

AGENDA PAPERS

NOTICE OF SPECIAL COUNCIL MEETING

**Notice is Hereby Given that a Special Meeting of the Council of
Auckland University of Technology will be held:**

online in Teams

On: Friday, 2 September 2022

FROM: 4.00 – 5.00 PM

**Dr Andrea Vujnovich
COUNCIL SECRETARY**



AUCKLAND UNIVERSITY OF TECHNOLOGY COUNCIL

Chancellor

Rob Campbell
Appointed by Council

Pro-Chancellor

Sussan Turner
Appointed by the Minister of Education

Members

Professor Damon Salesa
Vice-Chancellor of the Auckland University of Technology

Peter Treacy
Appointed by the Minister of Education

Janine Smith
Appointed by the Minister of Education

Sina Wendt
Appointed by the Minister of Education

Sara Youssef
Appointed by the Auckland University of Technology Student Association

Professor Edwina Pio
Elected by the Academic Staff of the Auckland University of Technology

Corrie Cook
Elected by the Professional Staff of the Auckland University of Technology

Renata Blair
Appointed by Council

Leo Foliaki
Appointed by Council

Marama Royal
Appointed by Council

PART A

OPEN AGENDA

SPECIAL COUNCIL MEETING PART A OPEN AGENDA



Special Council Meeting Agenda Part A (Open Agenda)
Monday 2 September 2022 at 4.00 pm to be held online in Teams

Karakia		
Mihi – Chancellor		
1. WELCOME, APOLOGIES AND APPROVAL OF AGENDA	The Chancellor moves that apologies, from Janine Smith and Peter Treacy be noted and that Council approve the assignment of agenda items to Part A and Part B of the Council agenda.	
2. DECLARATION/RECORDING OF ANY INTERESTS	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The Chancellor moves that the declarations, if any be noted and the action taken be endorsed .
3. CONSTITUTIONAL MATTER, CONFERMENT OF DEGREES AND POLICY	No report	
4. COUNCIL MEETINGS	4.1 Council Draft Minutes Part A, 25 July 2022 4.2 Matters arising from the Minutes, 25 July 2022 not elsewhere on the Agenda	The Chancellor moves that the Minutes Part A, 25 July 2022 be taken as read and confirmed .
5. VICE-CHANCELLOR'S REPORT	5. Vice-Chancellor's Report	The Chancellor moves that the Vice-Chancellor's Report be received.
6. CHANCELLOR'S REPORT	No report	
7. REPORTS FROM BOARDS, COMMITTEES AND WORKING GROUPS TO COUNCIL	No reports	
8. HEALTH, SAFETY AND WELLBEING REPORTS	No report	
9. TE TIRITI	No Report	
10. STRATEGIC REPORTS	No Report	

11. STUDENT SUCCESS	No Report	
12. CORRESPONDENCE REFERRED BY THE CHANCELLOR	No correspondence	
13. OTHER MATTERS FOR DECISION OR NOTING	13.1 Update from AUTSA	
14. ITEMS MOVED FROM PART B OF THE AGENDA TO PART A AND GENERAL BUSINESS		

PUBLIC EXCLUSIONS

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure. This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting **AND THAT** A Vujnovich, L Williams, B Bundy and W Lawson, be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under section 9 of the OIA identified below.	Ground(s) under section 48(1) LGOIMA for the passing of this resolution
15. Council Minutes Part B of the meeting held on 25 July 2022	s 9(2)(b)(ii), s 9(2)(i), 9(2)(k)	7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)
22. Other Business	s 9(2)(b)(ii), s 9(2)(g)(i) and s 9(2)(k)	7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)

PART A	4.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **MINUTES PART A OF THE COUNCIL MEETING HELD ON 25 JULY 2022**

Date: 27 July 2022

Author: A Vujnovich

RECOMMENDATION:

THAT THE MINUTES PART A OF THE COUNCIL MEETING HELD ON 25 JULY 2022 BE CONFIRMED AS A TRUE AND CORRECT RECORD.

CONFIDENTIAL



MINUTES

Council Meeting

Meeting held Monday 25 July 2022 at 3.30 pm
Online in Teams

PRESENT:	R Campbell (Chair); D Salesa; E Pio; J Smith; R Blair; P Treacy; S Youssef; L Foliaki, M Royal, S Wendt and C Cook.
SECRETARY:	A Vujnovich, Council Secretary
IN ATTENDANCE:	For item 10: W Fraser (AVC Pacific Development) M Collins Manager, Projects and Special Initiatives OPA. For Item 12: B Bundy (Group Director, People & Culture) and D Pinchen (Director, Health Safety & Wellbeing). For Part A: J Hu (Debate Magazine)
APOLOGY:	S Turner

PART A OPEN AGENDA

Renata Blair opened the meeting with a Karakia and the Chancellor greeted Council members with a Mihi.

1 WELCOME, APOLOGIES AND APPROVAL OF AGENDA ITEMS

THAT AN APOLOGY FOR ABSENCE FROM SUSSAN TURNER, VALANCE SMITH, LYLE WILLIAMS AND WENDY LAWSON BE RECEIVED.

Resolved

THAT THE ASSIGNMENT OF AGENDA ITEMS TO PART A AND PART B OF THE COUNCIL AGENDA BE APPROVED.

2 DECLARATION/RECORDING OF INTERESTS

The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.

Resolved

THAT THE DECLARATIONS BE NOTED AND THE ACTIONS TAKEN BE ENDORSED.

3 CONSTITUTIONAL MATTER, CONFIRMATION OF DEGREES AND POLICY

4 COUNCIL MEETINGS AND MINUTES OF PREVIOUS MEETING(S)

4.1 Minutes of the previous meeting

Received

Draft Minutes (Part A), of the Special Council meeting held on 30 May 2022

Resolved

THAT THE MINUTES (PART A) OF THE SPECIAL COUNCIL MEETING HELD ON 30 MAY 2022 BE TAKEN AS READ AND CONFIRMED AS A TRUE AND CORRECT RECORD WITH THE AMENDMENTS THAT SINA WENDT WAS IN ATTENDANCE AND 'WAIROA' BE AMENDED TO 'WAIRUA'

4.2 Matters Arising

There were no matters arising from the Minutes (Part A), not elsewhere on the agenda.

5 VICE CHANCELLOR'S REPORT

Received

Vice Chancellor's Report

Noted in discussion

Te Tiriti o Waitangi

- Executive has undertaken a one-day workshop in Te Tiriti and the content of the workshop will be shared with the Chancellor. The workshop may be suitable for Council members, however as Council may have members at different levels of understanding of Te Tiriti, an alternative provider may be suitable.
- The upskilling of Council members in Te Tiriti should be undertaken over the next 12-24 months and Marama Royal and Renata Blair can assist and support Council with this.

EFTS

- The domestic and international EFTS are less than in 2021 and are at 2019 levels. This means the TEC requirement of having 99% of projected EFTS enrolment, may not be met. The reduction in the EFTS numbers is similar to that experienced by other New Zealand universities. The international EFTS are, however, better than expected and Immigration NZ will commence processing study visas next week.

Resolved

THAT THE VICE-CHANCELLOR'S REPORT TO COUNCIL BE RECEIVED.

6 CHANCELLOR'S REPORT

No report

7 PART A – REPORTS FROM BOARD, COMMITTEES AND WORKING GROUPS OF COUNCIL

7.1 Academic Board Reports and Minutes, 30 May 2022

Received

Academic Board Reports and Minutes, 30 May 2022

Resolved

THAT THE ACADEMIC BOARD REPORTS AND MINUTES OF THE MEETING HELD ON 30 MAY 2022 BE RECEIVED.

7.2 People and Culture Committee Draft Minutes, 18 July 2022

Received

Draft Minutes of the People and Culture Committee meeting held on 18 July 2022

Noted in discussion

- It was noted that Marama Royal attended the meeting and was not included in the draft minutes. This oversight will be corrected.
- Management, on the advice of the Committee, is working toward a style of reporting including the data that is useful to the Committee and can be interpreted.
- Gender identity has been sought from Council for gender reporting by the University.
- The Committee is conscious of the need to be critical and not to receive information without questioning the content to support the work of the CCTG and respond to the recommendations of the Review.
- Proactive strategies for promotion are being pursued to improve the progression of women, particularly ethnic women. Management is also working an ethnicity pay gap plan and the Committee will identify the measurements for monitoring.
- Health and Safety walks will be instituted before each meeting and referred to in the agenda minutes. Meetings will commence at 11.30 to allow for the Health and Safety walk.

Resolved

THAT THE DRAFT MINUTES OF THE PEOPLE AND CULTURE COMMITTEE HELD ON 18 JULY 2022 BE RECEIVED.

8 HEALTH, SAFETY AND WELLBEING REPORTS

8.1 Health, Safety and Wellbeing Report, May and June 2022

Received

Health, Safety and Wellbeing Report, May and June 2022

Noted in discussion

- A gas explosion has occurred at another university and a student was found deceased, in circumstances that were not suspicious.
- Estates have followed up regarding an incident involving the fall of a Council member and have erected a sign. Estates are also working with the landlord to improve the surface on the floor to reduce the slip hazard.

Resolved

THAT THE HEALTH, SAFETY AND WELLBEING REPORT FOR MAY AND JUNE 2022 BE RECEIVED

9 TE TIRITI REPORT

Noted in discussion

- A draft strategy will be available for Council in October.
- Iwi engagement is an integral part of developing the strategy and this has commenced with meetings held with Ngāti Pāoa and meetings planned with Tainui.
- The consultation is in full acknowledgement of the significance of AUT's relationship with Ngāti Whātua Ōrākei. Renata Blair and Marama Royal have offered to assist AUT in exploring a Treaty based relationship.

10 STRATEGIC REPORTS

10.1 Report from Assistant Vice Chancellor Water Fraser (Pacific Advancement) and Michael Collins Manager Projects and Special Initiatives - OPA

Received

Report from the Assistant Vice Chancellor Pacific Advancement, Dr Walter Fraser and Michael Collins Manager Projects and Special Initiatives - OPA

Noted in discussion

- A set of indicators and metrics are being developed in a broad range of areas for future Council reporting.

