

AGENDA PAPERS

NOTICE OF COUNCIL MEETING

**Notice is Hereby Given that a Meeting of the Council of Auckland
University of Technology will be held:**

via Microsoft Teams

On: Monday, 28 February 2022

FROM: 3.30 – 6.00 PM

**Dr Andrea Vujnovich
COUNCIL SECRETARY**



AUCKLAND UNIVERSITY OF TECHNOLOGY COUNCIL

Chancellor

Rob Campbell
Appointed by Council

Pro-Chancellor

Sussan Turner
Appointed by the Minister for Tertiary Education

Members

Dr Pat Alley
Appointed by Council

Renata Blair
Appointed by Council

Corrie Cook
Elected by the Professional Staff of the Auckland University of Technology

Sara Youssef
Appointed by the Auckland University of Technology Student Association

Derek McCormack
Vice-Chancellor of the Auckland University of Technology

Professor Edwina Pio
Elected by the Academic Staff of the Auckland University of Technology

Leo Foliaki
Appointed by Council

Janine Smith
Appointed by the Minister for Tertiary Education

Peter Treacy
Appointed by the Minister for Tertiary Education

Sina Wendt
Appointed by the Minister for Tertiary Education

PART A

OPEN AGENDA



COUNCIL PART A OPEN AGENDA

Council Agenda Part A (Open Agenda)**Monday 28 February 2022 at 3.30 pm to be held via Microsoft Teams**

Karakia		
Mihi – Chancellor		
1. WELCOME, APOLOGIES AND APPROVAL OF AGENDA	The Chancellor moves that apologies, be noted and that Council approve the assignment of agenda items to Part A and Part B of the Council agenda.	
2. DECLARATION/RECORDING OF ANY INTERESTS	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The Chancellor moves that the declarations, if any be noted and the action taken be endorsed .
3. CONSTITUTIONAL MATTER, CONFERMENT OF DEGREES AND POLICY	3.1 University Medal – Derek McCormack	The Chancellor moves that the decision made on or around 24 January 2022 be ratified <ul style="list-style-type: none"> • See item 3.1
4. COUNCIL MEETINGS	4.1 Council Draft Minutes (Part A), 6 December 2021 4.2 Matters arising from the Minutes (Part A), 6 December 2021 not elsewhere on the Agenda	The Chancellor moves that the Minutes (Part A), 6 December 2021 be taken as read and confirmed .
5. VICE-CHANCELLOR'S REPORT	5. Vice-Chancellor's Report	The Chancellor moves that the Vice-Chancellor's Report be received .
6. CHANCELLOR'S REPORT	6. Chancellor's Report	The Chancellor moves that the Chancellor's Report be received .
7. REPORTS FROM BOARDS, COMMITTEES AND WORKING GROUPS TO COUNCIL	7.1 Chancellor's Committee on Māori and Pacific Matters – No Report	
	7.2 Reports and Minutes from the Academic Board, 29 November 2021	The Chancellor moves that Reports and Minutes from the Academic Board, 29 November 2021 be received .
	7.3 Council Working Group, Draft Minutes 6 December 2021.	The Chancellor moves that Draft Minutes from the Council Working

		Group, 6 December 2021 be received.
	7.4 Council People and Culture Committee – No Report	
8. CORRESPONDENCE REFERRED BY THE CHANCELLOR	8.1 Email from Jenn Bestwick (Chair TEC Board), dated 12 January 2022.	The Chancellor moves that the Email from Jenn Bestwick (Chair TEC Board), dated 12 January 2022 be received.
9. OTHER MATTERS FOR DECISION OR NOTING	9.1 Update from AUTSA	The Chancellor moves that the Update from AUTSA be received.
10. ITEMS MOVED FROM PART B OF THE AGENDA TO PART A AND GENERAL BUSINESS		

PUBLIC EXCLUSIONS

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act or section 6 or section 7 or section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public are as follows:

1. To protect information where the making available of the information would be likely unreasonably to prejudice the commercial position of the University.
2. To enable the University to carry out, without prejudice or disadvantage, commercial activities or negotiations.
3. To protect the privacy of persons referred to in the recommendations and to maintain the confidentiality of those recommendations.
4. To enable free and frank discussions.
5. To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT A Vujnovich, K McPherson, L Williams, S Shergill, A Burrows, W Lawson, D Salesa, B Bundy (for item 12) and D Pinchen (for item 12) be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under the sections of the OIA identified below.	Ground(s) under section 48(1) for the passing of this resolution
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11. Council Minutes Part B of the meeting held on 6 December 2021	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
12. Health, Safety & Wellbeing reports	s 9(2)(a) and s 9(2)(b)(ii)	LGOIMA s48(1)(a)(ii)
14. Report from AUT Millennium	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
15. Report from Council Finance and Audit Committee	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
16. Report from AUT Ethics Committee	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
17. Report from Council Executive Committee	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
19. Vice Chancellor Update on Current Matters	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
20. Chancellor Update on Current Matters	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
21. Items moved from Part A of the Agenda to Part B	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
22. Other Business	s 9(2)(a), s 9(2)(b)(ii), s 9(2)(h), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
22.1 Report from Council Honours Committee	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
22.2 Approve entry into a Service Level Agreement	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)



PART A	3.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **University Medal – Derek McCormack**

Date: 24 January 2022

RECOMMENDATION:

TO RATIFY THE DECISION MADE BY COUNCIL ON OR AROUND 24 JANUARY 2022 THAT UNDER THE POWERS AND STATUTES OF THE AUCKLAND UNIVERSITY OF TECHNOLOGY ESTABLISHED ON 11 JANUARY 2000 BY ORDER OF HIS EXCELLENCY THE GOVERNOR GENERAL OF NEW ZEALAND THE COUNCIL OF THE AUCKLAND UNIVERSITY OF TECHNOLOGY RESOLVES TO AWARD DEREK KENNETH MCCORMACK THE UNIVERSITY MEDAL IN RECOGNITION OF OUTSTANDING LEADERSHIP OF THE UNIVERSITY AS VICE-CHANCELLOR FROM 2004 TO 2022

Background

The University's Excellence Awards ceremony is scheduled for 10 February 2022 and will recognise the University's outstanding teaching, research, and professional staff.

It is proposed that Council award the Vice Chancellor a unique University Medal to acknowledge his leadership of AUT for the past 17 years. This is confidential and is intended to be a surprise for Derek and for the audience, in a similar way to all University excellence awards.

The University Medal will be accompanied by a framed certificate signed by the Chancellor and the Deputy Vice Chancellors and stamped with the University Seal.

If Council agrees, the recommendation will be ratified as a minute at its meeting in February which will be held after the event.

PART A	4.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **MINUTES PART A OF THE COUNCIL MEETING HELD ON 6 DECEMBER 2021**

Date: 6 December 2021

RECOMMENDATION:

THAT THE MINUTES PART A OF THE COUNCIL MEETING HELD ON 6 DECEMBER 2021 BE CONFIRMED AS A TRUE AND CORRECT RECORD.

CONFIDENTIAL



MINUTES

Council Meeting

Meeting held Monday 6 December 2021 at 3.15 pm
Microsoft Teams

PRESENT: R Campbell (Chair); D McCormack; E Pio; L Foliaki; J Smith; P Treacy; S Turner; S Wendt; S Lui; C Cook; and R Blair.

SECRETARY: A Vujnovich, Council Secretary

IN ATTENDANCE: L Williams (Chief Financial Officer), K McPherson (Deputy Vice-Chancellor Research), S Shergill (Council Co-ordinator), D Pinchen (Director, Health Safety & Wellbeing) -for Item 12, B Bundy (Group Director, People & Culture) -for Part A and Items 12 and 18.

PART A OPEN AGENDA

Renata Blair opened the meeting with a Karakia.

1 WELCOME, APOLOGIES AND APPROVAL OF AGENDA ITEMS

THAT AN APOLOGY FOR ABSENCE FROM P ALLEY BE RECEIVED.

Resolved

THAT THE ASSIGNMENT OF AGENDA ITEMS TO PART A AND PART B OF THE COUNCIL AGENDA BE APPROVED.

2 DECLARATION/RECORDING OF INTERESTS

The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.

- The Chancellor declared his recent appointment as the Chair of the Environmental Protection Authority.

Resolved

THAT THE DECLARATIONS BE NOTED AND THE ACTIONS TAKEN BE ENDORSED.

3 CONSTITUTIONAL MATTER, CONFERMENT OF DEGREES AND POLICY

No report.

4 COUNCIL MEETINGS AND MINUTES OF PREVIOUS MEETING(S)

4.1 Minutes of the previous meeting

Received

Draft Minutes (Part A), of the Council meetings on 27 September 2021, 8 November 2021 and 22 November 2021

Resolved

THAT THE MINUTES (PART A) OF THE COUNCIL MEETINGS ON 27 SEPTEMBER 2021, 8 NOVEMBER 2021 AND 22 NOVEMBER 2021 BE TAKEN AS READ AND CONFIRMED AS A TRUE AND CORRECT RECORD.

4.2 MATTERS ARISING

There were no matters arising from the Minutes (Part A), of the Minutes of 27 September 2021, 8 November 2021 and 22 November 2021 not elsewhere on the Agenda.

5 VICE CHANCELLOR'S REPORT

Received

Vice Chancellor's Report

Noted in discussion

Vice-Chancellor Appointment

- The Vice-Chancellor congratulated Dr Damon Salesa on his appointment as the third Vice-Chancellor of AUT.
- The Vice-Chancellor is assisting Dr Salesa to meet with key staff at AUT in readiness for his start on Monday, 28 March 2022.

COVID and Vaccinations

- The COVID Protection Framework (traffic light system) came into force on Friday, 3 December.
- Under the red light, everyone on campus must be fully vaccinated and have a COVID vaccination pass to access campus. Staff and students can upload their vaccine pass on the AUT website or using the AUT app. For the rest of 2021, staff are encouraged to work from home.
- Under the red light, students who cannot access campus have access to the online learning options.
- Under the orange and green light, vaccination is not mandatory for every role. AUT anticipates all campuses will be open regardless of vaccination status during these stages. Public health measures will also be in place.
- AUT hasn't adopted a COVID vaccination mandate and will be guided by the Government guidelines. AUTSA has also been consulted, AUTSA has recommended that Government guidelines should be followed. The Government has indicated that Universities should operate within the Government mandate and all legislation should be considered, including the Education and Training Act 2020 and Human Rights Act 1993. This approach balances competing interests of the community considering the complexity of the University's business and its operations.
- AUT encourages everyone who can be vaccinated to do so and it is estimated that approximately, 96% of AUT staff will be fully vaccinated by the end of 2021. Around 150 may be unvaccinated. Depending on the circumstances, some staff may continue working from home. Unvaccinated staff will be provided with further information. The Vice-Chancellor will continue to provide updates to Council on COVID and vaccinations.
- There is concern from staff who have elderly family members and young children about returning to campus if there are people on campus who are unvaccinated.
- The pandemic has profoundly impacted students. AUT has responded through the Student Hardship Fund, distributing \$1,281,854, to 1,526 students with 2,482 financial assistance cases as of 10 November 2021.

