisory agreement should outline how this will be managed.*
* ***Confidential Material:*** *If the subject matter of the research is confidential and may require an embargo once completed this should normally be identified when the PGR09, full research proposal, is completed. Please refer to the current version of the Postgraduate Handbook. Your primary supervisor must supply a justification for confidentiality to the University Postgraduate Board.*
* ***Intellectual Property:*** *Please refer to the current version of the Postgraduate Handbook. If you or your supervisor have reason to believe that Intellectual Property implications will arise out of independent research, your primary supervisor is responsible for discussing this with you and liaising with the University’s commercialisation arm – AUT Enterprises Ltd.*
* ***Confirmation of Candidature:*** *Students are normally expected to submit their PGR9 (Full research proposal) within 12 months from the date of first enrolment.*
* ***Ethics Approval:*** *with the exception of pilot studies, ethics approval is not required until the PGR9 stage.*
* ***Vulnerable Children’s Act (2014):*** *A student requiring sustained contact with a child or children will need to contact their primary supervisor and Faculty Associate Dean (Postgraduate) for advice on undertaking appropriate police checks.*
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| resources and budget |

*Identify any resources that you anticipate you will need over and above those provided by AUT. Examples are: access to libraries in overseas locations, air travel, accommodation, special equipment. Provide details.*

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| progress to-Date |

*Outline any progress to date. Attach any progress reports provided to your previous institution plus a succinct one-page summary of what the research is about.*

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| proposed timetable for next six months |

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| supervisor’s comments |

*Comments including any issues to be addressed*

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| declaration by applicant |
| I declare that the information provided by me in this application is true and complete. I recognise that it is my responsibility to provide all necessary documentation to support my application and I authorise Auckland University of Technology, where necessary, to obtain further relevant documentation and to verify my qualifications as detailed in this application. I acknowledge that AUT reserves the right to vary or reverse any decision regarding admission to candidature on the basis of this application. I have read and understand the conditions of candidature outlined in the current Postgraduate Handbook and am prepared to accept them in full. |
| **Applicant’s signature**: |  | **Date** |  |
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| SUPERVISORS’ SUPPORT STATEMENTS |
| **Please comment on the feasibility of this project as a Doctorate.** |
| **Please comment on the student’s ability to complete a Doctorate within the normal timeframes. (normally 3 years)** |
| **Please identify the potential resources and budget implications for this project.** |
| SUPERVISORS’ DECLARATION  |
| As a supervisor, I am committed to ensure that adequate and appropriate supervision will be is provided according to University policy and any/all issues relating to conflict of interest with regards to the student and/or the project will be declared and managed appropriately. |
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| **Primary Supervisor** |  | **Supervised Doctorate to Completion** | **Yes** [ ]  | **No** [ ]  |
| **Signature** |  | **Date** |  |
| **Second Supervisor** |  | **Supervised Doctorate to Completion** | **Yes** [ ]  | **No** [ ]  |
| **Signature** |  | **Date** |  |
| **Additional Supervisor** |  | **Supervised Doctorate to Completion** | **Yes** [ ]  | **No** [ ]  |
| **Signature** |  | **Date** |  |
| **Role of****Additional Supervisor** |  |
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| ENTRY CRITERIA (OFFICE USE ONLY) |
|  |  |
| **Admitting Qualification** |  | **Institution**  |  | **Country** |  |
| **Length (years)** |  | **Year Granted** |  | **NARIC** |  **[ ]**  |
| **Honours (equivalent)** |  | **Research Methods** | **Yes** [ ]  | **No** [ ]  | Points/Total |  |
| **Research Component** | **Yes** [ ]  | **No** [ ]  | Points/Total |  |
| **Is the Research Component of the admitting qualification equivalent to 30 points in a bachelor with honours programme or 45 points in a master’s programme? (If unsure, please refer to CRM entry criteria assessment or your faculty Postgraduate Office for guidance).** | **Yes** [ ]  | **No** [ ]  |  |  |
| If it is not, please indicate that the primary supervisor has read/reviewed the research undertaken in the admitting qualification and deems that it is of suitable standard, and shows equivalence to advanced standing in research for entry to the AUT Doctoral programme: | **Yes** [ ]  |
| **Other Qualifications** |  |
| **Additional** **Info/Consideration** |  |
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| **English Language** | Is English the applicant’s first language | **Yes** [ ]  | **No** [ ]  |
| **Evidence of English Language** | IELTS **[ ]**  | TOEFL **[ ]**  | **[ ]** Previous PG Study in English |
|  | Other **[ ]** (Supply Evidence/Justification) |  |
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| RESOURCE SIGN OFF  |
| **HOD/HOS (name)** |  | **Confirm Resources** **Available for this Project** | **Yes** [ ]  | **No** [ ]  |
| **Signature** |  | **Date** |  |
| **Name of HOD/HOS of Secondary Supervisor** when supervisor is located in a different department/ school/faculty |  | **Confirm Supervision****Available for this Project** | **Yes** [ ]  |  **No [ ]**  |
| **Signature** |  | **Date** |  |  |
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| FACULTY APPROVAL |
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| **Recommendation** | That this applicant be admitted to the above AUT Doctoral Programme |
| **Condition(s)** |  |
| **Associate Dean****(Signature)** |  | **Faculty PGC Approval Date** |  |
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| UNIVERSITY POSTGRADUATE BOARD APPROVAL |
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| **Approval** | I approve admission to the above AUT doctoral programme with any conditions as listed above |
| **Name**  |  | **Title** |  |
| **Signature** |  | **UPB Approval Date** |  |
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