Time for Greatness Programme

- In 2020, Council met with a group of secondary school leavers who expressed anxiety for their future in the post COVID world and highlighted that many secondary students had left school to support their families. Others are combining work with school study. Learning has been disrupted for these students and the burden of the pandemic has fallen disproportionately on students from high deprivation backgrounds.
- A bold and innovative approach was implemented by OPA to assist students to approach challenges presented by COVID and to explore solutions that would work in their communities.
- The issues the student identified as presenting barriers included digital inequality, poor study spaces, lack of WIFI availability, the requirement to support the finances of the family and to care for siblings. Students felt alone and needed positive actions and a proactive support programme, and the "Time for Greatness" programme was co-created with them.
- During the second lockdown, students felt even more demotivated and disengaged and a programme to address this disconnection was again co-created in the "Time for Greatness" programme. This included creation of an online and linked community of students in similar situations and the guaranteed support from UniPrep Navigators for study support.

UniPrep

- The UniPrep programme was developed and implemented in response to evidence that secondary student from low decile schools would significantly benefit from a bespoke programme which provided assistance to transition to tertiary study. The UniPrep team is working with Student Services and Administration to link and co-ordinate activities.
- It is worth noting that AUT's profile of its domestic students is quite unique, with 1 in 5 students coming from an area with a high deprivation index. These students require a unique support framework.
- The UniPrep programme is divided into whanau groups led by Navigators and includes an academic paper provide by Te Ara Poutama. In 2022, 295 students entered with different academic NCEA grades. Despite this variation, attendance in the programme was 92% and the success rate in the academic component was 97%.
- The UniPrep programme established villages in the different academic areas of the University so UniPrep Students are connected and engaged with their future areas of study.
- Many of the challenges faced by AUT are due to historical inequities, including the inequality of educational outcomes in the secondary school sector and the high deprivation rates experienced by AUT's unique composition of students.

Ki Utu ki Tai - Learner Success Plan

- The Ki Utu ki Tai - Learner Success Plan has been submitted to the TEC. This Plan expresses AUT's unique provision in this area and may require some directed lobbying to gain support for the Plan and for funding of the Plan. This is likely to require a change to the current system of TEC funding. A further challenge is the possible redirection of funding available to the tertiary sector, which may negatively influence the funding available to AUT for Ki Utu ki Tai - Learner Success Plan.

- The Chancellor expressed Council's appreciation to the UniPrep team, including its Navigators for their hard work in developing and implementing the programme over many years. The work is commendable, and the Council expressed its pride in this work. All Council members can assist in communicating the Ki Utu ki Tai - Learner Success Plan through their various networks and channels.

Resolved

THAT REPORT FROM ASSISTANT VICE CHANCELLOR PACIFIC ADVANCEMENT DR WALTER FRASER AND MICHAEL COLLINS -MANAGER PROJECTS AND SPECIAL INITIATIVES OPA BE RECEIVED

11 CORRESPONDENCE REFERRED BY THE CHANCELLOR

12 OTHER MATTERS FOR DECISION OR NOTING

12.1 Update from AUTSA

Received

Verbal Update from Sara Youssef (President of AUTSA)

Noted in discussion

- A recent survey indicated the significant financial burden placed on students with approximately 4000 students (and over 300 AUT students) who responded to the survey, indicating that 60% were sacrificing study in order to work to pay rent and do not have sufficient income to buy food or to afford health care. The report will be distributed to Council members. It would be helpful for a collective lobbying of Government to increase student allowances.
- More information on AUT's recruitment strategy and the profile of AUT students would be helpful so services can be provided accordingly.
- While AUTSA doesn't support the increase in fees it does acknowledge the need to provide quality education services and supports the University's approach to supporting staff who are unwell.
- Te Tiriti is a founding document of New Zealand. Understanding Tikanga is an integral part of and needs to be embedded in the framework in order to implement Te Tiriti at AUT.

Resolved

THAT THE VERBAL UPDATE FROM THE PRESIDENT OF AUTSA BE RECEIVED.

13 GENERAL BUSINESS AND ITEMS MOVED FROM PART B TO PART A

RESOLUTION TO EXCLUDE THE PUBLIC

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure. This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting **AND THAT** A Vujnovich, M Smart and P Keiha be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

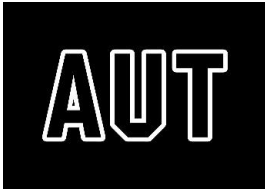
General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under section 9 of the OIA identified below.	Ground(s) under section 48(1) LGOIMA for the passing of this resolution
15. Council Minutes Part B of the meeting held on 30 May 2022	s 9(2)(b)(ii), s 9(2)(i), 9(2)(k)	7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)
16. Report from AUT Ventures	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
17. Report from AUT Millennium	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
18. Report from AUT Ethics Committee	s 9(2)(a), 9(2)(b)(ii), s 9(2)(i), 9(2)(g)(i)	s7(2)(a), 7(2)(c), 7(2)(j), 7(2)(F)(i), 7(2)(f)(ii)
20. Draft Minutes of Finance and Audit Committee held on 18 July 2022	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
22. Other Business 22.1 Ki Uta ki Tai Student Success Plan	s 9(2)(b)(ii), s 9(2)(g)(i) and s 9(2)(k)	7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)

Item 4.2 Matters Arising from the Minutes Part A

COUNCIL AGENDA ITEM	ACTION	Who	Status
28 September 2020	7.1 Chancellor's Report <ul style="list-style-type: none"> The Chancellor was pleased with the Council Working Group meeting with Year 13 students and requested to catch up with the same students next year for a follow up. 	Council Secretary	Ongoing- 2021
22 February 2021	5. Vice Chancellor's Report <ul style="list-style-type: none"> In 2021, a Council meeting will be hosted in AUT's whareniui. 	Tumuaki / Dean Te Ara Poutama	Closed -meeting of 26 September 2022 held at Ngā Wai o Horotiu Marae
31 May 2021	3.3. Equal Opportunities Policy <ul style="list-style-type: none"> The Treaty of Waitangi should be referenced in the policy or alternatively, expressed in a Council Charter. A paper will be drafted in regard to a policy on Te Titiri and each Council policy should be reviewed at each Annual General meeting. 	Tumuaki / Dean Te Ara Poutama	Council Charter – ongoing Fourth Quarter 2021
30 August 2021	6.2 Te Tiriti o Waitangi <ul style="list-style-type: none"> The Chancellor working with the Vice-Chancellor will bring a proposal back to Council. 	Vice Chancellor's Office	Ongoing - 2022
28 February 2022	AGM 4.1 Ōritetanga Learner Success <ul style="list-style-type: none"> The TEC asks Council to approve a learner success strategy in the form of a 5-year business plan including tangible goals and milestones. The outline of that business plan should be provided to the TEC by 30 June 2022. This would be a whole of organisation commitment and funded appropriately and aligned with the University's learner success programme. The TEC will support the University if it makes progress and reduce funding if progress is not demonstrated. The TEC requests AUT to work with the TEC on its learner success strategy which is an organisation-wide commitment including all faculties with a clearly articulated parity plan. Council should work with leadership to achieve the key targets. A meeting between Council and the TEC Board should be facilitated to discuss the additional resources required by AUT to meet the objectives of to achieve the outcomes of the AUT strategy. 	Council Vice Chancellor's Office	Closed- Ki Uta Ki Tai – Learner Success Plan submitted to the TEC
	9.1 Update from AUTSA <ul style="list-style-type: none"> Students are experiencing stress and anxiety due to COVID related disruptions. Where possible AUTSA supports in-person class options. The format of the delivery of classes could be considered. If possible smaller class sizes could be arranged to accommodate those who prefer on-campus teaching. The emerging pattern of delivery will be monitored, and Council will be updated. 	Vice-Chancellor's Office Group Director, Student Services and Administration	Ongoing - 2022
28 March 2022	5. Vice-Chancellor's Report <ul style="list-style-type: none"> Council noted that the implications of the drop in EFTS will need to be analysed. 	Vice-Chancellor's Office CFO	Third quarter – 2022
	8. Health, Safety and Wellbeing Reports <ul style="list-style-type: none"> Some areas of the University are not submitting regular reports and Council noted that this needs to be followed up. 	Group Director People & Culture Director	Closed – detailed reports provided to People and Culture

Special Council Meeting 2 September 2022 - 4. Council Meeting Items Part A

	<ul style="list-style-type: none"> In the future, detailed reports should be received by the People and Culture Committee and a briefer report should be received by the Council with the information provided as required. 	Health, Safety & Wellbeing	Committee at its meeting of 18 July
	<p>13.1 Update from AUTSA</p> <ul style="list-style-type: none"> The President of AUTSA also stated that students are requesting more free mental health counselling sessions beyond the six free sessions currently provided. Student Services are to provide a report on its work on student wellbeing to the People and Culture Committee and the AUTSA President is invited to attend this meeting when that report is discussed. The report needs to take into account that students who seek assistance, may not be the ones who need it the most. The data should also take into account, those students who aren't seeking assistance through traditional forms and requires a comprehensive analysis of associated detailed data. 	Group Director, Student Services and Administration	Closed- Student Services reported to the People and Culture Committee at its meeting on 18 July 2022 and the AUTSA President attended
30 May 2022	<p>10.1 Report from Professor Pare Keiha (PVC Māori Development)</p> <ul style="list-style-type: none"> Korero is important, however, a written report is also required by Council which includes qualitative and quantitative data. Some of this data is included in the Vice Chancellor's report as well as in other strategic reports, however, to have a courageous conversation, a written report to Council is needed so questions can be constructed and prepared and options proposed by Council. Council accepts the University's work has significant challenges and Council wishes to enable the work of the University to improve outcomes for our Māori students. 	PVC Māori Development	26 September 2022
25 July 2022	<p>5. Vice Chancellor's Report</p> <ul style="list-style-type: none"> The upskilling of Council members in Te Tiriti should be undertaken over the next 12-24 months and Marama Royal and Renata Blair can assist and support Council with this. 	Council	Ongoing
	<p>12.1. Update from AUTSA</p> <ul style="list-style-type: none"> A recent survey indicated the significant financial burden placed on students ... The report will be distributed to Council members. 	Council Secretary	Closed- Link to survey sent 2 August 2022



PART A	5
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **VICE CHANCELLOR'S REPORT**

Date: **22 August 2022**

RECOMMENDATION:

THAT THE VICE CHANCELLOR'S REPORT BE RECEIVED



PART A OPEN AGENDA ITEM	5
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Council Agenda Paper

Subject: **VICE-CHANCELLOR'S REPORT**

Date: **18 August 2022**

RECOMMENDATION:

THAT THE VICE CHANCELLOR'S REPORT BE RECEIVED

HIGHLIGHTS

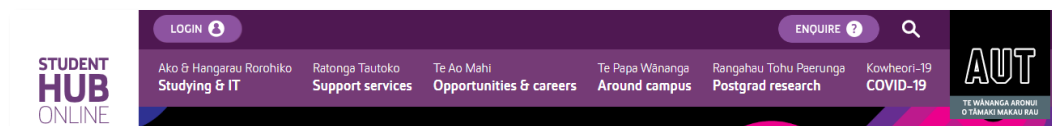
PRIORITY 1: TE TIRITI O WAITANGI

1.1 Update on our commitment to Te Tiriti o Waitangi – Tērā te Haeata, AUT's Te Tiriti Responsiveness Framework

The Te Tiriti Steering and Reference Groups alongside the Vice-Chancellor's Executive Committee participated in a one-day Tiriti workshop facilitated by external provider Groundworks. All 34 participants partook in 2 hours of prework self-directed learning of Te Tiriti leading into the day workshop. Led by Groundworks facilitator Jen Margaret, the day captured the aspirations of the participants as to the desired future state of AUT in its commitment to Te Tiriti o Waitangi. Groundworks has since shared a draft framework with the Steering Group. The content of the draft has been discerned from the raw data of the workshop. The Steering Group is in the process of refining and shaping the framework that really speaks to the AUT context and experience and expect to share this with the Reference Group and the Vice Chancellors Committee early September. Of note, the Steering Group has requested an extension of 2 weeks to work on the framework and timelines have shifted accordingly.