LMS

- The implementation of Canvas is progressing as planned with 475 courses already completed and 420 courses under development.
- Planning with the Heads of School is almost complete for a further 388 courses ensuring all courses required for Semester 1, 2022 will be ready to go.
- There are around 1800 courses at AUT. This is more than what may be taught in one year. Therefore, some courses are considered low priority and these will transition to Canvas without remodelling.

Business School Accreditation

- AACSB International has extended its accreditation of AUT Business School for five years. AACSB is a recognised accreditation body with a presence in more than 100 countries.
- Earlier this year, the Business School achieved its first EQUIS accreditation, an equally sought-after recognition of the international quality of Business Schools.

Internationalisation

- AUT, in collaboration with MFAT and the APEC Voices Trust, hosted the APEC Voices of the Future online event from 8 to 12 November. Several keynote speakers were featured (including Prof. Edwina Pio and AUT alumnus Wayne Hay). New Zealand delegates presented the declaration to PM Jacinda Ardern.
- The Government has announced that from 30, April 2022 the borders will open to fully vaccinated foreign nationals. This will include international students, with no MIQ but 7 days of self-isolation. NZ Immigration may not yet have the capability to handle the return of international students at scale and may prioritise skilled migrants.
- This opens the opportunity to invite international students to start online and finish on campus. This will be key for students who are enrolled in hospitality and engineering-related courses that need to complete their studies on campus.
- AUT is working with other NZ Universities to get priority for international students as they are valuable not only for NZ Universities but also for the NZ economy.

Appointments and Achievements

- AUT's first Rhodes Scholar is engineering graduate and current business student, Monique Cooper. Monique has been awarded the prestigious Rhodes Scholarship for 2022 to undertake postgraduate study at the University of Oxford.
- Dr Liz Smythe has been appointed Professor Emeritus. With a background in nursing and midwifery, Liz has been one of the longest standing academics at AUT. Among her many contributions to teaching, clinical practice, and research in her field of phenomenological studies, Liz was pivotal in the development and leadership of the Doctor of Health Science programme, a hugely successful professional doctorate, with no counterpart elsewhere in the country. Currently, the doctorate has over one hundred enrolled students and has numerous graduates from the last decade. Liz has also been one of the University's most successful graduate research supervisors. She was promoted to professor nine years ago and retired from her position earlier this year.
- Professor Mandy Smith has been appointed as the Head of the School of Art & Design after an international search. Mandy has been a member of the school for many years and has had several senior roles, most recently the Associate Head of Research.
- Professor Nik Kasabov has been made a Life Fellow of IEEE (Institute for Electrical and Electronic Engineers) for his contribution to computational intelligence. A Life Fellowship is the highest recognition by IEEE, the largest professional body in Nik's field.
- Associate Professor Heather Came has been named this year's Kāhui Hauora Tūmatanui Public Health Champion. The lifetime achievement award bestowed by the Public Health Association of New Zealand recognises her outstanding contribution to public health action.

- Professor Paul Moon is the first to obtain an AUT higher doctorate. His application was approved by an external panel of academics. The award recognises Professor Moon's contribution to historical knowledge that has international and authoritative standing. This is another first for the university.

Staff Diversity

- There is still more work that can be done to increase the number of Māori and Pacific staff at AUT.
- The Early Career Academic Programme also supports early career Māori and Pacific academics.

Resolved

THAT THE VICE-CHANCELLOR'S REPORT TO COUNCIL BE RECEIVED.

6 CHANCELLOR'S REPORT

No report.

7 PART A – REPORTS FROM BOARD, COMMITTEES AND WORKING GROUPS OF COUNCIL

7.1. Chancellor's Committee on Māori and Pacific Matters

Received

Chancellor's Committee on Māori and Pacific Matters, draft minutes 27 September 2021

Resolved

THAT THE DRAFT MINUTES OF THE CHANCELLOR'S COMMITTEE ON MĀORI AND PACIFIC MATTERS MEETING HELD ON 27 SEPTEMBER 2021 BE RECEIVED.

7.2 Academic Board Reports and Minutes, 27 September 2021 and 26 October 2021

Received

Academic Board Reports and Minutes, 27 September 2021 and 26 October 2021

Resolved

THAT THE ACADEMIC BOARD REPORTS AND MINUTES OF THE MEETINGS HELD ON 27 SEPTEMBER 2021 AND 26 OCTOBER 2021 BE RECEIVED.

7.3 Council Working Group

Received

Council Working Group, draft minutes 27 September 2021

Resolved

THAT THE DRAFT MINUTES OF THE COUNCIL WORKING GROUP MEETING HELD ON 27 SEPTEMBER 2021 BE RECEIVED.

7.4 Council People and Culture Committee

Received

Council People and Culture Committee Overview

Resolved

THAT THE COUNCIL PEOPLE AND CULTURE COMMITTEE OVERVIEW BE RECEIVED.

8 CORRESPONDENCE REFERRED BY THE CHANCELLOR

No correspondence was referred to.

9 OTHER MATTERS FOR DECISION OR NOTING

9.1 Update from AUTSA

Received

Verbal Update from Sisifa Lui (President of AUTSA)

Noted in discussion

- The President of AUTSA thanked Council for all the work done this year and for putting the interests of students at the centre of its discussions.
- Sara Yousef has been elected as the next President of AUTSA and will start in January 2022.
- On behalf of the Council, the Chancellor expressed appreciation for Sisifa Lui as President of AUTSA and the excellent way she has represented the student body at Council meetings.

Resolved

THAT THE VERBAL UPDATE FROM THE PRESIDENT OF AUTSA BE RECEIVED.

9.2 Appointment of Dr Katey Thom as Chair of the AUT Ethics Committee

Received

Recommendation to appoint Dr Katey Thom as Chair of the AUT Ethics Committee

Noted in discussion

- The Academic Board has recommended that Dr Katey Thom be appointed as Chair at the end of Professor Kate Diesfeld's term. Dr Thom has a range of experience that will be valuable in this role.
- Professor Kate Diesfeld was acknowledged for the significant contribution she had made to the research community of AUT as the Chair of AUTECH.

Resolved

1. *THAT DR KATEY THOM BE APPOINTED AS THE CHAIR OF THE AUT ETHICS COMMITTEE FOR A THREE YEAR TERM COMMENCING 1 JANUARY 2022; AND*
2. *THAT PROFESSOR KATE DIESFELD BE ACKNOWLEDGED FOR THE SIGNIFICANT CONTRIBUTION SHE HAS MADE TO THE RESEARCH COMMUNITY OF THE UNIVERSITY HAVING SERVED SIX YEARS AS THE CHAIR OF THE AUT ETHICS COMMITTEE.*

10 GENERAL BUSINESS AND ITEMS MOVED FROM PART B TO PART A

RESOLUTION TO EXCLUDE THE PUBLIC

THAT THE PUBLIC BE NOW EXCLUDED FROM PART B OF THIS MEETING, AND THAT THE FOLLOWING MATTERS BE DISCUSSED WITHOUT PUBLIC DISCLOSURE.

THIS RESOLUTION IS MADE IN RELIANCE ON SECTION 48(1)(A) OF THE LOCAL GOVERNMENT OFFICIAL INFORMATION AND MEETINGS ACT 1987 AND THE PARTICULAR INTEREST OR INTERESTS PROTECTED BY SECTION 6 OR SECTION 7 OF THAT ACT OR SECTION 6 OR SECTION 7 OR SECTION 9 OF THE OFFICIAL INFORMATION ACT, AS THE CASE MAY REQUIRE, WHICH WOULD BE PREJUDICED BY THE HOLDING OF THE WHOLE OR RELEVANT PART OF THE PROCEEDINGS OF THE MEETING IN PUBLIC ARE AS FOLLOWS:

1. *TO PROTECT INFORMATION WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY UNREASONABLY TO PREJUDICE THE COMMERCIAL POSITION OF THE UNIVERSITY.*
2. *TO ENABLE THE UNIVERSITY TO CARRY OUT, WITHOUT PREJUDICE OR DISADVANTAGE, COMMERCIAL ACTIVITIES OR NEGOTIATIONS.*
3. *TO PROTECT THE PRIVACY OF PERSONS REFERRED TO IN THE RECOMMENDATIONS AND TO MAINTAIN THE CONFIDENTIALITY OF THOSE RECOMMENDATIONS.*
4. *TO ENABLE FREE AND FRANK DISCUSSIONS.*
5. *TO PREVENT THE DISCLOSURE OR USE OF OFFICIAL INFORMATION FOR IMPROPER GAIN OR ADVANTAGE.*

AND THAT A VUJNOVICH, L WILLIAMS, K MCPHERSON, S SHERGILL, D PINCHEN (FOR ITEM 12), B BUNDY (FOR ITEMS 12 AND 18), BE PERMITTED TO REMAIN AT THIS MEETING, AFTER THE PUBLIC HAS BEEN EXCLUDED, BECAUSE OF THEIR KNOWLEDGE

OF THE MATTERS TO BE DISCUSSED IN THE PROCEEDINGS WHILE THE PUBLIC ARE EXCLUDED. THIS KNOWLEDGE, WHICH WILL BE OF ASSISTANCE IN RELATION TO THE MATTERS TO BE DISCUSSED, IS RELEVANT TO THESE MATTERS BECAUSE THEY RELATE TO ASPECTS OF THE ADMINISTRATION OF AUT FOR WHICH THOSE PERSONS ARE RESPONSIBLE.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under the sections of the OIA identified below.	Ground(s) under section 48(1) for the passing of this resolution
11. Council Minutes Part B of the meeting held on 27 September 2021, 8 November 2021 and 22 November 2021	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
12. Health, Safety & Wellbeing reports	s 9(2)(a) and s 9(2)(b)(ii)	LGOIMA s48(1)(a)(ii)
13. Report from AUT Ventures	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
14. Report from AUT Millennium	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
15. Report from Council Finance and Audit Committee	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
16. Report from AUT Ethics Committee	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
18. Report from People and Culture Committee	s 9(2)(a), s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
19. Vice Chancellor Update on Current Matters	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
20. Chancellor Update on Current Matters	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
21. Items moved from Part A of the Agenda to Part B	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
22. Other Business	s 9(2)(a), s 9(2)(b)(ii), s 9(2)(h), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
22.1 Revised AUTEC 2020 Annual Report	s 9(2)(a), s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
22.2 Pastoral Care Legal Advice	s 9(2)(b)(ii), s 9(2)(h), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
22.3 Washroom Management Services (Alsco Limited, trading as Fresh & Clean)	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
22.4 Computer Leasing - 0236 – December 2021	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)

