

AGENDA PAPERS

NOTICE OF COUNCIL MEETING

**Notice is Hereby Given that a Meeting of the Council of Auckland
University of Technology will be held:**

**Council Room, Level 7, WA Building, AUT City Campus
On: Monday, 4 December 2023**

FROM: 3.30 – 6.00PM

**Andrea Vujnovich
COUNCIL SECRETARY**



AUCKLAND UNIVERSITY OF TECHNOLOGY COUNCIL

Chancellor	Rob Campbell Appointed by Council
Pro-Chancellor	Sussan Turner Appointed by the Minister of Education
Members	Professor Damon Salesa Vice-Chancellor of the Auckland University of Technology
	Peter Treacy Appointed by the Minister of Education
	Janine Smith Appointed by the Minister of Education
	Sina Wendt Appointed by the Minister of Education
	Sara Youssef Appointed by the Auckland University of Technology Student Association
	Renata Blair Appointed by Council
	Leo Foliaki Appointed by Council
	Marama Royal Appointed by Council
	Welby Ings Elected by the Academic Staff of the Auckland University of Technology
	Lani Thomson Elected by the Professional Staff of the Auckland University of Technology

PART A

OPEN AGENDA



COUNCIL PART A OPEN AGENDA

Council Agenda Part A (Open Agenda)

Monday 4 December at 3.30pm to be held in the Council Room, Level 7, WA Building, AUT City Campus.

Karakia		
Mihi – Chancellor		
1. Welcome, Apologies and approval of agenda	The Chancellor moves that apologies be noted , and that Council approve the assignment of agenda items to Part A and Part B of the Council agenda.	
2. Declaration/Recording of any Interests	2. The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The Chancellor moves that the declarations if any be noted and the action taken be endorsed .
3. Constitutional Matter, Conferment of Degrees, and Policy	3. Noting Chancellor delegation – Granting, Rescinding, Revoking and Amending Qualifications – November 2023.	The Chancellor moves that the following be approved : <ul style="list-style-type: none"> • See item 3
4. Council Meetings	4.1 Special Council Meeting Draft Minutes Part A, 30 October 2023. 4.2 Matters arising from the Minutes Part A of the Special Council Meeting, 30 October 2023 not elsewhere on the agenda.	The Chancellor moves that the Minutes Part A of the Special Council Meeting held on, 30 October 2023 be taken as read and confirmed .

5. Vice-Chancellor's Report	5. Vice-Chancellor's Report.	The Chancellor moves that the Vice-Chancellor's Report be received .
6. Chancellor's Report	6. Chancellor's Report.	The Chancellor moves that the Chancellor's Report be received .
7. Reports from Boards, Committees and Working Groups to Council	7.1 Reports and Minutes from the Academic Board meeting held on 30 October 2023.	The Chancellor moves that Reports and Minutes from the Academic Board, 30 October 2023 be received .
8. Health, Safety, and Wellbeing Reports	8. Health, Safety & Wellbeing Reports for October 2023.	The Chancellor moves that the Health, Safety and Wellbeing Reports for October 2023 be received .
9. Te Tiriti	9. No report.	
10. Strategic Reports	10.1 Report on Pacific at AUT from Associate Professor Jacoba Matapo Pro Vice-Chancellor Pacific 10.2 Update on External Engagement for Imagine AUT from Megan Skinner, Group Director Strategy and Planning.	The Chancellor moves that the Report on Pacific at AUT from Associate Professor Jacoba Matapo Pro Vice-Chancellor Pacific be received . The Chancellor moves that the Council receive this update on the proposed approach to engaging externally on our draft strategy.
11. Student Success	11. No report.	
12. Correspondence referred by the Chancellor	12. Letter from the Hon. Jan Tinetti approving the change to the Constitution of AUT.	See item 12.
13. Other matters arising for decision or noting	13.1 Update from AUTSA. 13.2 Amending the Terms of Reference for AUTEK	The Chancellor moves that the verbal update from AUTSA be received . See item 13.2

14. Items moved from Part B of the agenda to Part A and General Business		
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PUBLIC EXCLUSIONS

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure. This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting AND THAT W Lawson, L Williams, A Vujnovich and R Nottingham be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under section 9 of the OIA identified below.	Ground(s) under section 48(1) LGOIMA for the passing of this resolution
15. Minutes Part B of the Special Council meeting - 30 October 2023	s 9(2)(b)(ii), s 9(2)(i), 9(2)(k)	7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)
16. Report from AUT Ventures	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
17. Report from AUT Millennium	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
18. Report from AUT Ethics Committee	s 9(2)(a), 9(2)(b)(ii), s 9(2)(i), 9(2)(g)(i)	s7(2)(a), 7(2)(c), 7(2)(j), 7(2)(F)(i), 7(2)(f)(ii)
20.1 Draft Minutes of Finance and Audit Committee, 20 November 2023	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
20.1 Draft Minutes of People and Culture Committee, 20 November 2023	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
22. Other Business	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)