1.2 Ensuring our platforms honour Te Tiriti o Waitangi

The SHO navigation was adjusted to include te reo Māori translations for headings. This was delivered by Brand and Marketing in collaboration with the Office of Māori Advancement.



PRIORITY 2: EXCELLENCE AND EQUITY IN EDUCATION AND RESEARCH

2.1 AUT Live

- **AUT LIVE:** AUT LIVE 2022 will be delivered both on-campus and online. The on-Campus event focuses on showcasing our campuses to prospective students, parents & influencers through faculty activations, fun activities, and course info presentations. On-demand has webinars available on our website from 29 August – primarily for those located regionally and anyone that couldn't attend the on-campus open day. 98% of AUT LIVE design assets have been completed including digital and marketing automation assets, OOH material, webinars, faculty activations, wayfinding signage, and other supporting collateral.
- **AUT LIVE International:** AUT will be holding its inaugural AUT Live International event online on 12 Oct 2022. The event will be an international online version of the domestic AUT Live open day and will be supported by the largest global digital campaign that AUT has ever run. Four online webinar sessions will be held on this day for international audiences in the US, South East Asia, South Asia and China and Hong Kong – webinar content is currently in development.

2.2 Research

- The Tertiary Education Commission (TEC) has confirmed that the extension of the exemption to the requirement for international PhD students to reside in NZ to receive domestic tuition fee rates will remain until 1 March 2023 to allow time for visa processing and relocating.
- Doctoral students who deferred their studies, and those who commenced the programme offshore, have been sent a message with an update of recent changes to the borders and visa requirements.
- Promotions for the annual [Postgraduate Research Symposium](#) to be held on 17 November are under way.

	New Research Contracts YTD	
	2022	2021
Value	\$8.76M	\$14.99M
Number of contracts	63	63

2.3 SS&A Inclusion and community

The June highlights video included activations during Matariki, the Queer Marketplace, Volleyball at Te Āhuru Recreation Centre, and Sweat with Pride at the AUT Gym. It also showcased the Vesbar Glow Party, events run by International Hub, and Off Grid clubs Tongariro Winter snow trip. This video achieved more reach than our usual posts, with 2,531 video views.

On 14 July, we celebrated International Non-binary People's Day by collaborating with AUT Rainbow. We asked, "what would you want others, especially the youth, to know about being non-binary?" and put together the responses in a social post to help raise awareness and celebrate our non-binary community.

2.4 Learning Management System (Canvas@AUT)

Development of the 2022 Courses is complete with cycle 6 completing as planned on the 15 July. This brings the total number of courses developed to 1842 and completes the project's last key milestone. The roll over for Semester 2 has been successful. Most courses were available for the start of semester (July 18th). However, the availability of some courses for students was delayed as Course Leads reviewed / published their courses.

The Syllabus+ (timetabling system) integration with Canvas calendars went live on the 11 July. Initial feedback has been positive.

Support questions continue to follow a similar pattern to any Semester, albeit with higher volumes due to more users being unfamiliar with the Learning Management System and the 'newness' of the digital resources. The focus of questions has moved from Assessments and Marking functionality back to Course updates and access.

A 2023 Course Cycle is planned to start on 25th July. Due to limited capacity within the project team a total of 69 courses are planned with priority given to confirmed Semester 1 2023 courses where the Course leads are known and have availability to work with the team within the cycle dates.

2.5 Tūhononga: Networking & Māori Staff

The Office of Māori Advancement, Piki Ake Kaipakihi Māori, hosted a networking event with Māori investment advisors from NZ Trade & Enterprise on August 2nd to which Māori students and staff were invited. Representatives from the Office of Māori Advancement, Whakaari: Māori Business Network, Compiled by Colleen Leauanae, Office of Māori Advancement, Projects and Strategic Initiatives, Manager. Te Puni Kōkiri, and Auckland Council also attended. The BEL Māori Research website was also launched at this event, which highlights Māori scholarship and research in the Faculty. The website can be found at: <https://pakm.aut.ac.nz>.

2.6 Brand and Communications

Intranet stories have been published recently on Te Kei (UNZ's Māori academic career development programme), the Māori and Indigenous Postgraduate Wananga, and Sir Toby Curtis (externally here). On the Māori at AUT Facebook, posts that have done well include the international student pōwhiri, BEL's new Māori Indigenous Business minor, Kaipara Kai and Sir Toby Curtis.

PRIORITY 3: ENVIRONMENTAL AND FINANCIAL SUSTAINABILITY**3.1 Financial Performance (detail in Appendix 2)**

YTD July 2022 reflects a net surplus from operations of \$32M, which is \$1.9M ahead of budget, driven by the operational savings achieved from the delayed return to campus of students in semester 1, unfilled or delayed staff appointments and reduced direct teaching costs aligned with the current lower student enrolments.

The full year forecast projects a \$6.7M net surplus from operations that is \$2.0M behind budget. The forecast position for 2022 reflects the best estimate of the continuing impact of Covid, with lower than planned domestic enrolments despite the positive results achieved in 2021.

For 2022, management are focused on mitigating the effects of declining enrolments and the overall financial performance. The \$1.9M YTD surplus provides some capacity to absorb the forecasted expenditure planned for the remainder of the year to ensure we can mitigate any unforeseen financial pressures and be able to respond to new priorities.

3.2 Student enrolment update (detail of EFTS as of 14 August in Appendix 1)

	Domestic SAC		International		Total	
	2022	2021	2022	2021	2022	2021
YTD EFTS	16,581	17,318	1,725	2,141	18,306	19,459
% of target	94%	100%	107%	115%	95%	101%

Year to Date **Domestic SAC** enrolments (2022, compared to same time in 2021):

Total: down -4% (-737 EFTS)
 New to Programme: down -9% (-683 EFTS)
 Returning: down -1% (-54 EFTS)

Year to Date **International Full Fee** enrolments (2022, compared to the same time in 2021):

Total: down -19% (-416 EFTS)
 New to Programme: down -23% (-174 EFTS)
 Returning: down -17% (-242 EFTS)

Year to Date **Māori Domestic SAC** enrolments (2022, compared to the same time in 2021):

Total: down -1% (-22 EFTS)
 New to Programme: down -12% (-105 EFTS)
 Returning: up 8% (+82 EFTS)

Year to Date **Pacific Domestic SAC** enrolments (2022, compared to the same time in 2021):

Total: down -3% (-83 EFTS)
 New to Programme: down -4% (-49 EFTS)
 Returning: down -2% (-34 EFTS)

Year to Date **Pre-Degree** enrolments (2022, compared to the same time in 2021):

Total: down -17% (-194 EFTS)
 New to Programme: down -23% (-211 EFTS)
 Returning: up 8% (+18 EFTS)

Year to Date **Undergraduate** enrolments (2022, compared to the same time in 2021):

Total: down -4% (-566 EFTS)
 New to Programme: down -6% (-354 EFTS)
 Returning: down -2% (-212 EFTS)

Year to Date **Postgraduate** enrolments (2022, compared to the same time in 2021):

Total: down -9% (-343 EFTS)
 New to Programme: down -20% (-311 EFTS)
 Returning: down -2% (-31 EFTS)

TEC Financial Covenants	2022 Year-end Forecast		
	FY Forecast	TEC	Outcome
Max commercial debt borrowings \$000s	\$63,022	\$220,000	On target
Max aggregate financing \$000s	\$74,276	\$243,000	On target
Max Debt / Debt + Equity Ratio	8%	30%	On target
Max Debt / Net Cash Flow Ratio	1.24	3.00	On target
Max Debt / Total Revenue Ratio	18%	55%	On target
Min Net Surplus Ratio	1.6%	>0.0%	On target
Min Cash Flow from Operation Ratio	117%	113%	On target
Min Interest Cover Ratio	3.93	Suspended until 2026	
Min Liquidity Ratio	45%	2%	On target

3.3 AUT International has reached its annual recruitment target of 500 EFTS for 2022. As of 15 August, AUT International has been able to recruit 809 new international students to AUT, bringing in 577 new EFTS to the university.

3.4 Student Recruitment and Retention

Advancing Financial and Environmental Sustainability - The Offshore Entry team attended an event to welcome international students returning or starting their study in Semester Two. This allowed the team to meet students they case managed and successfully guided through the process to start Semester Two on campus. Several international students arrived throughout July, resolving more than half of active cases.

Debtor Management –The Enrolment team supported Health Intermediate semester students and delivered 329 manual verification of study (VOS) documents. The Semester Two verification of attendance process has commenced in faculties and the team continued with student invoicing, refunds, payments, fees free allocations for Semester Two. The Semester One debtors also concluded with a total number of 264 students cancelled due to outstanding debts

3.5 Advancement

We have received the second (of three) annual instalments of \$200,000 from Fletcher Construction, funding the named chair in Future Environments. The Rainbow Initiative campaign is underway, securing \$80K in pledged donations so far. Anecdotally, there is a lot of support for it in the Rainbow community and the Government is also aware, which is why the Prime Minister launched the HIV Action Plan at AUT recently. The Advancement team is also working on a couple of confidential, and substantial, bequest considerations and is assisting DCT to secure an offshore, multi-year research grant. Earlier this month, the latest edition of AUT Foundation's *Manaakitanga* magazine was sent out to donors.