Item 4.2 Matters Arising from the Minutes Part A

COUNCIL AGENDA ITEM	ACTION	Who	Status
28 September 2020	7.1 Chancellor's Report <ul style="list-style-type: none"> The Chancellor was pleased with the Council Working Group meeting with Year 13 students and requested to catch up with the same students next year for a follow up. 	Council Secretary	Ongoing- 2021
22 February 2021	5. Vice Chancellor's Report <ul style="list-style-type: none"> In 2021, a Council meeting will be hosted in AUT's wharehenui. 	Tumuaki / Dean Te Ara Poutama	First Quarter 2021
31 May 2021	3.3. Equal Opportunities Policy <ul style="list-style-type: none"> The Treaty of Waitangi should be referenced in the policy or alternatively, expressed in a Council Charter. A paper will be drafted in regard to a policy on Te Titiri and each Council policy should be reviewed at each Annual General meeting. 	Tumuaki / Dean Te Ara Poutama	Council Charter – ongoing Fourth Quarter 2021
	5 VICE CHANCELLOR'S REPORT <ul style="list-style-type: none"> The LMS reports will be provided frequently to Council. Further clarity needs to be provided on each team that is responsible for different areas of LMS. 	Vice Chancellor's Office	Actioned
28 June 2021	7.1. Chancellor's Committee on Māori and Pacific Matters Draft Minutes 31 May 2021 <ul style="list-style-type: none"> The time allocated for this Committee is not adequate to address the issues raised. The Committee will meet quarterly in association with a Council meeting. Each meeting will consider a specific review of achievement against selected KPIs and explore new initiatives and improvements. A work plan for this to be considered at the next Council meeting. 	Group Director Strategy & Planning Assistant VC South & Pacific Advancement Tumuaki / Dean Te Ara Poutama	September 2021 Actioned (Work plan delayed due to COVID lockdown)
26 July 2021	5 VICE CHANCELLOR'S REPORT <ul style="list-style-type: none"> Council wishes to receive a quarterly report on the growth in each sector. The report should also include information on the areas that are growing and the areas that are not. Council wishes to receive more information on the ethnicity of staff with statistics for academic staff and professional staff presented separately. 	Vice Chancellor's Office	September 2021 Actioned
30 August 2021	6.2 Te Tiriti o Waitangi <ul style="list-style-type: none"> The Chancellor working with the Vice-Chancellor will bring a proposal back to Council. 	Vice Chancellor's Office	Ongoing - 2022



PART A	5
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **VICE CHANCELLOR'S REPORT**

Date: **28 February 2022**

RECOMMENDATION:

THAT THE VICE CHANCELLOR'S REPORT BE RECEIVED

*This regular report is structured around the strategic themes of AUT Directions 2025, which are: **Theme 1** Creating exceptional learning experiences; **Theme 2** Discovery and application of knowledge for wellbeing and prosperity; **Theme 3** Responding to our place in the world; **Theme 4** Building our position as New Zealand's university of technology; **Theme 5** Being a place people love to work and learn.*

The report provides updates on progress within these themes and against indicators, as well as information on current issues and highlights, and sits alongside other reports such as the monthly financial report.

IMPORTANT UPDATES

1. Highlights from 2021

2021 was another challenging yet rewarding year for AUT, successful in many ways despite the disruptions.

Semester One commenced with a week-long COVID-19 lockdown and most of Semester Two was conducted under the same conditions. These are difficult circumstances in which to learn, teach, and research. I commend the way our students rose to the challenge and the commitment and dedication shown by our staff as they supported their student's ongoing learning and continued to pursue their research and other important activities for the university as best they could. They results were exceptionally good given the circumstances.

Some of the key highlights from 2021:

- 15 of the 18 university KPIs in the Statement of Service Performance were achieved.
- The number of domestic students remained on an upward trend growing 5% on 2019 and 3% on 2020 as well as achieving budget and meeting our TEC contract for the year. Much of the growth was centred on the subject areas of Health, Education, Architecture, and Sciences.
- The number of new international students was close to 1000 EFTS against a budget of just 300 EFTS that was set in response to the border closures.
- Amongst the educational successes, the number of Pacific graduates from bachelor's degrees increased from 385 in 2020 to 421 in 2021.
- In March 2021, we conferred qualifications on 3,800 graduates from 2020, and another 1,400 graduates crossed the stage in our winter ceremonies.

- We secured \$18.6 million in new research contracts over the course of the year, contributing to \$42 million of total research income (\$2 million better than budget), and the number of quality-assured research outputs was our highest (by a small margin) for a non-PBRF year, despite COVID restrictions to research activity.
- Times Higher Education (THE) placed AUT in the world's top 250 universities, making us second equal in New Zealand. The University also ranked 39th in the world for universities under 50 years old, and first in Australasia and 29th in the world for global research impact, which is based on average citation rates.
- We distributed more than \$1.5 million to students in hardship during the latter part of the year, including a \$75,000 grant to the AUT Students' Association's (AUTSA) Tautoko foodbank programme.
- While total revenue reduced by 1.3% from 2019, largely because of the loss of international students, careful financial management resulted in a net surplus of \$12.9 million (3.0% of revenue), well above the budgeted \$6.9 million, and all the financial covenants of our lenders and regulators were met.
- The major transition to CANVAS continued on track throughout the disruptions to be ready for all courses in Semester One this year.
- The WZ and WQ capital projects were completed, and staff relocated (or in the final stages) out of WT Building ready for the final expiry of our leases there. A major refurbishment of Library Level 3 was ready for enthusiastic use by students.
- Amongst all this we had a very smooth and well organised response to COVID lockdowns and other related events.

2. COVID-19

The AUT arrangements and plans for operation under the Omicron COVID outbreak are outlined in the following.

Teaching and learning

Following two years of interrupted learning, feedback from students indicates a strong desire to be on campus for 2022, and this is possible within current Government COVID requirements.

Accordingly, Semester One (starting 28 February) will begin on campus with public health measures in place.

Guidelines for teaching and learning have been developed by Faculty leaders with the Deputy Vice Chancellor Academic, in consultation with AUTSA, Student Services Division, and the Gold Team of the AUT COVID response network. The guidelines are set out in the "AUT Framework for the Delivery of Teaching and Learning Delivery in Omicron" that has been endorsed by Council. The framework has the following underpinning principles.

- We aim to provide an experience for our students that is as normal as possible
- We aim to support learning for all, including those who are affected by COVID
- We aim to ensure that priority learner groups are supported to be successful despite COVID
- We acknowledge AUTSA's expressed wish for as 'normal' a start to Semester One as possible
- We aim to provide an approach that is easy to understand, to communicate and to operate
- Our operations are consistent with Government advice and relevant public health measures.

Government's three-phase public health response to Omicron has been factored into the Framework with appropriate changes to delivery as the phases change.

During Phases One and Two, AUT campuses will be open, and all student-facing services will be available. Non-teaching events will be online. Teaching and learning will be delivered using the normal blend of on-campus in-person and online engagement and resources, but there will not be a parallel stream of fully online courses.

During Phase Two, lectures delivered to large classes will be online only. **Phase Three** arrangements are yet to be finalised.

These arrangements will be reviewed at midsemester break or earlier in response to any policy changes or staffing requirements.

Staff Arrangements

Staff may work remotely if their work does not need to be on campus. Special leave is available during Omicron for anyone needing to isolate, and no deductions from leave allowances or remuneration payments will be made, provided that notification is made.

A significant concern is the possible loss of high numbers of staff meeting self-isolation requirements. As the Omicron wave develops this will need to be closely monitored and changes to arrangements made as required.

Student Accommodation

Arrangements for students in hostel accommodation who must self-isolate have been made.

New permissions announced by government will allow students the option of returning home for isolation periods provided that this can be done without the use of public transport.

Maintenance of staffing levels in hostels is essential for pastoral care, particularly at this time. This is envisaged in MBIE's Close Contact Exemption scheme, which will cover student hostel workers. The scheme exempts designated individuals from the personal liabilities (fines) under the COVID health regulations for failing to isolate if deemed a close contact, thus allowing them to keep working if they choose.

Vaccine passes

By Government order, only people who are fully vaccinated can come onto campus at Red level of the COVID-19 Protection Framework, including students, staff, contractors, and visitors. To meet this requirement, all students and staff must register their My Vaccine Passes on the AUT website or the AUT App. So far, we have high proportions of staff registered (95% of permanent and fixed term staff) and around 12,000 students.

COVID-19 cases on campus

As we adjust to living with COVID-19 in the community, it is inevitable that we will see far more positive cases on campus than we have been used to – although with far less severe outcomes for the individuals in most cases. Our contact tracing team is currently investigating confirmed COVID-19 cases, and we have had several dozen so far this year, mainly related to summer school activities. The University of Auckland reports similar case numbers. We have worked closely with Auckland Public Health to identify potential exposure events on campus and notify any Close Contacts.

However, as numbers rise it will be impossible to maintain the detailed identification and follow-up that was possible and necessary under Delta. We will be taking a practical approach, in line with that of the Public Health Authorities, to provide notification to potential close and casual contacts, relying much more on individual responsibility. The time, date, and location of exposure events on campus will be posted on the AUT website at "AUT COVID-19 Exposure Events" which also provides updates and advice on what to do if you are a close or casual contact.

Public health measures

Non-teaching events, involving gatherings of large numbers of people have been postponed or cancelled. Everyone on campus is required to use the NZ COVID-Tracer app and as per Government requirements to wear a face mask in any indoor public spaces (see exceptions). Everyone needs to wear a face mask in confined spaces where physical distancing of one metre is not possible. Connecting to AUT Wi-Fi expedites contact tracing.

THEME 1: CREATING EXCEPTIONAL LEARNING EXPERIENCES

(Progress with the Investment Plan KPIs for this Theme area are presented at **Appendix 4**)

3. Learning Management System (Canvas@AUT)

The implementation of the new Learning Management System is progressing well. Cycle 3 of the Curriculum development process was completed as planned on 14 January 2022, bringing the total number of courses developed in Canvas to 889. A further 383 are currently in development in Cycles 4a and 4b, indicating that all Semester One courses will be completed and available for the start of Semester 1. Communications, training material, and support channels are all in place for course leads to help them through the first live use of their courses on Canvas. More information is in **Appendix 3**.

4. AUT X Challenge 2021 - The Final Pitch

Eight teams made it to the Final Pitch of the X-Challenge, AUT's entrepreneurship competition. The teams competed for a share of the \$20,000 cash prize pool and over \$10,000 of goods and services from competition sponsors Auckland Unlimited, New Zealand Growth Capital Partners, Stace Hammond, Bridgewest Ventures, Hillfarrance, Gait International, Amazon Web Services, Rocketspark, Storytech, and AUT Ventures.

The Supreme winners on the night, who took away \$10,000 in cash prizes, were Delta Waterways, a team led by Ander Castelltort Schnaas and Hannah Wetzels, both astronomy and astrophysics Masters students from the School of Engineering, Computer and Mathematical Sciences. Delta Waterways' vision is to be at the forefront of global environmental monitoring. Using the latest in satellite data and their own proprietary machine learning technology, Delta Waterways aim to revolutionise how freshwater systems are monitored. The judges said they showed "good understanding of a global and critical environmental issue with a comprehensive, clear business plan towards addressing the problem", and liked that they had already begun building key relationships with councils and freshwater scientists. The judges were also impressed with the strength of the team and their advisory panel.

5. Helping future students 'Stay on Track'

AUT's Marketing and Recruitment team in conjunction with Student Services and Administration have created an event to help students kick-start their tertiary education. Named 'Stay on Track', the event supports students in their final stages of the application process to get over the line, as well as those seeking an alternative plan if they were unsuccessful in achieving University Entrance or their first choice of study.

The support service event aimed to assist and inform prospective students by inviting them to campus to speak with an expert about their study and career options, as well as to provide clarity and guidance around what to expect in becoming an AUT student. Stay on Track took place at the City Campus on 22, 24 and 25 January, and attracted 200 attendees including many walk-ins.