PRIORITY 4: STUDENT SUCCESS

4.1 Ki Uta Ki Tai (Student Success Plan) Update

Major projects being worked on at present include communications planning to support the Tērā te Haeata Te Tiriti Responsiveness Framework, the establishment of a critic and conscience seminar series – AUT Impact, and the Ki Uta Ki Tai – Student Success Plan.

4.2 AUT International

International Partnerships AUT International is working on renewing its student exchange and study abroad international partnerships in preparation for an influx of AUT students being able to study overseas for the first time since the start of the COVID-19 Pandemic. The following partnership has been renewed in July 2022:

National Yang Ming Chiao Tung University (Taiwan) and AUT Faculty of Business, Economics and Law **Study Abroad & Student Exchange** Semester 1 2023 will have AUT's first inbound and outbound exchange programmes since the start of the COVID19 Pandemic. Students are currently able to apply to participate in AUT's student exchange programme for semester 1 2023 until the 21 August deadline. Students will be reaching out to their faculties for approval to participate in an exchange.

4.3 Te Āhuru Mayoral Drive Accommodation Award for NZ Māori Students

This scholarship is paid as full weekly rental at Te Āhuru Mayoral Drive student accommodation facility for Semester 2, 2022 and covers the accommodation application fee and student residential life fee. Thirty-four scholarships were allocated to current and newly enrolled students, with case management support provided by Te Tari Takawaenga Māori, and Student Recruitment providing outreach support for new enrolments.

PRIORITY 5: MATURING UNIVERSITY SYSTEMS AND PROCESSES

5.1 Appointment of Deputy Vice-Chancellor Research

I am delighted that following an international search, Professor Mark Orams, currently Dean of the Graduate Research School, has accepted the role of DVC Research.

5.2 Staff diversity statistics (16 May 2022)

Ethnicity	Jul 2021	Jul 2022	% of Change
New Zealand Māori	103.3	128.4	24.3%
Pacific	88.8	129.8	46.2%
Asian	374.8	486.1	29.7%
Middle Eastern	23.0	36.1	57.1%
Latin American	7.0	23.1	230.0%
African	16.8	31.7	88.7%
NZ European / Pākehā	693.0	767.7	10.8%
European	255.4	290.6	13.8%
Other	128.7	72.0	- 44.1%
No Declaration / Blank	411.9	167.0	- 59.5%
Total	2,102.8	2,132.6	1.4%

5.3 International office is in discussions with Arion team on advancements to Arion to better support our international students and recruitment efforts. Arion is currently unable to accurately record AUT's international partnerships and the students recruited through these pathways.

5.4 Places and spaces

During the reporting period, the Estates Group was focused on the following key areas:

- A1 Project implementation.
- Active management of all property and services-related risks within resource constraints absences.
- Implementation of the Estates Business Plan 2022 and working on the Estates Property Strategy.
- Commencement of Semester 2 and preparation for AUT Live.

Appendix 1: Enrolments

2022 EFTS Progress to Target Monitoring Report
Year to Date Compared to Target and Equivalent Date Last Year
EFTS Monitoring Report - Semester 2, 2022 As at close of business Sunday 14 August 2022. Year to Date
Compared to Budget, Target and Equivalent Date in 2021 EFTS Type: Teaching

1. EFTS compared to Target EFTS

	Likely and Confirmed			Target	Progress		Needed		Achieved after PYTD
	2022	2021	Growth		2022	2021	2022	2021	
Domestic SAC	16,325.23	17,044.69	-4.2%	17,386.53	93.9%	100.2%	1,061.30	-33.77	-9.35
Int Full Fee	1,725.26	2,141.16	-19.4%	1,615.49	106.8%	115.3%	-109.77	-283.89	28.58
International SAC	255.53	273.47	-6.6%	304.75	83.9%	84.1%	49.21	51.75	13.37
Domestic Other	276.95	232.38	19.2%	236.28	117.2%	77.1%	-40.66	69.11	45.54
International Other	247.68	225.70	9.7%	367.47	67.4%	93.0%	119.79	17.00	122.10
Total AUT	18,830.66	19,917.41	-5.5%	19,910.52	94.6%	100.9%	1,079.86	-179.80	200.25
South Domestic SAC	1,936.90	2,159.81	-10.3%	2,480.90	78.1%	85.8%	544.00	356.62	-3.84
South Int Full Fee	31.34	47.08	-33.4%	23.23	134.9%	113.2%	-8.11	-5.49	0.56

2. Total AUT EFTS by Intake Type and Award Level

	2022 Likely and Confirmed				Growth	2021 Likely and Confirmed			
	%	New	Returning	Total		%	New	Returning	Total
Pre-Degree	5.0 %	708.26	226.14	934.40	-17.2 %	5.7 %	919.38	208.55	1,127.93
Undergraduate	77.3 %	5,221.77	9,327.26	14,549.03	-3.7 %	75.9 %	5,576.20	9,538.85	15,115.06
Postgraduate	17.4 %	1,269.63	1,998.23	3,267.86	-9.5 %	18.1 %	1,581.03	2,029.25	3,610.28
Not Categorised	0.4 %	56.91	22.45	79.36	23.7 %	0.3 %	27.13	37.01	64.14
Total		7,256.58	11,574.08	18,830.66	-5.5 %		8,103.73	11,813.67	19,917.41

3. International Student View EFTS

	2022 Likely and Confirmed				Growth	2021 Likely and Confirmed			
	%	New	Returning	Total		%	New	Returning	Total
Pre-Degree	4.4 %	64.65	33.46	98.11	-19.4 %	4.6 %	99.87	21.86	121.74
Undergraduate	60.8 %	360.47	995.31	1,355.78	-11.2 %	57.8 %	395.19	1,130.81	1,526.00
Postgraduate	34.4 %	231.29	535.70	766.99	-22.2 %	37.3 %	376.34	609.54	985.88
Not Categorised	0.3 %	7.16	0.44	7.60	13.1 %	0.3 %	6.30	0.42	6.72
Total		663.57	1,564.91	2,228.48	-15.6 %		877.70	1,762.63	2,640.34

Comments - written as at

4. Domestic Student Achievement Component (SAC) EFTS compared to AUT Finance Budget

	Likely and Confirmed EFTS			Target	Progress		Needed		Achieved after PYTD
	2022	2021	Growth		2022	2021	2022	2021	
BEL	2,920.77	3,151.60	-7.3%	3,210.28	91.0%	97.7%	289.51	74.15	26.96
CSOC	2,289.80	2,548.00	-10.1%	2,539.16	90.2%	97.6%	249.36	62.15	-7.05
DECT	4,355.23	4,611.33	-5.6%	4,570.26	95.3%	99.5%	215.03	21.60	-17.15
HEAL	6,473.52	6,332.57	2.2%	6,632.48	97.6%	103.4%	158.96	-208.93	-10.98
TEAP	285.11	401.12	-28.9%	353.19	80.7%	126.7%	68.08	-84.47	-1.28
Total	16,325.23	17,044.69	-4.2%	17,386.53	93.9%	100.2%	1,061.30	-33.77	-9.35

5. International Full Fee EFTS compared to AUT Finance Budget

	Likely and Confirmed EFTS				Progress		Needed		Achieved after PYTD
	2022	2021	Growth	Target	2022	2021	2022	2021	
BEL	622.93	831.20	-25.1%	703.77	88.5%	128.4%	80.85	-184.05	28.30
CSOC	345.99	376.54	-8.1%	255.79	135.3%	128.6%	-90.21	-83.73	-1.62
DECT	527.34	657.17	-19.8%	463.47	113.8%	101.8%	-63.87	-11.85	-0.01
HEAL	212.98	253.52	-16.0%	151.56	140.5%	108.9%	-61.42	-20.82	1.27
TEAP	9.11	16.69	-45.4%	11.78	77.3%	197.8%	2.67	-8.25	-0.19
PVCI	6.92	6.05	14.5%	29.13	23.8%	19.6%	22.21	24.81	0.83
Total	1,725.26	2,141.16	-19.4%	1,615.49	106.8%	115.3%	-109.77	-283.89	28.58

6. International SAC EFTS compared to AUT Finance Budget

	Likely and Confirmed EFTS				Progress		Needed		Achieved after PYTD
	2022	2021	Growth	Target	2022	2021	2022	2021	
BEL	26.85	31.29	-14.2%	39.93	67.3%	80.6%	13.08	7.52	2.45
CSOC	31.22	33.05	-5.5%	33.57	93.0%	62.6%	2.35	19.75	1.40
DECT	131.71	133.86	-1.6%	141.10	93.3%	86.5%	9.39	20.81	8.03
HEAL	64.68	74.17	-12.8%	87.26	74.1%	99.5%	22.58	0.35	1.50
TEAP	0.67	0.83	-19.9%	2.89	23.1%	18.8%	2.22	3.60	0.00
Total	255.53	273.47	-6.6%	304.75	83.9%	84.1%	49.21	51.75	13.37

7. South Domestic SAC EFTS Compared to AUT Finance Budget

	Likely and Confirmed EFTS				Progress		Needed		Achieved after PYTD
	2022	2021	Growth	Target	2022	2021	2022	2021	
BEL	275.99	312.03	-11.5%	434.18	63.6%	90.5%	158.18	32.60	2.12
CSOC	246.52	261.51	-5.7%	262.95	93.8%	87.2%	16.43	38.24	-0.48
DECT	55.88	161.75	-65.5%	100.51	55.6%	68.3%	44.62	75.19	-1.25
HEAL	1,313.09	1,355.89	-3.2%	1,576.66	83.3%	91.0%	263.57	133.71	-3.98
TEAP	45.35	68.63	-33.9%	31.45	144.2%	154.2%	-13.90	-24.13	-0.25
Total	1,936.90	2,159.81	-10.3%	2,480.90	78.1%	85.8%	544.00	356.62	-3.84