6. Orientation Semester One 2022

The Orientation programme for Semester One will be delivered online to comply with the Red level restrictions. The Student Services and Administration division is working with key stakeholders across the University to ensure our new students receive the best experience possible to welcome them to study at AUT.

One of the key features this year will be the redesigned Student Hub Online (student website) homepage which will be launched to coincide with Orientation, ensuring students can easily search topics they have questions about.

7. Student enrolment update (Detailed tables showing EFTS as at 14 Feb are at **Appendix 1**)

	SAC Funded Domestic		International Full Fee	
	2022	2021	2022	2021
YTD EFTS	13,029	13,820	999	1,215
Target %	74.9%	81.2%	61%	65%

Note that - the drop in SAC Funded Domestic EFTS of nearly 800 apparent in the table is mostly due to a change in the enrolment pattern in Clinical Sciences, whereby students must enrol for only one semester at a time in their first year, meaning that each FT student appears in the numbers as only one half an EFTS. Once this is allowed for the drop is only 300 EFTS.

Most of the universities are reporting that domestic enrolments this year have dropped back to 2020 levels after the spike in 2021, and AUT's enrolment pattern is consistent with this trend.

THEME 2: DISCOVERY AND APPLICATION OF KNOWLEDGE FOR WELLBEING AND PROSPERITY

(Progress with the Investment Plan KPIs for this Theme area are presented at **Appendix 4**)

8. Research contracts

	New Research Contracts YTD	
	2022	2021
Value	\$881,881	\$704,368
Number of contracts	13	7

9. Health Research Council Grant

A multidisciplinary team has been awarded \$1.36m by the Health Research Council to collaborate with Ngā Maia Māori Midwives Aotearoa, Pasifika Midwives Aotearoa, and the New Zealand College of Midwives to investigate sustainability challenges in community-based midwifery.

10. AUT spinout company

A company, RespirAq, formed to commercialise innovative respiratory technology developed by researchers at the AUT Institute of Biomedical Technologies, has secured \$1.5m of investment from Outset Ventures, Icehouse Ventures, and Cure Kids Ventures. RespirAq uses a chemically activated "smart fabric" to humidify the air breathed by patients on ventilators and other types of respiratory support.

Humidification is a routine standard of care, but existing medical humidifiers require a water supply and have problems with condensation forming in the air supply tubes. RespirAq provides the same level of humidification as humidifiers provided by well-known companies, but without the need to regularly refill water chambers and without creating any tube condensation.

THEME 3: OUR PLACE IN THE WORLD

(Progress with the Investment Plan KPIs for this Theme area are presented at **Appendix 4**)

11. Te Ara Paerangi – Future Pathways Green Paper re New Zealand Research Science and Innovation

In October 2021, MBIE released Te Ara Paerangi Future Pathways Green Paper 2021 and requested submissions on how Aotearoa New Zealand should shape and position its Research Science and Innovation (RSI) system to meet the needs of the future. The RSI system includes the various government funding streams and agencies, the Crown Research Institutes, the research workforce, and to a large extent the universities.

The DVC Research is working to coordinate AUT's response and identify the significance and impact for AUT if some of the suggested changes come to pass.

A key aspect of the discussion raised by the Green Paper is the nature of the response to Te Tiriti in the future structure and operation of the RSI system. The AUT Office of Māori Advancement is formulating responses on behalf of Māori at AUT, and the AUT Assistant PVC Māori has participated in a working group established by Te Kāhui Amokura (the komiti Māori of UNZ) to ensure that the UNZ submission properly recognises Te Tiriti obligations, Mātauranga Māori and Māori aspirations in its responses and proposals.

12. International border re-opening to students

A phased opening of New Zealand's borders and changes to MIQ was announced on 3 February.

5,000 international students will be able to travel to New Zealand after 12 April 2022. We are waiting on formal advice, but indications are the Universities will be allocated a total of 1,450 of the 5,000. Realistically, this means AUT will be allocated approximately 220 places. It is unlikely that this will have much effect on the enrolments this year as the students at the earliest will only make Semester Two (half the year).

More hopeful is the Government decision to open the border to all visa categories in October this year, which will allow international students to enter at scale for study next year.

MBIE are, however, signalling changes to some of the requirements and options around student visas that are to be implemented at the same time as the border opening and this is concerning.

The changes are in two main areas. First, restricting the type and level of study eligible for a student visa, and second, altering the entitlements and entry requirements for a student visa - mainly reducing work rights, and increasing the funds a student must have available on entry.

The first will have little impact on the universities, targeting mainly low-level courses, but the second is worrying, making New Zealand less competitive with the UK, Canada and Australia at the very time we are trying to recover our market behind these countries.

The MBIE proposals are still under consideration and AUT has made a submission, as well as contributing to the submission of UNZ.

THEME 5: BEING A PLACE WHERE PEOPLE LOVE TO WORK AND LEARN

(Progress with the Investment Plan KPIs for this Theme area are presented at **Appendix 4**)

13. AUT Excellence Awards

The 2021 annual staff excellence awards were cancelled in 2020 and postponed in 2021 due to COVID lockdowns. This important event recognising the work and achievements of AUT staff was to have been held in late January but was again at risk due to the Omicron outbreak. In an innovative move, determined not to drop the occasion yet again, the organisers opted for a full production livestream online.

I was delighted to finally present again the staff excellence awards with Samantha Hayes as MC in a ceremony streamed from an AUT studio. Our guest speaker was the Rt Hon. Helen Clark, and well over 900 people joined the celebrations.

Twelve individuals and five teams won awards for professional, research, and teaching excellence. In addition, we honoured staff for their contributions to COVID management, and four others who had been at AUT since its establishment and who stood out as representing the standards, values and commitment of the University.

Professor Jarrod Haar, of the Business School and the Work Research Institute, was awarded the 2021 University Medal in recognition of his outstanding contribution to the university through his achievements in research, supervision, teaching and service.

[On a personal note - the surprise award at the end of the event, presented by the Chancellor and DVCs, was very humbling and a most generous gesture that I very much appreciated.]

14. External Awards and Recognition

Two AUT academics were honoured in the 2022 New Year Honours list:

- **Senior Lecturer Gaye Bryham** was made an Officer of the New Zealand Order of Merit for services to sport and recreation. Gaye has been Deputy Head of School of the School of Sport and Recreation for 22 years. During that time, she has been one of the visionary leaders for the development of the work of the school of Sport and Recreation and its wider impact. She has fostered strong industry and community connections, work-integrated learning and graduate employment. Gaye helped establish AUT Millennium and contributed to the development and work of South Campus.
- **Professor of History Paul Moon** was made an Officer of the New Zealand Order of Merit for services to education and historical research. Paul has been with Te Ara Poutama since 1993 and was awarded AUT's inaugural Doctor of Literature degree last year. He has published 35 books and specialises in nineteenth-century New Zealand history and philosophies of colonisation, and regularly features in the media on Te Tiriti and related matters.

Former staff members who made significant contributions to AUT were also recognised:

- **Phil Ker** was made an Officer of the New Zealand Order of Merit for services to tertiary education. Phil joined ATI in the 1980s and was a lecturer and head of school of management. In 1989, he became the Executive Director Corporate Services. Over the years Phil was active in the development of our teaching development, our staff employment and working conditions, and our student services. He left AUT in 2004 to become Chief Executive of Otago Polytechnic from which he only recently retired. He is widely known for his effective promotion of the use of recognition of prior learning in academic programmes.
- **Vivien Bridgwater** was made a Member of the New Zealand Order of Merit for services to governance and education. A senior executive at AUT from 2000 to 2014, Vivien headed all our external engagement and student related services and functions. She led the early development of the university's profile and positioning, and she was a key player in the development of the South Campus as well as our strong commitment to opportunity. She left to take up a leading position with ATEED (now Auckland Unlimited) and four years ago was appointed a Commissioner with the Tertiary Education Commission.

15. Tuia, the new staff intranet

The new staff intranet, Tuia, is expected to launch on 10 March 2022. **Tuia** means, to bind or join together. It reflects the aspiration that Tuia will connect university community with better access to information, news, tools, resources, and opportunities.

16. Progress on implementation of the independent review recommendations

Of the 36 review recommendations, 29 are completed and seven are in progress.

While we completed parts of all Recommendations last year and built a good foundation (including setting up EARS, establishing the Cultural Change Transition Group (CCTG), updating policies, rolling out training), the plan is to spend 2022 building on that foundation. CCTG will soon present a journey map for Culture Change at AUT, we'll refresh our Code of Conduct, The Office of Māori Advancement (OMA) will lead staff training on Values-led behaviour, and the Employee Action and Resolution Service (EARS) team will sponsor the roll-out of Chnnl, an online space for employees to safely share and employers to listen and learn.

We were on track for all people managers to complete the bullying and harassment training by the end of 2021, but the lockdowns meant that training sessions involving close to 200 managers had to be cancelled. These will be rescheduled to take place during 2022.

17. Staff diversity statistics – as at 14 February 2022

Staff count				Occupation			
2,168 FTE				Academic 1,031 (47.5%)		Professional 1,137 (52.5%)	
Gender				Annual Turnover Rate			
Female	58.8%	Gender diverse	0.6%	4.7%		10.6%	
Male	40.1%	Not disclosed	0.5%				
Ethnicity							
Academic (47.5%)				Professional (52.5%)			
NZ European	16.6%	Other	3.3%	NZ European	14.1%	Other	2.4%
Asian	6.5%	Undeclared	10%	Asian	10.7%	Undeclared	16%
European	7.5%			European	4.1%		
Māori	2.5%			Māori	2.2%		
Pacific	1.1%			Pacific	3%		

18. Places and spaces

A contract with Naylor Love for the construction phase of the A1 Project at North Campus has been agreed and work will begin in April. Preparatory work continues at the A1 site readying for the start of construction.

Phase 2 of the WT Exit Enabling Works is due to be completed in May 2022, Phase 3 work completion is expected to be mid-May 2022. Our leased space in WT Building is expected to be fully vacant and handed back to its owners by end of June 2022.

As part of the WT Exit Programme staff of the School of Engineering, Computer and Mathematical Sciences have now moved from WT into the newly completed top levels of WZ.

19. Financial Performance

For the year to December 2021, the full year net surplus variance to budget of \$6M reflects the value of the higher than planned enrolments, one-off revenue adjustments, and cost savings due to campus closures offset by unplanned expenditure for Te Āhuru Recreation Centre income guarantee.

All TEC financial covenants were met in 2021.

It is too early to conclude much from the finances to 31 January 2022. A clearer indication of our position will be available next month once classes have started, and enrolment numbers finally settled.

More detail is at **Appendix 2**.

Appendix 1: Enrolments

2022 EFTS Progress to Target Monitoring Report Year to Date Compared to Target and Equivalent Date Last Year

The following information is from the weekly AUT EFTS Monitoring Report.

Programme EFTS 14 February 2022

1. EFTS compared to Target EFTS

	Likely and Confirmed			Target	Progress		Needed		Achieved after PYTD
	2022	2021	Growth		2022	2021	2022	2021	
Domestic SAC	13,029.47	13,820.47	-5.7%	17,386.53	74.9%	81.2%	4,357.05	3,190.45	3,214.87
Int Full Fee	999.22	1,215.73	-17.8%	1,615.49	61.9%	65.5%	616.27	641.54	954.00
International SAC	140.71	189.97	-25.9%	304.75	46.2%	58.4%	164.03	135.26	96.88
Domestic Other	90.22	85.96	5.0%	236.28	38.2%	28.5%	146.07	215.54	191.97
International Other	140.83	71.36	97.4%	367.47	38.3%	29.4%	226.64	171.35	276.45
Total AUT	14,400.46	15,383.48	-6.4%	19,910.52	72.3%	77.9%	5,510.06	4,354.13	4,734.17
South Domestic SAC	1,600.48	1,829.26	-12.5%	2,480.90	64.5%	72.7%	880.42	687.17	327.21
South Int Full Fee	21.59	33.22	-35.0%	23.23	92.9%	79.9%	1.64	8.37	14.42

2. Total AUT EFTS by Intake Type and Award Level

	2022 Likely and Confirmed				Growth	2021 Likely and Confirmed			
	%	New	Returning	Total		%	New	Returning	Total
Pre-Degree	4.4 %	442.38	197.12	639.49	-12.0 %	4.7 %	558.15	168.38	726.53
Undergraduate	81.5 %	3,679.38	8,062.38	11,741.76	-5.5 %	80.8 %	4,303.39	8,120.95	12,424.34
Postgraduate	13.9 %	782.33	1,220.86	2,003.19	-9.3 %	14.4 %	819.38	1,388.55	2,207.93
Not Categorised	0.1 %	2.67	13.34	16.01	-35.1 %	0.2 %	4.59	20.09	24.69
Total		4,906.76	9,493.70	14,400.46	-6.4 %		5,685.50	9,697.98	15,383.48

3. International Student View EFTS

	2022 Likely and Confirmed				Growth	2021 Likely and Confirmed			
	%	New	Returning	Total		%	New	Returning	Total
Pre-Degree	3.7 %	21.00	26.87	47.87	20.7 %	2.7 %	21.58	18.07	39.65
Undergraduate	63.3 %	113.98	696.33	810.32	-10.4 %	61.2 %	143.43	760.68	904.11
Postgraduate	32.8 %	106.29	314.36	420.65	-21.0 %	36.0 %	88.36	444.11	532.46
Not Categorised	0.2 %	1.69	0.23	1.92	133.5 %	0.1 %	0.57	0.25	0.82
Total		242.97	1,037.80	1,280.77	-13.3 %		253.94	1,223.11	1,477.06

4. Domestic Student Achievement Component (SAC) EFTS compared to AUT Finance Budget

	Likely and Confirmed EFTS				Progress		Needed		Achieved after PYTD
	2022	2021	Growth	Target	2022	2021	2022	2021	
BEL	2,169.34	2,165.69	0.2%	3,210.28	67.6%	67.1%	1,040.94	1,060.07	1,012.87
CSOC	2,019.29	2,099.17	-3.8%	2,539.16	79.5%	80.4%	519.87	510.97	441.78
DECT	3,423.11	3,629.90	-5.7%	4,570.26	74.9%	78.3%	1,147.16	1,003.03	964.28
HEAL	5,204.28	5,669.99	-8.2%	6,632.48	78.5%	92.6%	1,428.19	453.65	651.59
TEAP	212.85	255.65	-16.7%	353.19	60.3%	80.7%	140.35	61.00	144.19
Total	13,029.47	13,820.47	-5.7%	17,386.53	74.9%	81.2%	4,357.05	3,190.45	3,214.87

5. International Full Fee EFTS compared to AUT Finance Budget

	Likely and Confirmed EFTS				Progress		Needed		Achieved after PYTD
	2022	2021	Growth	Target	2022	2021	2022	2021	
BEL	316.77	404.90	-21.8%	703.77	45.0%	62.6%	387.01	242.25	454.60
CSOC	210.92	251.07	-16.0%	255.79	82.5%	85.7%	44.87	41.74	123.85
DECT	325.09	380.42	-14.5%	463.47	70.1%	59.0%	138.38	264.90	276.73
HEAL	138.35	167.96	-17.6%	151.56	91.3%	72.2%	13.21	64.74	86.83
TEAP	6.39	10.71	-40.3%	11.78	54.3%	127.0%	5.39	-2.27	5.79
PVCI	1.71	0.67	156.3%	29.13	5.9%	2.2%	27.42	30.19	6.21
Total	999.22	1,215.73	-17.8%	1,615.49	61.9%	65.5%	616.27	641.54	954.00

13. Māori and Pacific Domestic SAC EFTS by Intake Type and Award Level

	2022 Likely and Confirmed						2021 Likely and Confirmed					
	%	New	Returning	Total	%Dom	Growth	%	New	Returning	Total	%Dom	
Māori												
Pre-Degree	5.0 %	55.63	20.63	76.25	13.4%	-16.1 %	5.8 %	73.25	17.67	90.92	13.7%	
Undergraduate	85.8 %	468.53	838.63	1,307.16	12.0%	-2.3 %	85.8 %	555.37	782.11	1,337.49	11.6%	
Postgraduate	9.2 %	60.08	80.07	140.15	9.1%	7.2 %	8.4 %	75.87	54.91	130.79	8.0%	
Total		584.23	939.32	1,523.56	11.7%	-2.3 %		704.50	854.70	1,559.19	10.1%	
Pacific												
Pre-Degree	6.4 %	106.63	38.00	144.63	25.5%	-15.3 %	7.5 %	137.25	33.58	170.83	25.7%	
Undergraduate	86.9 %	736.49	1,218.25	1,954.74	17.9%	0.3 %	85.4 %	728.37	1,221.05	1,949.43	16.9%	
Postgraduate	6.6 %	76.68	72.41	149.09	9.7%	-8.0 %	7.1 %	80.76	81.25	162.02	9.9%	
Total		919.79	1,328.66	2,248.45	17.3%	-1.5 %		946.39	1,335.89	2,282.28	14.8%	

Note to Tables 4 and 5:

- BEL is the Faculty of Business, Economics and Law – comprising the schools of Business, Economics and Law
- CSOC is the Faculty of Culture and Society – comprising the schools of Education, Languages and Culture, Social Sciences and Public Policy, Hospitality and Tourism
- DECT is the Faculty of Design and Creative Technologies – comprising the schools of Engineering, Computer and Mathematical Sciences, Communication Studies, Art and Design, Future Environments
- HEAL is the Faculty of Health and Environmental Sciences – comprising the schools of Clinical Sciences, Sport, Public Health and Interdisciplinary Studies, Science
- TEAP is the Faculty of Te Ara Poutama Māori and Indigenous Development
- PVCI are enrolments held with the PVC International, mainly for AUT House International English School.

Appendix 2: Financial Performance – Current Year

1. Financial Performance – Current Year

As at 31 December 2021

	Year to Date (\$000s)		
	Actual	Budget	Variance
\$000s			
Income	423,494	408,235	15,259
Expense	407,979	397,444	(10,534)
Surplus before Interest	15,515	10,791	4,725
Interest	2,649	3,936	1,286
Net surplus	12,866	6,855	6,011

Comment

The full year net surplus variance to budget of \$6M reflects the value of the higher than planned enrolments, one-off revenue adjustments, and cost savings due to campus closures offset by unplanned expenditure for Te Āhuru Recreation Centre income guarantee.

	2021 Year-end Result		
	Actual	TEC	Outcome
TEC Financial Covenants			
Max commercial debt borrowings \$000s	\$50,000	\$220,000	On target
Max aggregate financing \$000s	\$63,965	\$243,000	On target
Max Debt / Debt + Equity Ratio	7%	30%	On target
Max Debt / Net Cash Flow Ratio	0.86	3.00	On target
Max Debt / Total Revenue Ratio	15%	55%	On target
Min Net Surplus Ratio	3.0%	>0.0%	On target
Min Cash Flow from Operation Ratio	122%	113%	On target
Min Interest Cover Ratio	5.86	Suspended until 2026	
Min Liquidity Ratio	53%	2%	On target

Comment

All TEC financial covenants were met in 2021.

Financial Performance 2021 YTD Compared to 2020 YTD

\$000s	Year to Date (\$000s)		
	2021	2020	Variance
Income	423,494	425,434	(1,940)
Expense	410,628	413,141	2,513
Net surplus	12,866	12,293	573

Lower **year-to-date income** compared to the same period last year is primarily driven by reduced international income reflecting the change in mix of students year on year.

Lower **year-to-date expenses** compared to same period last year recognises the longer-term management actions and responses to the financial effects of COVID-19 implemented in 2020.

Overall, the **year-to-date net surplus** is \$0.6M higher than the same last year and reflects the impact of cost-savings actions in 2021.

Appendix 3: Update on the LMS Implementation Project





Project Name: LMS Implementation

Reporting Date: 14th February 2022

Circulation: LMS Implementation Steering Group, Project File

Author: Tim Alcock, Project Manager

Project Status Summary

	<u>Project Progress</u> On Schedule		<u>Project Budget</u> On Budget		<u>Project Resources</u> Monitoring Project resources vs support needs		<u>Project Risks</u> Limited support capacity in lead up to main launch.
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Cycle 3 of the Curriculum development process completed as planned on 14 January 2022. This brings the total number of courses developed in Canvas to 889 with a further 383 currently in development in Cycles 4a and 4b. Progress in Cycles 4a and 4b indicate that all Semester One courses will be completed and available for the semester start. Planning for Cycle 5 is almost complete with Cycle 5a development planned to start on 28 February 2022.

In addition to Curriculum development the project team are focused on the activities required for the Semester One courses Go-live. Communications, training material, and support channels are all in place for course leads to help them prepare their courses for the Semester One delivery.

We have six summer school pilot courses running with over 600 active students. Feedback to date is positive and the Pilot courses have provided the assurance that the system works well and provides a good student experience.

In addition to the pilot courses, we also currently have 13 courses that have started prior to the main Semester One start with another 24 planned.

The project team has had several resignations over the last couple of months, but recruitment has been completed to replace them and the new team members have been onboarded.

The launch campaign has started. Communications with students are well underway and help pages that offer Canvas videos and other resources are available to them.



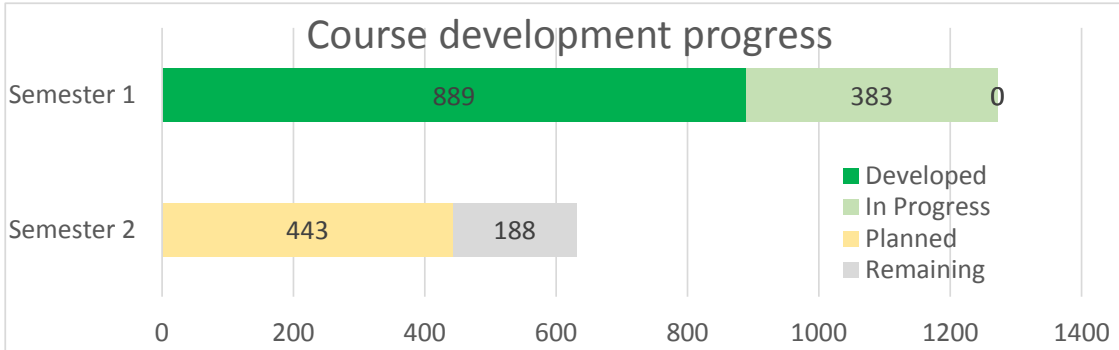
Weblinks are being transitioned from Blackboard to Canvas to ensure that students have easy pathways to the new platform.