8. Total AUT EFTS compared to AUT Finance Budget

	Likely and Confirmed EFTS				Progress		Needed		Achieved after PYTD
	2022	2021	Growth	Target	2022	2021	2022	2021	
BEL	3,648.27	4,074.86	-10.5%	4,017.12	90.8%	102.7%	368.85	-107.19	64.92
CSOC	2,755.65	3,028.64	-9.0%	2,878.74	95.7%	100.8%	123.08	-22.89	2.57
DECT	5,154.30	5,534.87	-6.9%	5,377.83	95.8%	98.9%	223.53	60.71	71.26
HEAL	6,936.22	6,829.64	1.6%	7,059.82	98.2%	103.3%	123.60	-220.01	55.62
TEAP	298.94	420.30	-28.9%	368.11	81.2%	127.4%	69.17	-90.47	-1.02
PVCI	6.92	6.05	-98.4%	29.13	23.8%	19.6%	22.21	24.81	0.83
Total	18,830.66	19,917.41	-5.5%	19,805.93	95.1%	101.4%	975.28	-277.30	200.25

9. Domestic Student Achievement Component (SAC) EFTS by Intake Type and Award Level

	2022 Likely and Confirmed					2021 Likely and Confirmed			
	%	New	Returning	Total	Growth	%	New	Returning	Total
BEL									
Pre-Degree	4.0 %	96.50	19.25	115.75	-2.8 %	3.8 %	117.75	1.33	119.08
Undergraduate	86.6 %	774.00	1,756.45	2,530.45	-7.3 %	86.6 %	846.13	1,884.24	2,730.37
Postgraduate	9.4 %	114.10	160.47	274.57	-9.1 %	9.6 %	146.87	155.28	302.15
Total		984.60	1,936.17	2,920.77	-7.3 %		1,110.75	2,040.85	3,151.60
CSOC									
Pre-Degree	7.7 %	122.80	53.08	175.88	-21.3 %	8.8 %	155.78	67.68	223.46
Undergraduate	83.8 %	676.30	1,241.49	1,917.79	-8.4 %	82.2 %	806.91	1,286.61	2,093.52
Postgraduate	8.6 %	115.47	80.67	196.14	-15.1 %	9.1 %	144.15	86.86	231.02
Total		914.57	1,375.23	2,289.80	-10.1 %		1,106.84	1,441.15	2,548.00
DECT									
Pre-Degree	4.4 %	160.25	31.00	191.25	-16.2 %	4.9 %	187.50	40.63	228.13
Undergraduate	72.0 %	1,149.25	1,984.50	3,133.75	-5.1 %	71.6 %	1,323.88	1,978.02	3,301.90
Postgraduate	23.7 %	341.34	688.89	1,030.23	-4.7 %	23.4 %	400.66	680.65	1,081.31
Total		1,650.84	2,704.39	4,355.23	-5.6 %		1,912.03	2,699.30	4,611.33
HEAL									
Pre-Degree	4.6 %	221.56	73.92	295.49	-19.1 %	5.8 %	306.84	58.63	365.46
Undergraduate	82.3 %	2,100.47	3,224.90	5,325.37	4.8 %	80.2 %	1,966.25	3,114.01	5,080.26
Postgraduate	13.2 %	458.66	394.00	852.66	-3.9 %	14.0 %	501.35	385.49	886.84
Total		2,780.70	3,692.82	6,473.52	2.2 %		2,774.44	3,558.12	6,332.57
LIBR									
Postgraduate	100.0 %	0.00	0.42	0.42	Infinity	-	0.00	0.00	0.00
Total		0.00	0.42	0.42	Infinity		0.00	0.00	0.00
PVCL									
Postgraduate	100.0 %	0.00	0.31	0.31	Infinity	-	0.00	0.00	0.00
Total		0.00	0.31	0.31	Infinity		0.00	0.00	0.00
PVCR									
Postgraduate	100.0 %	0.00	0.07	0.07	7.9 %	100.0 %	0.00	0.06	0.06
Total		0.00	0.07	0.07	7.9 %		0.00	0.06	0.06
TEAP									
Pre-Degree	2.4 %	6.00	0.84	6.84	-71.4 %	6.0 %	22.98	0.95	23.93
Undergraduate	90.5 %	135.07	123.08	258.15	-27.2 %	88.4 %	211.23	143.28	354.51
Postgraduate	7.1 %	7.73	12.40	20.13	-11.3 %	5.7 %	11.24	11.44	22.68
Total		148.80	136.32	285.11	-28.9 %		245.45	155.67	401.12
University									
Pre-Degree	4.8 %	607.11	178.09	785.20	-18.2 %	5.6 %	790.85	169.21	960.06
Undergraduate	80.6 %	4,835.09	8,330.41	13,165.50	-2.9 %	79.6 %	5,154.39	8,406.17	13,560.56
Postgraduate	14.5 %	1,037.30	1,337.23	2,374.53	-5.9 %	14.8 %	1,204.28	1,319.79	2,524.07
Total		6,479.50	9,845.73	16,325.23	-4.2 %		7,149.52	9,895.16	17,044.69

10. International Full Fee EFTS by Intake Type and Award Level

	2022 Likely and Confirmed					2021 Likely and Confirmed			
	%	New	Returning	Total	Growth	%	New	Returning	Total
BEL									
Pre-Degree	6.9 %	25.75	17.00	42.75	4.9 %	4.9 %	40.75	0.00	40.75
Undergraduate	65.0 %	108.13	296.81	404.94	-15.1 %	57.4 %	121.88	355.18	477.05
Postgraduate	28.1 %	70.95	104.29	175.24	-44.1 %	37.7 %	158.25	155.14	313.39
Total		204.83	418.10	622.93	-25.1 %		320.87	510.32	831.20
CSOC									
Pre-Degree	3.8 %	6.38	6.80	13.18	-27.8 %	4.8 %	9.74	8.50	18.24
Undergraduate	80.0 %	70.58	206.29	276.86	-15.1 %	86.6 %	86.93	239.14	326.07
Postgraduate	16.2 %	42.49	13.46	55.95	73.6 %	8.6 %	15.09	17.15	32.24
Total		119.44	226.55	345.99	-8.1 %		111.75	264.79	376.54
DECT									
Pre-Degree	1.3 %	4.88	1.75	6.63	-51.4 %	2.1 %	11.25	2.38	13.63
Undergraduate	71.7 %	122.25	255.75	378.00	-7.9 %	62.4 %	111.88	298.42	410.30
Postgraduate	27.1 %	57.49	85.22	142.71	-38.8 %	35.5 %	99.58	133.67	233.25
Total		184.62	342.72	527.34	-19.8 %		222.70	434.47	657.17
HEAL									
Pre-Degree	2.4 %	2.63	2.45	5.08	-47.9 %	3.8 %	8.63	1.13	9.75
Undergraduate	80.4 %	44.63	126.54	171.16	-8.8 %	74.0 %	51.71	135.96	187.67
Postgraduate	17.3 %	17.83	18.91	36.74	-34.5 %	22.1 %	30.08	26.02	56.10
Total		65.08	147.90	212.98	-16.0 %		90.42	163.10	253.52
PVCI									
Not Categorised	100.0 %	6.59	0.33	6.92	14.5 %	100.0 %	5.71	0.33	6.05
Total		6.59	0.33	6.92	14.5 %		5.71	0.33	6.05
TEAP									
Pre-Degree	4.1 %	0.38	0.00	0.38	-63.0 %	6.1 %	1.01	0.00	1.01
Undergraduate	73.5 %	1.73	4.96	6.69	-50.2 %	80.5 %	4.05	9.38	13.43
Postgraduate	22.4 %	1.00	1.04	2.04	-9.1 %	13.5 %	1.04	1.21	2.25
Total		3.10	6.00	9.11	-45.4 %		6.10	10.59	16.69
University									
Pre-Degree	3.9 %	40.00	28.00	68.00	-18.4 %	3.9 %	71.38	12.00	83.38
Undergraduate	71.7 %	347.31	890.35	1,237.65	-12.5 %	66.1 %	376.44	1,038.08	1,414.52
Postgraduate	23.9 %	189.76	222.92	412.69	-35.2 %	29.8 %	304.03	333.19	637.22
Not Categorised	0.4 %	6.59	0.33	6.92	14.5 %	0.3 %	5.71	0.33	6.05
Total		583.66	1,141.60	1,725.26	-19.4 %		757.55	1,383.60	2,141.16

11. International SAC Funded EFTS by Intake Type and Award Level

	2022 Likely and Confirmed					2021 Likely and Confirmed			
	%	New	Returning	Total	Growth	%	New	Returning	Total
BEL									
Pre-Degree	0.0 %	0.00	0.00	0.00	-100.0 %	6.0 %	1.88	0.00	1.88
Postgraduate	100.0 %	0.20	26.65	26.85	-8.7 %	94.0 %	2.58	26.83	29.41
Total		0.20	26.65	26.85	-14.2 %		4.46	26.83	31.29
CSOC									
Pre-Degree	0.0 %	0.00	0.00	0.00	-100.0 %	1.1 %	0.38	0.00	0.38
Postgraduate	100.0 %	7.53	23.69	31.22	-4.4 %	98.9 %	4.33	28.34	32.67
Total		7.53	23.69	31.22	-5.5 %		4.71	28.34	33.05
DECT									
Pre-Degree	0.0 %	0.00	0.00	0.00	-100.0 %	0.6 %	0.75	0.00	0.75
Undergraduate	1.3 %	1.25	0.50	1.75	75.0 %	0.7 %	1.00	0.00	1.00
Postgraduate	98.7 %	16.71	113.24	129.96	-1.6 %	98.7 %	20.28	111.84	132.11
Total		17.96	113.74	131.71	-1.6 %		22.03	111.84	133.86
HEAL									
Undergraduate	0.2 %	0.13	0.00	0.13	-75.0 %	0.7 %	0.50	0.00	0.50
Postgraduate	99.8 %	5.58	58.98	64.56	-12.4 %	99.3 %	13.58	60.09	73.67
Total		5.70	58.98	64.68	-12.8 %		14.08	60.09	74.17
PVCR									
Postgraduate	100.0 %	0.00	0.40	0.40	50.1 %	100.0 %	0.00	0.27	0.27
Total		0.00	0.40	0.40	50.1 %		0.00	0.27	0.27
TEAP									
Postgraduate	100.0 %	0.50	0.17	0.67	-19.9 %	100.0 %	0.00	0.83	0.83
Total		0.50	0.17	0.67	-19.9 %		0.00	0.83	0.83
University									
Pre-Degree	0.0 %	0.00	0.00	0.00	-100.0 %	1.1 %	3.00	0.00	3.00
Undergraduate	0.7 %	1.38	0.50	1.88	25.0 %	0.5 %	1.50	0.00	1.50
Postgraduate	99.3 %	30.53	223.13	253.66	-5.7 %	98.4 %	40.77	228.20	268.97
Total		31.90	223.63	255.53	-6.6 %		45.27	228.20	273.47