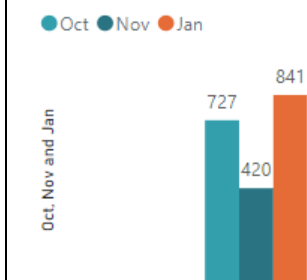
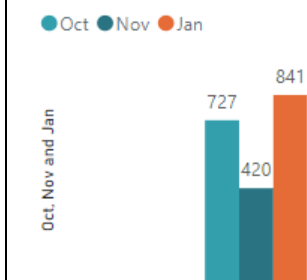
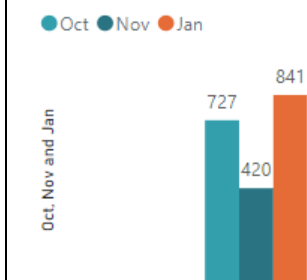
The faculty teams are making good progress with their new 'faculty spaces' (replacements for Blackboard faculty organisations). They aim to have first versions available by 21 February, to align with when (most) students gain access to their courses.

Key Milestones

Milestone	Status	Baseline Date	Target date	Notes
Canvas Contract Agreed	empl			
Environments Commissioned	empl			
Development Resources confirmed	empl		3/3/21	
Baseline Curriculum workload confirmed	empl		10/3/21	
Baseline Canvas configuration completed	empl		24/3/21	
Project team training completed	empl		7/4/21	
Curriculum development cycle 1 completed	empl	14/6/21	2/7/21	Cycle 1a completed on 2/7/21, Cycle 1b completed 6/8/21.
Curriculum development cycle 2 completed	empl	23/8/21	10/9/21	Cycle 2a completed on 10/9/21 and 2b completed on 15/10/21.
Curriculum development cycle 3 completed	empl	1/11/21	19/11/21	Cycle 3a completed on 19/11/21. Cycle 3b completed on 18/10/21
Blackboard content migrated			11/2/22	Reviewing options
Curriculum development cycle 4 completed	WIP	14/2/21	25/2/22	Cycle 4a started on 22/11/21 Cycle 4b started on 17/1/22
Cut Over to Canvas	WIP		25/2/22	
Curriculum development cycle 5 completed		25/4/22	6/5/22	Cycle 5a planned to start 28/2/22 Cycle 5b planned to start 4/4/22
Curriculum development cycle 6 completed		4/7/22	15/7/22	

Project Status by Stream

	<p><u>LMS technical Implementation</u></p> <p>The Arion integrations development is progressing well across all three integration areas. The core functionality for Account Creation and Student Enrolments and Grades Integration are all being tested as part of the Pilot. Enhancements to the integrations are also being developed and tested to support non-core scenarios.</p> <p>Operational Support processes have been agreed and drawn up. Canvas 24/7 support is live and utilising AUT's Knowledgebase articles as a key resource for staff and students.</p>															
	<p><u>Curriculum Development</u></p> <p>Cycle 3 of the Curriculum development process completed as planned on 14 January 2022. This brings the total number of courses developed in Canvas to 889 with a further 383 currently in development in Cycles 4a and 4b. Progress in Cycles 4a and 4b indicate that all Semester One courses will be completed and available for the semester start.</p> <p>Of the 383 courses being developed as part of Cycle 4, only 18 courses have been recategorised as 'Essential Development'.</p> <p>Planning for Cycle 5 is almost complete with Cycle 5a development planned to start on 28 February.</p> <div><p>Course development progress</p><table><thead><tr><th>Semester</th><th>Developed</th><th>In Progress</th><th>Planned</th><th>Remaining</th></tr></thead><tbody><tr><td>Semester 1</td><td>889</td><td>383</td><td>0</td><td>0</td></tr><tr><td>Semester 2</td><td>0</td><td>0</td><td>443</td><td>188</td></tr></tbody></table></div> <p>In addition to curriculum development, the project team are focused on the activities required for the Semester One courses Go-live. Communications, training material, and support channels are all in place for course leads to help them prepare their courses for the Semester One delivery. Canvas@AUT webinars and online training modules are available for all staff, along with the 24/7 support service from Instructure (Canvas). Training materials and webinars targeted to assist Course Leads to review and update their courses are available along with some virtual 'drop-in' sessions to answer questions and provide guidance.</p> <p>We have six summer school pilot courses running with over 600 active students. Feedback to date is positive and the Pilot courses have provided the assurance that the system works well and provides a good student experience. As part of the Pilot exercise, some minor issues have been identified and resolutions implemented in advance of the main Go-Live.</p>	Semester	Developed	In Progress	Planned	Remaining	Semester 1	889	383	0	0	Semester 2	0	0	443	188
Semester	Developed	In Progress	Planned	Remaining												
Semester 1	889	383	0	0												
Semester 2	0	0	443	188												

	<p>In addition to the pilot courses, we also currently have 13 courses that have started prior to the main Semester One start with another 24 planned.</p> <p>Regarding Project Resources, the project team has had several resignations over the last couple of months, but recruitment has been completed to replace them and new team members have been on boarded.</p>																																																																															
	<p><u>Blackboard migration</u></p> <p>Canvas Faculty pages are progressing well with go live dates targeted for 21 February. Each Faculty has a coordinator for their page who working on the content and related communications. Any content that needs to be external facing is being requested by the coordinators through the normal web page maintenance process.</p> <p>Work is currently in progress on the mechanisms to automatically ‘enrol’ the required staff and students to the Faculty Pages.</p> <p>Non-Faculty based organisations are also being reviewed and were required assistance given to create the replacement Canvas site.</p> <p>As a reminder, Blackboard content and access (excluding Blackboard Collaborate) will remain until 30 April 2023. Blackboard Collaborate content will be available until 30 April 2022, Collaborate is being replaced in the Canvas environment by Microsoft Teams. ICT are providing Teams Training to support this.</p>																																																																															
	<p><u>Change management</u></p> <p>Change and communications are progressing as planned. Training is available and a launch campaign for staff and students is underway. The Communications Dashboard in the LMS Steering Group Team has been updated to show training attendance and the requests numbers.</p> <p>Communication</p> <p>A launch campaign is underway using a variety of channels: meetings, direct emails, newsletters, AUTi and videos. We are in regular communication with champions from all faculties and no major concerns have been raised. The success of the launch campaign is evidenced by attendance at training webinars and the use of the support channels that have been promoted.</p> <p>Training (staff)</p> <p>Attendance at training webinars and the use of the training website is increasing. We anticipate that this will continue throughout February. The most popular training sessions are ‘Adding Teams Meetings to Canvas’ and ‘Maintaining and Updating Content’. ICT are running additional Teams sessions to build a greater understanding of how to use Teams for online learning and teaching.</p> <table><tr><th>Training website ‘hits’</th><th colspan="7">Attendance at training sessions (as at 11/2/22)</th></tr><tr><td></td><td colspan="7"><table><tr><th>Webinar Session</th><th>Oct</th><th>Nov</th><th>Dec</th><th>Jan</th><th>Feb</th><th>Total</th></tr><tr><td>Adding Teams Meetings to Canvas</td><td></td><td>10</td><td>34</td><td>22</td><td>22</td><td>88</td></tr><tr><td>Assessment Setup</td><td>8</td><td>16</td><td>22</td><td>6</td><td>8</td><td>60</td></tr><tr><td>Basic Communication Tools</td><td>10</td><td>11</td><td>20</td><td>4</td><td>19</td><td>64</td></tr><tr><td>Before You Publish</td><td></td><td></td><td></td><td>23</td><td>17</td><td>40</td></tr><tr><td>Maintaining and Updating Content</td><td>13</td><td>29</td><td>10</td><td>5</td><td>23</td><td>80</td></tr><tr><td>Online Marking and Gradebook Overview</td><td>4</td><td>18</td><td>19</td><td></td><td></td><td>41</td></tr><tr><td>Online Quizzes</td><td>8</td><td>16</td><td>14</td><td>8</td><td>9</td><td>55</td></tr><tr><td>Total</td><td>43</td><td>100</td><td>119</td><td>68</td><td>98</td><td>428</td></tr></table></td></tr></table>	Training website ‘hits’	Attendance at training sessions (as at 11/2/22)								<table><tr><th>Webinar Session</th><th>Oct</th><th>Nov</th><th>Dec</th><th>Jan</th><th>Feb</th><th>Total</th></tr><tr><td>Adding Teams Meetings to Canvas</td><td></td><td>10</td><td>34</td><td>22</td><td>22</td><td>88</td></tr><tr><td>Assessment Setup</td><td>8</td><td>16</td><td>22</td><td>6</td><td>8</td><td>60</td></tr><tr><td>Basic Communication Tools</td><td>10</td><td>11</td><td>20</td><td>4</td><td>19</td><td>64</td></tr><tr><td>Before You Publish</td><td></td><td></td><td></td><td>23</td><td>17</td><td>40</td></tr><tr><td>Maintaining and Updating Content</td><td>13</td><td>29</td><td>10</td><td>5</td><td>23</td><td>80</td></tr><tr><td>Online Marking and Gradebook Overview</td><td>4</td><td>18</td><td>19</td><td></td><td></td><td>41</td></tr><tr><td>Online Quizzes</td><td>8</td><td>16</td><td>14</td><td>8</td><td>9</td><td>55</td></tr><tr><td>Total</td><td>43</td><td>100</td><td>119</td><td>68</td><td>98</td><td>428</td></tr></table>							Webinar Session	Oct	Nov	Dec	Jan	Feb	Total	Adding Teams Meetings to Canvas		10	34	22	22	88	Assessment Setup	8	16	22	6	8	60	Basic Communication Tools	10	11	20	4	19	64	Before You Publish				23	17	40	Maintaining and Updating Content	13	29	10	5	23	80	Online Marking and Gradebook Overview	4	18	19			41	Online Quizzes	8	16	14	8	9	55	Total	43	100	119	68	98	428
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Help and Support (staff)

Use of the help and support channels is growing, with a preference from staff to contact altLAB directly. We implemented 'hypercare' on 17 January 2022 to ensure that our processes were fully embedded before the anticipated increase in request volumes starts to come through. We have a team of Learning Technologies, Learning Designers and Digital Media specialists available to resolve requests. By tracking calls, we can view trends and provide additional FAQs or communication.

- Since 17 January, there have been over 500 help requests to altLAB (average 100 a week). Most are resolved within 24 hours.
- Drop-in sessions have a regular attendance.
- Use of the 24x7 Canvas support is still less popular. There have been 179 calls to Canvas (20/5/21 – 14/2/22). More encouragingly, 70 of the requests were received in January and February this year.

Student awareness

Communication and information to students has been building since mid-January 2022. We are working with the Student Communications team to ensure that appropriate channels are used. We have also released our second promotional video and it is currently playing around campus, in the library and in lunchrooms.