12. South Domestic SAC EFTS by Intake Type and Award Level

	2022 Likely and Confirmed				Growth	2021 Likely and Confirmed			
	%	New	Returning	Total		%	New	Returning	Total
BEL									
Pre-Degree	6.3 %	14.88	2.63	17.50	-21.3 %	7.1 %	22.00	0.25	22.25
Undergraduate	92.1 %	103.88	150.44	254.32	-11.3 %	91.9 %	129.88	157.00	286.88
Postgraduate	1.5 %	3.50	0.67	4.17	43.5 %	0.9 %	0.75	2.16	2.91
Total		122.25	153.74	275.99	-11.5 %		152.62	159.41	312.03
CSOC									
Pre-Degree	3.7 %	7.75	1.25	9.00	-19.1 %	4.3 %	8.88	2.25	11.13
Undergraduate	87.3 %	84.49	130.82	215.31	-8.0 %	89.5 %	84.50	149.56	234.06
Postgraduate	9.0 %	9.75	12.46	22.21	36.1 %	6.2 %	8.79	7.53	16.32
Total		101.99	144.53	246.52	-5.7 %		102.17	159.34	261.51
DECT									
Pre-Degree	53.9 %	23.75	6.38	30.13	-52.5 %	39.2 %	53.13	10.25	63.38
Undergraduate	43.8 %	0.25	24.25	24.50	-73.6 %	57.3 %	62.00	30.75	92.75
Postgraduate	2.3 %	0.13	1.13	1.26	-77.6 %	3.5 %	1.29	4.33	5.63
Total		24.13	31.76	55.88	-65.5 %		116.42	45.33	161.75
HEAL									
Pre-Degree	3.2 %	32.13	9.25	41.38	-49.2 %	6.0 %	70.00	11.38	81.38
Undergraduate	84.3 %	439.63	667.13	1,106.75	1.5 %	80.5 %	454.13	636.76	1,090.88
Postgraduate	12.6 %	74.16	90.80	164.96	-10.2 %	13.5 %	80.00	103.63	183.63
Total		545.91	767.18	1,313.09	-3.2 %		604.12	751.77	1,355.89
LIBR									
Postgraduate	100.0 %	0.00	0.06	0.06	-	-	0.00	0.00	0.00
Total		0.00	0.06	0.06	-		0.00	0.00	0.00
TEAP									
Pre-Degree	0.0 %	0.00	0.00	0.00	-100.0 %	2.7 %	1.63	0.25	1.88
Undergraduate	100.0 %	39.67	5.68	45.35	-32.1 %	97.3 %	59.38	7.38	66.75
Total		39.67	5.68	45.35	-33.9 %		61.00	7.63	68.63
University									
Pre-Degree	5.1 %	78.50	19.50	98.00	-45.6 %	8.3 %	155.63	24.38	180.00
Undergraduate	85.0 %	667.92	978.32	1,646.24	-7.1 %	82.0 %	789.88	981.44	1,771.32
Postgraduate	9.9 %	87.54	105.13	192.66	-7.6 %	9.7 %	90.83	117.65	208.49
Total		833.95	1,102.95	1,936.90	-10.3 %		1,036.33	1,123.47	2,159.81

13. Māori and Pacific Domestic SAC EFTS by Intake Type and Award Level

	2022 Likely and Confirmed					Growth	2021 Likely and Confirmed				
	%	New	Returning	Total	%Dom		%	New	Returning	Total	%Dom
Māori											
Pre-Degree	5.4 %	78.69	22.63	101.31	12.9%	-12.8 %	6.1 %	95.06	21.17	116.23	12.1%
Undergraduate	82.9 %	623.17	928.44	1,551.61	11.8%	-0.9 %	82.7 %	689.13	877.16	1,566.29	11.6%
Postgraduate	11.7 %	100.22	118.38	218.60	9.2%	3.4 %	11.2 %	122.39	89.01	211.40	8.4%
Total		802.07	1,069.44	1,871.52	11.5%	-1.2 %		906.58	987.34	1,893.92	9.5%
Pacific											
Pre-Degree	7.5 %	175.31	41.00	216.31	27.5%	-17.7 %	8.9 %	219.56	43.40	262.96	27.4%
Undergraduate	84.5 %	976.75	1,446.98	2,423.73	18.4%	-0.8 %	82.7 %	961.46	1,481.69	2,443.15	18.0%
Postgraduate	8.0 %	107.17	122.60	229.77	9.7%	-6.8 %	8.3 %	127.21	119.31	246.52	9.8%
Total		1,259.23	1,610.58	2,869.82	17.6%	-2.8 %		1,308.23	1,644.40	2,952.63	14.8%

Please Note:
<ul style="list-style-type: none"> • In 2022, 75 SDR SAC Budget EFTS were not assigned to an academic faculty. As a result, the total budget is 75 greater than the sum of the faculty totals for tables presenting Domestic – SAC Funded, South (SAC) and University totals. • In 2021, 101 SDR SAC Budget EFTS were not assigned to an academic faculty. As a result, the total budget is 101 greater than the sum of the faculty totals for tables presenting Domestic – SAC Funded, South (SAC) and University totals. • Returning to programme EFTS defined as all EFTS consumed by students enrolled in the same programme code in a previous calendar year, regardless of progress through programme. EFTS consumed by students who enrolled in any Bachelor of Health Science programme (any programme code ending in '3680') in a previous calendar year and then enrolled in a related programme are also considered to be returning. All other EFTS classified as 'New'. • Budget EFTS pertaining to South Campus have been identified using the following working definition: Where the teaching school name includes the word 'Manukau', 'Manku', 'South', or 'South', OR the teaching school code is 'VK', 'RO', or 'KO', the Budget EFTS are assumed to relate to South Campus. • (*) Please note that the 2016 South SAC EFTS target of 1,514 represents the University's internal operating budget, as set by central Finance, rather than the 2016 TEC South Campus target provided in the Funding Letter (1,827). • EFTS Forecast Progress: Please note: the Latest Indicative View of EFTS (LIVE) Forecast will be available from the 20 February 2017, and updated daily thereafter. • Please note: this report is based on SDR EFTS.

Measure Name	Definition
Domestic SAC	This includes EFTS with an enrolment type category of Domestic SAC Funded.
Int Full Fee	This includes EFTS with an enrolment type category of International Full Fee, AUT International House.
International SAC	This includes EFTS with an enrolment type category of International - SAC Funded', Exchange International - SAC Funded, International Research - SAC Funded.
Domestic Other	This includes EFTS with an enrolment type category of Domestic RGA, Domestic Full Fee, Exchange Domestic - Self Funded, Non EFTS
International Other	This includes EFTS with an enrolment type category of International RGA
Domestic South SAC	This includes EFTS with a class location of South and an enrolment type category of Domestic - SAC Funded, International - SAC Funded.
Achieved after PYTD	Difference between 2015 likely and confirmed EFTS at the equivalent time of year and confirmed EFTS at the end of the year.
Growth	EFTS growth based on equivalent date last year.
New	Year to date (YTD) new to programme EFTS.
Returning	Year to date (YTD) returning to programme EFTS.

Appendix 2: Financial Performance – Current Year

Financial Performance – Current Year
As at 29 July 2022 (refer item 5.1)

\$000s	Year to Date (\$000s)			Full Year (\$000s)		
	Actual	Budget	Variance	Forecast	Budget	Variance
Income	259,874	263,367	(3,493)	410,154	421,567	(11,413)
Expense	226,860	231,776	4,916	401,162	410,085	8,923
Surplus before interest	33,014	31,591	1,423	8,992	11,482	(2,490)
Interest	1,102	1,612	510	2,286	2,764	478
Net surplus	31,912	29,979	1,933	6,706	8,718	(2,012)

Comment

YTD July 2022 reflects a net surplus from operations of \$32M, which is \$1.9M ahead of budget, driven by the operational savings achieved from the delayed return to campus of students in semester 1, unfilled or delayed staff appointments and reduced direct teaching costs aligned with the current lower student enrolments. The full year forecast projects a \$6.7M net surplus from operations that is \$2.0M behind budget. The forecast position for 2022 reflects the best estimate of the continuing impact of Covid, with lower than planned domestic enrolments despite the positive results achieved in 2021.

For 2022, management are focused on mitigating the effects of declining enrolments and the overall financial performance. The \$1.9M YTD surplus provides some capacity to absorb the forecasted expenditure planned for the remainder of the year to ensure we can mitigate any unforeseen financial pressures and be able to respond to new priorities.

TEC Financial Covenants	2022 Year-end Forecast		
	FY Forecast	TEC	Outcome
Max commercial debt borrowings \$000s	\$63,022	\$220,000	On target
Max aggregate financing \$000s	\$74,276	\$243,000	On target
Max Debt / Debt + Equity Ratio	8%	30%	On target
Max Debt / Net Cash Flow Ratio	1.24	3.00	On target
Max Debt / Total Revenue Ratio	18%	55%	On target
Min Net Surplus Ratio	1.6%	>0.0%	On target
Min Cash Flow from Operation Ratio	117%	113%	On target
Min Interest Cover Ratio	3.93	Suspended until 2026	
Min Liquidity Ratio	45%	2%	On target

Comment

All TEC financial covenants are projected to be met in 2022.

Financial Performance 2022 YTD Compared to 2021 YTD

\$000s	Year to Date (\$000s)		
	2022	2021	Variance
Income	259,874	258,573	1,301
Expense	227,962	223,352	(4,610)
Net surplus	31,912	35,221	(3,309)

Higher **year-to-date income** compared to the same period last year is driven by timing noting that semester one in 2022 began in February, but semester one in 2021 started in March.





Higher **year-to-date expense** compared to the same period last year is driven by higher salary costs, student support expenses and IT support expenses.

Overall, the **year-to-date net surplus** is \$3.3M lower than the same last year reflects the net impact of lower student enrolments and higher operation costs in 2022.

Appendix 3: Canvas Project Report

Project Name: LMS Implementation
 Reporting Date: 21st July 2022
 Circulation: LMS Implementation Steering Group, Project File
 Author: Tim Alcock, Project Manager

Project Status Summary

	<u>Project Progress</u> On Schedule.		<u>Project Budget</u> On Budget.		<u>Project Resources</u> Monitoring Project resources.		<u>Project Risks</u> Monitoring support calls.
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Development of the 2022 Courses is complete with cycle 6 completing as planned on the 15 July. This brings the total number of courses developed to 1842 and completes the project's last key milestone.