- Links and references on Student Hub Online (SHO) have been switched from Blackboard to Canvas
- Help pages, with training videos, FAQs and other resources are available on Canvas (101 Canvas Help)
- Familiarisation with Canvas will be included in week 0 orientation sessions

Over **2000 individual students** opened the 101 Canvas Help pages in January (17 – 31 January). This is a fabulous indicator of the success of the messages that have been delivered so far. We will continue to with SHO to keep informed of queries they receive from students. We also have the student competition to look forward to and hope to see a positive increase in engagement and use of available resources.

Feedback

We will continue to gather feedback during the go-live period, but indicators from the pilots, our early start courses and our champions is encouraging. The pilots revealed some hiccups and there have been learnings along the way. Our fabulous learning designers, learning technologist and ICT have worked with the course leads to find solutions.

Feedback from course leads. A snapshot of what we have been told: so far

'Students are mostly very happy...

For me I would say that Canvas is more work from a lecturer point of view compared to Blackboard ...it's not as intuitive and usually needs more TLC to get to a similar standard but it's doable and its workable'

'We had minor hiccups on Day 1 getting students into their respective virtual classes...

As a long-term Blackboard user, I found Canvas in the main to be quite intuitive and reasonably easy to navigate and use. I have no Blackboard hankerings.'

Feedback from students has been low. What we have received shows a preference for Canvas over Blackboard.

	Initial impressions											
	Easy to use but still lacking some basic structures such as assessment outlines.											
	It much cleaner than blackboard and easier to find the information											
	It is a straightforward and easy platform. I really like the discussion section.											
	The layout is a lot simpler than Blackboard											
	Assessments											
	I liked how the LO's were broken down in a table and easy to read and understand											
	Great platform. The only issue I had was formatting of my written assignment when submitted. It seemed to place my reference page further down a new page as opposed to at the top - I uploaded twice but the same problem persisted. Not sure how!											
	Great overall/Easy to navigate and find things compared to the previous learning platform.											
	Business Readiness											

A business readiness assessment with the Canvas leadership team showed a strong indication that 'we are ready to go'. There are a couple of areas that are being followed up on.

- Additional testing is underway with the grading integration as a result of pilot outcomes
- A 'belts and braces' approach requires 'go-live' checking to be more finely detailed

Go-live Readiness assessment as @ 14 Feb

Carried out 14 Feb 2022	1 Sem 1 Course Cycle Completion	2 Key Risks and Issues	3 System and Technical	4 Cutover Plans	5 Key Stakeholder Communication	6 Staff Cycle Communication	7 Launch Campaign	8 Staff Training	10 Student Orientation	11 Hypercare support	12 Operational Support	13 altLAB Team Resourcing	Readiness EOY	Readiness Go-Live
Collective	5	5	4	3	5	5	5	5	5	5	5	6	4	5
Comments	Cycle 4 course development is on track.	We have addressed resourcing risk with new LD/LT in place.	We have had issue with grading integrations. Still unclear on organisations status/access.	We have high-level plan. Still need to drill down on detail for Day 1	Ran two, virtual HoS, Key Contact meetings		Launch campaign is in full swing with evidence of engagement in training, support and 'hit's on webpages	Resources are available and are updated and communicated as required. Increased participation in webinars, evaluations are very positive.	We have a Canvas platform 101. Canvas Help (aut.ac.nz) for students. 2000 unique 'hits' from students since 17 Jan	Underway since 17 Jan. Issues are being tracked and are manageable	altLAB staff are running hypercare. This will transition to operational support	Recruitment of new Ls and LTs has completed.		
Actions			Manage actions from technical readiness (rTim to follow up with Shen). Meet Wednesday to review Abby/Tim/Shen	Elaine and Tim to develop list of checks										

Financial Summary

Figures as @ Dec month end.

Learning Management System

KEY COST METRIC

LMS Course Development	11,948	4,692	7,256
LMS Non-Staffing Resources	1,243	523	720
LMS Project Management Cost	1,132	540	592
LMS Technical Implementation Cost	513	272	241
	14,836	6,027	8,809
	14,836	6,970	

Approved Project budget &
Budget for year 2021

Project Life	Budget (000)	Forecast (000)	Forecast (000)
2021	\$6,970	\$6,027	(\$943)
2022	\$7,866	\$8,809	\$943
Total Project Budget	\$14,836	\$14,836	\$0

Comments: The project remained within Budget for 2021 and is forecast to be within the overall budget.

Key Issues & Risk Analysis

Risk / Issue	I / R	Status	Prob.	Impct.	Action(s)
Courses not identified as part of planning will be missed	R	Action	L	H	Steering Group representatives confirming full faculty lists. Re-confirm course list with each cycle planning Courses identified after semester start date to be moved as 'Essential development' under Hypercare support.
Limited project resources available to support cut-over activities.	R	Action	H	H	Ensure understanding of support channels and self-help materials / training.
Course Leads all leave course preparation to last moment, impacting support response times / resolutions	R	Action	H	H	Encourage Course leads to address preparing courses early and use define support channels / self-help as much as possible 'Hypercare' support teams defined, and process implemented. Lighter workload planning for cycle 5a

Unexpected occurrence on initial use of system functions	R	Action	M	H	Define potential issues / responsibilities / responses. Ensure support channels have emergency processes in place.
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Key activities / Milestones for next month

The following activities / Milestones are planned for over the next month:

- Complete Cycle 4 course development
 - Main Launch / Go-Live
 - Staff / Student support
 - Complete testing and development of Arion integrations
 - Start Cycle 5 course development
-

Appendix 4: Key Performance Indicators – Progress report

The following tables present the results for the University's KPIs for 2021. Results shaded in grey are still provisional at this stage; final results are anticipated shortly.

Theme 1: Creating exceptional learning experiences

KPI	Description	Target 2021	Actual 2021	Actual 2020	Result
1.1	Increase the proportion of students who would recommend their programme of study	80%	82%	81%	Achieved
1.2	Increase the proportion of students who are satisfied with teaching quality	80%	83%	82%	Achieved
1.3	Percentage of bachelors graduates who completed work-integrated learning while studying	90%	90%	92%	Achieved
1.4	Percentage of available graduates who are working full time	80%	81%	77%	Achieved

Theme 2: Discovery and application of knowledge for wellbeing and prosperity

KPI	Description	Target 2021	Actual 2021	Actual 2020	Result
2.1	Increase the number of quality-assured research outputs	2,000	2,037	1,930	Achieved
2.2	Increase the proportion of research-active academic staff	55%	57%	55%	Achieved
2.3	Increase the value of new research contracts signed	\$24M	\$18.6M	\$33.8M	Not achieved
2.4	Increase the number of doctoral students	900	1,033	1,011	Achieved
2.5	Increase the proportion of outputs with an international co-author	62%	59%	59%	Not achieved

Theme 3: Responding to our place in the world

KPI	Description	Target 2021	Actual 2021	Actual 2020	Result
3.1	Increase the number of Māori graduates from bachelors degrees	300	305	366	Achieved
3.2	Increase the number of postgraduate students that are Māori	375	390	381	Achieved
3.3	Increase the number of Pacific graduates from bachelors degrees	400	440	403	Achieved
3.4	Increase the number of Pacific postgraduate students	390	421	385	Achieved

Theme 4: Building our position as New Zealand's university of technology

KPI	Description	Target 2021	Actual 2021	Actual 2020	Result
4.2	Increase staff consultancy revenue	\$1.7M	\$1.7M	\$1.2M	Achieved
4.3	Contribute to the public debate Number of media articles using AUT staff as expert voices or highlighting AUT research	>1,000	1,475	1,535	Achieved

Theme 5: Being a place where people love to work and learn

KPI	Description	Target 2021	Actual 2021	Actual 2020	Result
5.1	Increase total EFTS	20,000	20,118	20,498	Achieved
5.2	Increase the number of students at South Campus	2,300	2,223	2,246	Not achieved
5.3	Increase the proportion of students in postgraduate study	18%	18%	20%	Achieved



PART A	6
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **CHANCELLOR'S REPORT**

Date: 28 February 2022

RECOMMENDATION:

THAT COUNCIL RECEIVE THE CHANCELLOR'S REPORT



Part A OPEN AGENDA ITEM	7.2
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Council Agenda Paper

Subject: **REPORT FROM ACADEMIC BOARD**

Date: 29 November 2021

RECOMMENDATION:

THAT COUNCIL RECEIVE THE ACADEMIC BOARD REPORT AND MINUTES OF THE MEETING HELD ON 29 NOVEMBER 2021

The minutes are in supplementary papers.

Academic Board Report to Council

Meeting held via Teams 29 November 2021

Strategic Matters and Policy Developments

- The Deputy Vice-Chancellor Research provided an overview of the development of the Integrated Research Plan. A three-fold approach has been adopted comprising: the Research Roadmap providing the strategic direction; the Research Academic Development Plan to lift research quality and impact; and, System Performance comprising PBRF and reporting. A copy of the presentation along with the full Research Plan 2022-2025 were made available. The Plan was described as a 'living document' impacted on by practical issues such as: the current workload model and approach; the University's 60-plus institutes/centres/entities; research growth in a tight fiscal environment; opportunities for mentoring by senior academics; doing more for early career academics; and, questioning whether we are ambitious enough in terms of research funding.

Points raised by Academic Board members included: the measure used still relates to the number of outputs despite the documentation talking about improving both quality and quantity; as quality of outputs improves the quantity may reduce; both local and international co-author outputs are important; and, better use could be made of the mentor capacity of those who have had success with funding applications.

Covid-19 Update

Updates were provided on action being taken in relation to:

- Response and coordination
- Staff wellbeing
- Academic response and assessment
- Student matters
- Research



PART A	7.3
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **DRAFT MINUTES OF THE COUNCIL WORKING GROUP MEETING HELD ON 6 DECEMBER 2021**

Date: 6 December 2021

RECOMMENDATION:

THAT COUNCIL RECEIVE THE DRAFT MINUTES OF THE COUNCIL WORKING GROUP MEETING HELD ON 6 DECEMBER 2021.

CONFIDENTIAL



MINUTES

Council Working Group

Meeting held 6 December 2021 at 2.00 PM

Microsoft Teams

PRESENT: S Turner (Chair), R Campbell, D McCormack, E Pio, L Foliaki, J Smith; P Treacy, S Lui, S Wendt, C Cook and R Blair.

** Apologies for lateness / ** Apologies for early departure*

SECRETARY: A Vujnovich, Council Secretary

IN ATTENDANCE: K McPherson (Deputy Vice-Chancellor Research), and S Shergill (Council Co-ordinator).
For items 1 - 4.1: D Hall (Chair of the Sustainability Steering Group, Senior Lecturer – Social Sciences and Public Policy), K McKenzie (Director of Sustainability)

1 APOLOGIES AND WELCOME

THAT APOLOGIES FOR ABSENCE FROM P ALLEY BE RECEIVED.

THAT APOLOGIES FOR LATENESS FROM D MCCORMACK AND S LUI BE RECEIVED.

2 MINUTES

Received

2.1 Minutes of the meeting held on 27 September 2021

Resolved

THAT THE MINUTES OF THE COUNCIL WORKING GROUP MEETING HELD ON 27 SEPTEMBER 2021 BE CONFIRMED AS A TRUE AND CORRECT RECORD.