The roll over for Semester 2 has been successful. The majority of courses were available for the start of semester (July 18th). However, the availability of some courses for students was delayed waiting on Course Leads to review / publish the course.

The Syllabus+ (timetabling system) integration with Canvas calendars went live on the 11 July. Initial feedback has been positive.

Support questions continue to follow a similar pattern to any Semester, albeit with higher volumes due to more users being unfamiliar with the Learning Management System and the 'newness' of the digital resources. The focus of questions has moved from Assessments and Marking functionality back to Course updates and access.

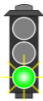

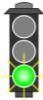

A 2023 Course Cycle is planned to start on 25th July. Due to limited capacity within the project team a total of 69 courses are planned with priority given to confirmed Semester 1 2023 courses where the Course leads are known and have availability to work with the team within the cycle dates.

Key Milestones


Milestone	Status	Baseline Date	Target date	Notes
Canvas Contract Agreed	empl			
Environments Commissioned	empl			
Development Resources confirmed	empl		3/3/21	
Baseline Curriculum workload confirmed	empl		10/3/21	
Baseline Canvas configuration completed	empl		24/3/21	
Project team training completed	empl		7/4/21	
Curriculum development cycle 1 completed	empl	14/6/21	2/7/21	Cycle 1a completed on 2/7/21, Cycle 1b completed 6/8/21.
Curriculum development cycle 2 completed	empl	23/8/21	10/9/21	Cycle 2a completed on 10/9/21 and 2b completed on 15/10/21.
Curriculum development cycle 3 completed	empl	1/11/21	19/11/21	Cycle 3a completed on 19/11/21. Cycle 3b completed on 18/10/21
Blackboard content migrated	empl		31/3/22	Extension provided by Instructure. 2020/2021 courses migrated (20/6).
Curriculum development cycle 4 completed	empl	14/2/21	25/2/22	Cycle 4a completed on 18/2/22 Cycle 4b completed on 25/2/22

Cut-Over to Canvas	empl		25/2/22	
Curriculum development cycle 5 completed	empl	25/4/22	6/5/22	Cycle 5a completed on 6/5/22 Cycle 5b completed on 10/6/22
Curriculum development cycle 6 completed	empl	4/7/22	15/7/22	Cycle 6a completed on 15/7/22

Project Status by Stream

	<p><u>LMS technical Implementation.</u> The integration between Syllabus+ (timetabling system) and Canvas went live on 11th July. The integration transfers Syllabus+ bookings (classes, tutorials, workshops) to the corresponding Canvas Course Section (stream) Calendar in line with the current Syllabus+ / Student App integration. Initial feedback has been positive. Some technical enhancements have been identified for the Arion Grades integration to improve performance and messaging to users. These will be developed and tested over the next month. The Canvas environment continues to perform well with no significant issues reported.</p>																				
	<p><u>Curriculum Development.</u> The Curriculum Development of the 2022 Courses is now complete with development cycle 6a completing as planned on the 15th July (166 courses). This brings the total number of courses developed to 1842, some 5% more than originally budgeted.</p> <div data-bbox="378 638 1490 989" data-label="Figure"> <table border="1"> <caption>Course development progress</caption> <thead> <tr> <th>Category</th> <th>Developed</th> <th>In Progress</th> <th>Planned</th> <th>Remaining</th> </tr> </thead> <tbody> <tr> <td>Semester 1</td> <td>1264</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Semester 2</td> <td>578</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>2023 Courses</td> <td>0</td> <td>0</td> <td>0</td> <td>69</td> </tr> </tbody> </table> </div> <p>With further resignations in the Project team reducing the project team's capacity to support the 2023 cycle the cycle has been reduced to assisting with 69 courses, with priority given to confirmed Semester 1 2023 courses where the Course leads are known and have availability to work with the team. There will be two development cycles, Cycle a running from 25th July to 14th Oct, and Cycle b running from 5th September to 25th November.</p>	Category	Developed	In Progress	Planned	Remaining	Semester 1	1264	0	0	0	Semester 2	578	0	0	0	2023 Courses	0	0	0	69
Category	Developed	In Progress	Planned	Remaining																	
Semester 1	1264	0	0	0																	
Semester 2	578	0	0	0																	
2023 Courses	0	0	0	69																	
	<p><u>Blackboard migration.</u> The technical migration of 2020 / 2021 Blackboard content to Canvas by Instructure has been completed. The majority of 2020 and 2021 courses have been migrated. Instructure are reviewing courses that failed to migrate to assess whether they can identify a way to migrate them.</p>																				
	<p><u>Change management</u> The cut-over to Semester 2 has completed including incorporating the timetabling integration. Feedback has in general been positive about the cut-over and integration. Whilst messaging went out through the faculties there were still a number of courses that were not published in time for the semester start, and a greater number not published for the 11th July when students were due to start getting access to their new course content. Support structures and resources have been bolstered for the expected start of semester increase in support requests. Support volumes have increased with the focus of support moving to Course updates and Course access as expected. The focus of change management will now move to the project close activities. A student survey (similar to the Student Voice - Learning Management System Review undertaken in July 2019) is being developed with the assistance of the Strategy Lab – Strategy and Planning, with a target date of Mid-August.</p>																				

Financial Summary

	<p>Financial Summary (as @ June month end): Project forecasts a current underspend of \$299K.</p> <table border="1"> <thead> <tr> <th>Learning Management System</th> <th>Council approved budget</th> <th>Spend to June 2022</th> <th>Remaining Spend</th> <th>Total Project Spend</th> <th>Underspend / (Overspend)</th> </tr> </thead> <tbody> <tr> <td></td> <td>('000)</td> <td>('000)</td> <td>('000)</td> <td>('000)</td> <td>('000)</td> </tr> </tbody> </table>	Learning Management System	Council approved budget	Spend to June 2022	Remaining Spend	Total Project Spend	Underspend / (Overspend)		('000)	('000)	('000)	('000)	('000)
Learning Management System	Council approved budget	Spend to June 2022	Remaining Spend	Total Project Spend	Underspend / (Overspend)								
	('000)	('000)	('000)	('000)	('000)								

Academic Course development	5,200	4,654	1,177	5,830	(630)
Learning Designers	3,100	2,206	409	2,615	485
Learning Technologists	1,200	1,297	401	1,698	(498)
Media Developers	1,000	813	188	1,002	(2)
Staff Contingency (annual leave balance)	0	0	532	532	(532)
Course Development	10,500	8,970	2,706	11,677	(1,177)
Canvas professional services	130	43	0	43	87
Development of AUT integrations	250	128	66	193	57
Course template development	250	24	1	25	225
Special Considerations	0	175	0	175	(175)
Blackboard cut over costs	125	0	0	0	125
Integration Student Portal	100	0	0	0	100
Integrate with Datawarehouse	100	0	29	29	71
Technical Implementation	955	370	95	465	490
First year license cost	800	415	0	415	385
Project manager	390	231	81	312	78
Project change champion	320	154	0	154	166
Faculty rep	720	390	60	450	270
Project Coordinator	355	120	48	168	187
Project expenses	90	57	138	196	(106)
Project Cost	2,675	1,367	328	1,695	980
Contingency	706	0	700	700	6
Total	14,836	10,708	3,829	14,537	299

Notes:

- (1) Spend to June 2022 accounts has consumed 72% of the project budget and have completed 77% of courses which costs have been reflected in the total accordingly
- (2) 96% of courses will be developed by August with full completion occurring by the end of 2022.
- (3) The Council approved budget contained a contingency of 706K which is still in the forecast and there is no known commitment against it to date.
- (4) Overall, the project is forecasted to have \$299K savings from the approved budget

Notes on Major Variances

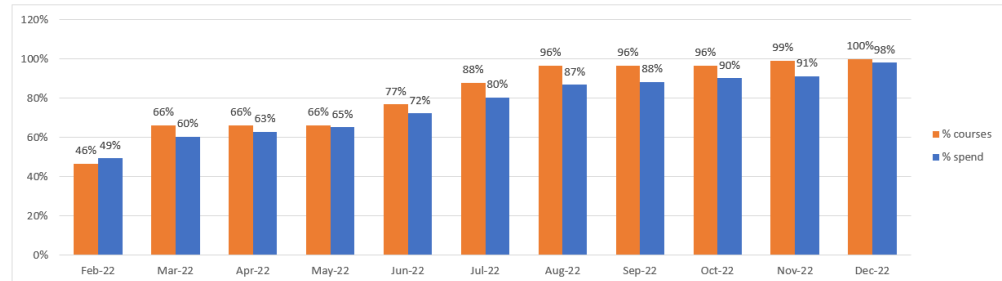
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Course development	(1,177)	Originally budgeted for 1,750 courses. Forecasted to develop 1,842 courses. Course development salary is forecasted at \$541K covering period from Sep to Dec to support Semester 2 courses and development support of 2023 courses(69).
Technical Implementation	490	(a) Canvas professional services less than budget. Course template development budgeted for an external provider significantly less external work than anticipated. (b) Special considerations not budgeted but required for project. (c) Black board cut over cost classified as opex. (d) No work required on integration with student portal. The cross subsidisation among these four items resulted in overall positive of \$490k.
Project Costs	980	

(a) License cost \$385K less than budgeted. (b) Project manager, coordinator and change manager salary less than budgeted due to later start date. (c) Faculty reps budgeted for 24 months, but only required for 15 months. (d) Project expenses is overbudget which included 30K legal fees and buyout of leased computer devices.

Comparison of spend vs course completion

	Actual					Forecast					
	Feb-22	Mar-22	Apr-22	May-22	Jun-22	Jul-22	Aug-22	Sep-22	Oct-22	Nov-22	Dec-22
courses	887	1261	1261	1261	1466	1676	1842	1842	1842	1892	1911
% courses	46%	66%	66%	66%	77%	88%	96%	96%	96%	99%	100%
Spend	\$7,305,152	\$8,934,106	\$9,322,886	\$9,659,110	\$10,709,667	\$11,888,632	\$12,864,535	\$13,066,384	\$13,358,418	\$13,498,072	\$14,536,807
% spend	49%	60%	63%	65%	72%	80%	87%	88%	90%	91%	98%



Key Issues & Risk Analysis

No key Issues or Risks to report.

Key activities / Milestones for next month

The following activities / Milestones are planned for over the next month:

- Semester 2 support.
- Cycle 2023 development.
- Student / staff surveys.
- Begin Project close activities

Appendix 4: University key performance indicators – Progress report

August 2022

AUT has a new Statement of Service Performance in place from 2022, established as part of Investment Plan 2022-2024. It retains many of the KPIs from the previous Investment Plan and introduces several new measures designed to better understand the impact of AUT's teaching, research, and community engagement actions. Each KPI relates to at least one of the key themes of *AUT Directions*

- Theme 1 Creating exceptional learning experiences
- Theme 2 Discovery and application of knowledge for wellbeing and prosperity
- Theme 3 Responding to our place in the world
- Theme 4 Building our position as New Zealand's university of technology
- Theme 5 Being a great place to work and learn

KPIs	Theme(s)	Target 2022	YTD 2022	YTD 2021	Actual 2021	Notes
3. Proportion of bachelors graduates who completed work-integrated learning while studying	1, 4	90%	88%	87%	90%	On target
5. Course completion rate for students from areas with high deprivation scores	1, 3	77%	70%	74%	77%	Below target
6. Number of quality-assured research outputs	2	Annual increase	971	1,011	2,048	Below last year
7. Proportion of research-active academic staff	2	Annual increase	44%	37%	57%	On target
8. Value of new research contracts signed	2,4	Annual increase	\$8.8M	\$15.0M	\$18.6M	Below last year
9. Proportion of outputs with an international co-author	2, 3	Annual increase	61%	n/a	59%	On target
10. Ranking amongst NZ universities for field citation ratio	2,4	Top-four placing	Second	n/a	Second	On target. Based on 2019 publications (used for the 2022 reporting year), AUT's FCR is 2.60, above the sub-sector average of 2.38
11. Number of media articles using AUT staff as expert voices or highlighting AUT research	2,4	>1,000	763	928	1,475	On target
12. Number of Māori graduates from bachelors degrees	1, 3	Annual increase	102	107	305	
13. Number of Māori students in research programmes	2,3	Annual increase	130	98	113	Achieved

KPIs	Theme(s)	Target 2022	YTD 2022	YTD 2021	Actual 2021	Notes
14. Number of Pacific graduates from bachelors degrees	1, 3	Annual increase	184	203	440	
15. Number of Pacific students in research programmes	2, 3	Annual increase	81	82	93	
16. Number of EFTS at South Campus	3, 5	Annual increase	1,958	2,201	2,223	Below target; unlikely to achieve based on past enrolment trends

Results still to come

KPI	Theme(s)	Target 2022	Actual 2021	Source	Available
1. Proportion of students who would recommend their programme of study	1	81.5%	82%	Annual Programme Survey	Annually (December)
2. Proportion of students who are satisfied with teaching quality	1	83%	82.5%	Annual Programme Survey	Annually (December)
4. Proportion of available graduates who are working full time	1	81%	80.5%	Annual Graduate Survey	Annually (December)

Appendix 5: Independent Review implementation progress update

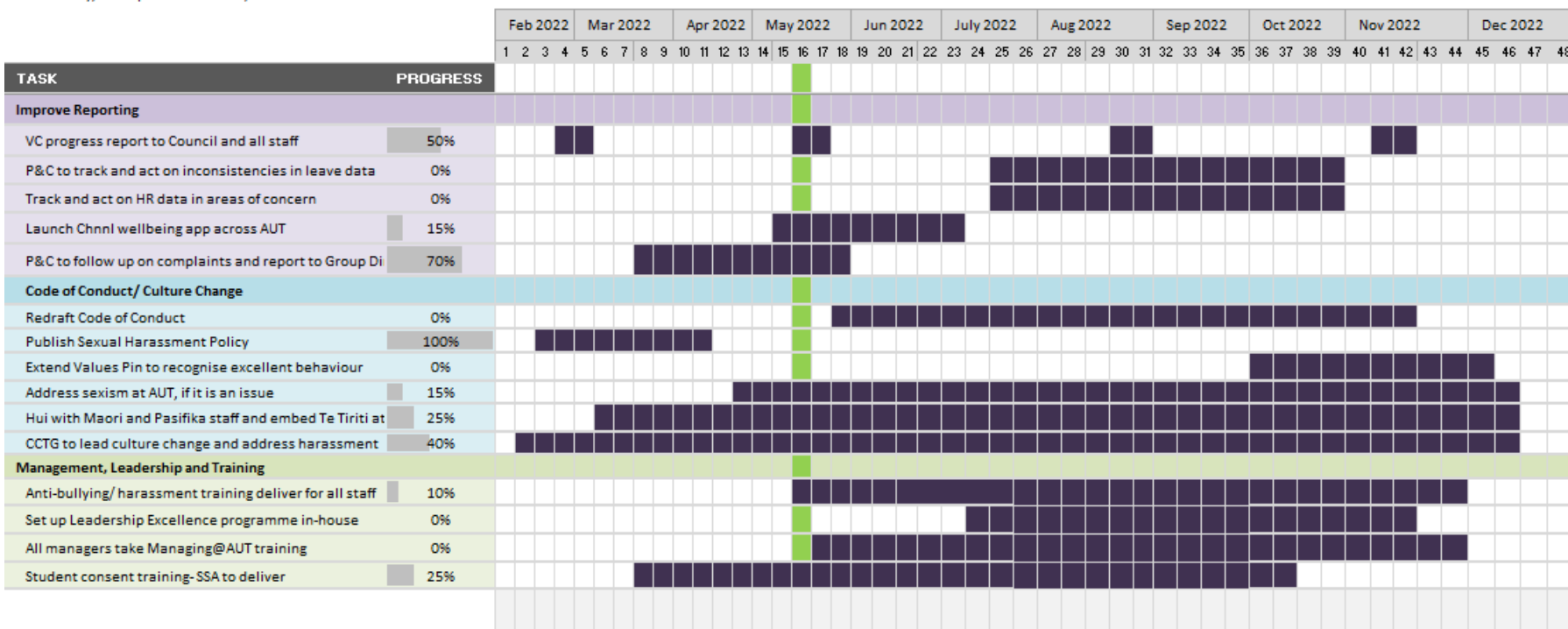
May 2022

Work responding to the recommendations in the Independent Review planned for 2022 is on track. Below you can see the 1) project plan Gantt chart, and 2) a table with our work to date and upcoming actions as they relate to the QC's recommendations.

- **Project plan gantt chart:**

Independent Review Recommendations Implementation 2022

Beth Bundy, Group Director P&C, AUT

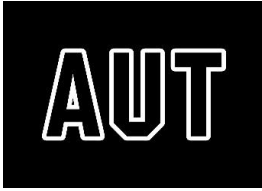


- **Work to date and upcoming actions**

In 2022 we are focused on adding value by further addressing the QC Recommendations noted below, which are sorted by theme.

Theme	Recommendation	Work to date	Upcoming actions
Improve reporting	3. VC Report to Council/ AUT on progress against the Review's recommendations 6-monthly and then annually	This marks the seventh progress report to Council. All staff have also been regularly updated on our progress via TUIA, emails and video chats.	Council advised they would like to continue to see regular reports on the Independent Review recommendations implementation.
	8. Ensure leave is properly recorded and taken	P&C tracks leave balances and managers are responsible for ensuring leave is taken.	In Q3/Q4 P&C will investigate this issue further and address any inconsistencies found.
	30. Track HR data, identify and act on trends	P&C began to track and act on data in key areas of concern.	In Q3/Q4 the scope of this work will be widened to identify and act on trends across AUT.
	31. P&C to follow up with all complainants and report to Group Director P&C	A process was devised for following up on complaints.	The process has been implemented, and regular reports are being made to the Group Director P&C.
	32. Invest in Chnnl, P&C to investigate issues raised	The Chnnl trial was a success, with managers reporting it helped them support staff during lockdown.	EARS and HSW are creating a strategy for the wider Chnnl release. Progress will be reviewed in mid-2022.
Code of Conduct/ Culture change	4. Redraft Code of Conduct and Sexual Harassment (SH) Policy	SH policy was drafted, consulted and published. Further commentary is being considered.	Code of Conduct will be consulted, redrafted and circulated in 2022.
	21. Extend Values pin to recognise excellent behaviour	A review, led by OMA, began on AUT's Values in Action. A toolkit was developed for leaders and staff and webinars on how to integrate values-led behaviour into the e-MAP conversation, and training was provided for New Leaders@AUT.	The eMAP form will be updated this year, pending availability of the ICT Dev team. The Values Pin initiative is being assessed.
	24. CCTG to lead culture change and address harassment	CCTG began work on key areas including Accountability, Action planning, Policy review, Surveys and Data, and Values. It created a Journey Map for culture change.	CCTG continues to progress its work plan, aligned with its Terms of Reference, by investigating issues, identifying recommended solutions, and sharing those with relevant groups including the VC.
	35. Address sexism at AUT, if it is an issue	Thinking began in this space but the lockdown paused progress on this initiative. Prepared summary on relevant activities and initiatives, including Gender Tick.	To participate in the Mind the Gap initiative, informed by the Public Service Commission's new Te Orowaru Pay Equity Assessment and Kia Toipoto plan. A Gender Pay Gap report is being drafted. Kate is progressing a Gender Tick framework.
	36. Hui with Māori and Pasifika staff and embed Te Tiriti at AUT	Office of Māori Advancement led work to determine how we build cultural capability	OMA/VCO will continue to lead this work. Beth will catch up with Valance in the coming weeks to determine next steps.

		and improve training around living our values and Te Tiriti.	A funding proposal has gone to SLT, to train all staff in AUT values, values-behaviours, and Te Tiriti. P&C is poised to support training development and delivery if funding is approved.
Management, Leadership and Training	19. Student Consent training	SSA developed the student consent programme and began rolling it out students in AUT Accommodation	SSA to roll out the programme to more students during 2022.
	20. Leadership & Management Training; set up Leadership & Excellence programme	Following covid delays, these programmes are back up and running.	P&C will continue to deliver Leadership and Management training including Catalyst, Leadership Excellence, and People Leaders Essentials training throughout 2022.
	27. Bullying and harassment/ conduct training for all staff	Following covid delays, these courses are back on and being delivered in person.	Anti-Bullying and Harassment training will continue throughout 2022.
	28. All managers to take Managing@AUT training	Following covid delays, these courses are back on and being delivered in person.	Managing@AUT training will continue throughout 2022.



PART A	13.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **UPDATE FROM AUTSA**

Date: 29 August 2022

RECOMMENDATION:

THAT COUNCIL RECEIVE THE VERBAL UPDATE FROM THE PRESIDENT OF AUTSA