3 MATTERS ARISING

3.1 Table of Actions

Received

Table of Actions

Resolved

THAT COUNCIL WORKING GROUP RECEIVE THE TABLE OF ACTIONS.

4 BUSINESS

4.1 Sustainability Report 2020 – Dr David Hall (Chair of the Sustainability Steering Group, Senior Lecturer – Social Sciences and Public Policy) and Lucy McKenzie (Director of Sustainability)

Received

Verbal presentation from Dr David Hall (Chair of the Sustainability Steering Group, Senior Lecturer – Social Sciences and Public Policy) and Lucy McKenzie (Director of Sustainability)

Noted in Discussion

Background

- The Sustainability Taskforce is now the Sustainability Steering Group. Dr David Hall has taken over as the Chair, and progress is being made on implementing the initiatives.
- The Sustainability Steering Group works in collaboration with the Vice-Chancellor's Office.
- The Director of Sustainability is a new role that was created earlier this year.

The World is Changing

- Many different strategies have been introduced in response to climate change.
- Te Tāruke-ā-Tāwhiri: Auckland's Climate Plan has been published by Auckland Council.
- There is also the Climate Change Response (Zero Carbon) Amendment Act 2019, Carbon Neutral Government Programme and the Government Procurement Rules.
- The Tertiary Education Strategy also includes objectives to shift New Zealand to a carbon-neutral economy and solve the issues faced today.

Other NZ Universities

- Other NZ Universities offer Bachelor and Master degrees related to climate change and the United Nations Sustainable Development Goals.
- AUT has sustainability majors and minors in different degrees across the University.
- Other universities have a commitment to achieve net-zero CO₂e by 2030.
- AUT has a commitment to halve CO₂e by 2025. This commitment aligns with the AUT Directions to 2025 and the Sustainability Roadmap.

Youth and Sustainability

- The results of the Deloitte Global Millennial Survey 2020 showed that the issue millennials are most concerned about is climate change and protecting the environment.
- 40% of NZ Millennials stopped their relationship with a business because of the positive or negative impact of its products or services on the environment.
- 41% of NZ Millennials started a relationship with a business because of the positive or negative impact of its products or services on the environment.
- The results of the Colmar Brunton Better Futures survey showed that 72% of youth (13- to 17-year-olds) say it's important that their future employer is socially and environmentally responsible. In this survey, 48% of youth also said they have transferred to buying products from a business that is more sustainable.

AUT staff and students

- AUT's staff and students were presented with a list of issues. Out of the issues presented, AUT staff and students said the issues that are most important to them are; mental health and wellbeing, climate change, waste and packaging.

Sustainability Report 2020

- AUT's inaugural Sustainability Report 2020 assesses AUT's progress against the ambitions of AUT's Sustainability Roadmap. This includes targets around learning, teaching, research, partnerships, leadership and operations. The Roadmap also highlights AUT's commitment to the United Nations Sustainable Development Goals.
- There is a baseline for 2018 that has been measured against.

Learning and Teaching Targets

- Six sustainability majors or minors are offered across AUT.
- There were 67 sustainability-focused courses taken by 2,903 students.

- The Sustainability Steering Group is working on the alignment between Learning and Teaching and the Sustainability Roadmap.

Research Targets

- Faculties offer contestable research funds to support academic staff. The Faculty of Design and Creative Technologies and the Faculty of Health and Environmental Sciences have both had sustainability-related projects funded.
- The University-wide Health Futures fund focuses on Sustainable Development Goal 3 – good health and well-being.
- For research targets, further work is still required to develop an approach for measuring progress towards the targets including reporting on sustainability research impact.

Partnerships and Community Targets

- The Health Futures fund has generated research partnerships with the industry, Government and communities specifically in relation to Sustainable Development Goal 3.
- In 2020, AUT became a Principal partner of the Mōhio Climate Innovation Lab.
- AUT has a collaboration agreement with Energy Efficiency and Conservation Authority (EECA). AUT received \$1.29m from EECA in 2020.
- AUT only sells Fairtrade-certified coffee in all the AUT managed cafés on campus.

Leadership Targets

- The target is to demonstrate innovation in sustainability professional practice within the curriculum and research.
- In 2020, AUT held the first Sustainability Learning and Teaching Symposium.
- There were 76 externally funded sustainability-related research projects in 2020.

Operation Targets

- There has been a 53% decrease in AUT's CO₂e emissions in 2020 compared to the 2018 baseline.
- The significant reduction in AUT's CO₂e emissions is due to the lockdowns, a ban on international air travel and energy efficiency initiatives.
- In 2020, 60% of energy savings achieved were due to operational and efficiency changes and 40% were due to lockdowns.
- The CO₂e emissions were audited for 2018 and 2020.
- There is still work being done in the area of waste.

Sustainability at AUT

- There are still options to develop courses related to sustainability.
- Overall, it would be more effective if every course at AUT included sustainability elements.
- AUT having a goal to halve CO₂e by 2025 will have more impact as this requires immediate change.
- Many sustainability-related changes are currently being made and the sustainability team is in the process of planning a budget. The Vice-Chancellor's Office is also involved in this.
- It was noted that sustainability needs to be something that all areas of the University are involved in. The Director of Sustainability also works with the learning, teaching and research areas of the University.
- For individual impact and personal responsibility, there is the Green Impact Programme that is available for staff and students to participate in. Staff and students also have the opportunity to create their own projects. For example, the Faculty of Business, Economics and Law decided to audit the waste in their Faculty.

Next Steps

- Future reporting on the progress of the Sustainability Roadmap will be through the Council Finance and Audit Committee. The Chair of the Sustainability Steering Group and Director of

Sustainability to provide further reports on the progress to the Council Finance and Audit Committee.

- Māori have a special interest in sustainability and have looked after the land, the harbour, the waterways, and the sea before European development. The University could investigate how waste generated by AUT's business impacts Iwi and also what changes have the biggest impact on reducing emissions.
- The Sustainability Steering Group will take a proactive role in advocating for change to reduce transport emissions as well as partner and consult with Iwi.
- The majority of AUT's travel emissions relate to air travel rather than ground travel.
- It will be useful to explore how AUT's different campuses can be used to reduce travel emissions. Council to receive a Roadmap on how AUT can further decrease its transport emissions.
- A carbon reduction plan is currently being developed. Actions and responsibilities related to this will be widely spread across the University.
- Council acknowledged that this first Sustainability Report was excellent. It was recommended that for future reports a month-by-month report detailing initiatives against targets would be useful.
- Council is to receive a breakdown of AUT's CO₂e emissions.

Resolved

THAT COUNCIL WORKING GROUP RECEIVE THE SUSTAINABILITY REPORT 2020 FROM DR DAVID HALL (CHAIR OF THE SUSTAINABILITY STEERING GROUP, SENIOR LECTURER – SOCIAL SCIENCES AND PUBLIC POLICY) AND LUCY MCKENZIE (DIRECTOR OF SUSTAINABILITY)

5 CLOSE OF MEETING

The meeting closed at 3:10 pm

6 DATE OF NEXT MEETING

The **next meeting** of Council Working Group is scheduled for:

Date: 28 February 20212

Time: 2.00 pm – 3.30 pm

Venue: AUT City

Matters Arising

- Future reporting on the progress of the Sustainability Roadmap will be through the Council Finance and Audit Committee. The Chair of the Sustainability Steering Group and Director of Sustainability to provide further reports on the progress to the Council Finance and Audit Committee. – *Q1 2022 and ongoing, Chair of the Sustainability Steering Group and Director of Sustainability*
- Māori have a special interest in sustainability and have looked after the land, the harbour, the waterways, and the sea before European development. The University could investigate how waste generated by AUT's business impacts Iwi and also what changes have the biggest impact on reducing emissions. – *Q1 2022 and ongoing, Chair of the Sustainability Steering Group and Director of Sustainability*
- Council to receive a breakdown of AUT's CO₂e emissions. – *Q1 2022, Chair of the Sustainability Steering Group and Director of Sustainability*
- It will be useful to explore how AUT's different campuses can be used to reduce travel emissions. Council to receive a Roadmap on how AUT can further decrease its transport emissions. - *Q1 2022, Chair of the Sustainability Steering Group and Director of Sustainability*



PART A	8.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **EMAIL FROM JENN BESTWICK (CHAIR TEC BOARD) DATED 12 JANUARY 2022**

Date: 12 January 2022

RECOMMENDATION:

THAT COUNCIL RECEIVE THE EMAIL FROM JENN BESTWICK (CHAIR TEC BOARD) DATED 12 JANUARY 2022

From: Rob Campbell <rob@tutanekai.com>
Sent: Wednesday, January 12, 2022 4:45 PM
To: Chair
Cc: Rob Campbell; Derek McCormack; Andrea Vujnovich; Damon Salesa
Subject: Re: Ōritetanga - Learner Success

Kia ora Jenn

I appreciate the comments made. Andrea will ensure that your email and my response is included in our next Council agenda for their information and to progress the Ōritetanga process which is fully in accord with our objectives as a University.

We will welcome active participation with TEC on this at Council, University management and all staff and teaching levels.

I have copied our newly appointed Vice-Chancellor Damon Salesa in this correspondence as he and Derek will obviously be working together prior to Derek's retirement date on this and it will then pass to Damon. I know that they will both welcome the opportunity.

It would be useful perhaps for some initial work to be done bringing together the wide range of initiatives within AUT on this in preparation for you and colleagues meeting with the Council but if an earlier engagement is preferred I have no objection. This can be either at a scheduled or a special meeting of Council. Management will follow this up.

Nga mihi nui
Rob

On 12/01/2022, at 4:06 PM, Chair <Chair@tec.govt.nz> wrote:

Tēna koe Rob

Congratulations on a very successful 2021. With all of the COVID related impediments impacting educational delivery and research around the sector, I think AUT can be well satisfied with the outcome.

As we move into 2022, the TEC Board is acutely aware of the focus on learner success in the new Tertiary Education Strategy. As a Board, we have asked the TEC management team to make Ōritetanga Learner Success the pre-eminent objective in delivery of its investment and monitoring roles within the sector. Given their size and scale, universities play a disproportionately important role in the process of seeking to achieve parity of outcomes (completion of qualifications and first year retention) for Māori, Pasifika and disadvantaged groups.

With this focus in mind, the TEC Board is reaching out to all university Councils and would like to engage with your Council on this important issue, with a view to reaching a Council commitment from AUT to pursue the organisation-wide change required to achieve parity. With your Council's participation and agreement, our aim is to reach that agreement with you before the end of June 2022.

While we recognise that the pursuit of parity of achievement is a multi-year task, our experience and international research strongly suggests that commitment to the task by the governing body of the organisation is a pre-requisite to success, so we are keen to engage with you, board to board, on the issue.

I will ask the team at TEC to work with your staff to find a suitable date for us to meet with your Council.

Again, thanks for your efforts in 2021 and I look forward to working with you on this in 2022.

Ngā mihi

Jenn Bestwick
Board Chair



PART A OPEN AGENDA ITEM	9.1
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Council Agenda Paper

Subject: **UPDATE FROM AUTSA**

Date: 28 February 2022

RECOMMENDATION:

THAT COUNCIL RECEIVE THE VERBAL UPDATE FROM THE PRESIDENT OF AUTSA