PART A OPEN AGENDA ITEM
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3

Council Agenda Paper

Subject: Granting, Rescinding, Revoking and Amending Qualifications – November 2023

Date: 23 November 2023

RECOMMENDATION:

THAT COUNCIL NOTE THAT THE CHANCELLOR HAS EXERCISED A DELEGATION UNDER THE COUNCIL DELEGATION POLICY AND IN ACCORDANCE WITH THE GENERAL ACADEMIC STATUTE AND SECTION 283 OF THE EDUCATION AND TRAINING ACT 2020 TO:

NOVEMBER 2023:

- 1. GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2023 SHANGHAI OFFSHORE CYCLE ON 16 NOVEMBER.*
 - 2. GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2023 NOVEMBER MONTHLY CERTIFICATE CYCLE ON 16 NOVEMBER.*
 - 3. RESCIND THE QUALIFICATION FOR THE STUDENT IN THE ATTACHED SCHEDULE.*
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The schedules are in Supplementary Papers.



PART A	4.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: Minutes of Part A of the Special Council Meeting held on 30 October 2023

Date: 4 November 2023

Author: A Vujnovich, Council Secretary

RECOMMENDATION:

THAT THE MINUTES PART A OF THE SPECIAL COUNCIL MEETING HELD ON 30 OCTOBER 2023 BE CONFIRMED AS A TRUE AND CORRECT RECORD.

CONFIDENTIAL



MINUTES

Council Meeting

Meeting held 30 October 2023 at 3.30 pm

Council Room, City Campus, Wellesley Street, Auckland

PRESENT: R Campbell (Chair); D Salesa, J Smith; P Treacy (via Teams); L Foliaki (via Teams); S Youssef (via Teams); S Wendt (via Teams); S Turner; W Ings, L Thomson.

SECRETARY: R Nottingham, Minute Taker

IN ATTENDANCE: Alicia Lemmer, incoming AUTSA President 2024W Lawson (Deputy Vice-Chancellor Academic); L Williams (Chief Financial Officer and AVC Finance and Infrastructure); D Shanta (Director HR Business Partnering) and B Bignell (Acting Director Health, Safety & Wellbeing) – Item 8.1; V Smith (Kaihautu Tiriti) – Item 9.

IN APOLOGY: R Blair; M Royal.

PART A OPEN AGENDA

The Vice Chancellor opened the meeting with a karakia.

1 WELCOME, APOLOGIES AND APPROVAL OF AGENDA ITEMS

The Chancellor:

- Welcomed Alicia Lemmer, the incoming AUTSA president effective 2024 as an observer to the meeting.

Resolved

1. *THAT AN APOLOGY FOR ABSENCE FROM M ROYAL AND R BLAIR BE RECEIVED AND AN APOLOGY FOR LATENESS FROM W LAWSON BE RECEIVED*
2. *THAT THE ASSIGNMENT OF AGENDA ITEMS TO PART A AND PART B OF THE COUNCIL AGENDA BE APPROVED*

2 DECLARATION/RECORDING OF INTERESTS

The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.

Resolved

THAT THE DECLARATIONS BE NOTED AND THE ACTIONS TAKEN BE ENDORSED.

3 CONSTITUTIONAL MATTER, CONFERMENT OF DEGREES AND POLICY

3.1 Amendment of Constitution

Received

Amendment of Constitution paper

Resolved

THAT COUNCIL:

1. *APPROVED THE AMENDMENTS TO THE CONSTITUTION WHICH COMPLY WITH THE REQUIREMENTS OF SECTION 276 OF THE EDUCATION AND TRAINING ACT 2020; AND*
2. *IN ACCORDANCE WITH SCHEDULE 11 CLAUSE 2(1) OF THE EDUCATION AND TRAINING ACT 2020 RECOMMENDED TO THE MINISTER FOR EDUCATION THAT THE CONSTITUTION BE AMENDED BY NOTICE PUBLISHED IN THE GAZETTE*

4 COUNCIL MEETINGS AND MINUTES OF PREVIOUS MEETING(S)

4.1 Minutes of the previous meeting

Received

Draft Minutes (Part A), of the Council meeting held on 25 September 2023

Resolved

THAT THE MINUTES (PART A) OF THE COUNCIL MEETING HELD ON 25 SEPTEMBER 2023 BE TAKEN AS READ AND CONFIRMED AS A TRUE AND CORRECT RECORD.

4.2 Matters Arising

Noted in discussion

- Equal opportunities and Council charter work had been superseded by Te Aronui so Action 3.3; had been completed;
- Action 5 had been completed following establishment of sustainability steering committee, noting further work to be done;
- Action 8.1 was being progressed but noting change in personnel at director level which had delayed some progress;
- Action 9 a Te Tiriti workshop date should be organised for Council, potentially early in 2024 and as a means of all Council members getting to know each other and confirming purpose and objectives.

Action: Management to work with Council members to develop programme for a board retreat in early 2024, potentially at North or South Campus.

5 VICE CHANCELLOR'S REPORT

Received

Vice Chancellor's Report

Noted in discussion

- Preparations undertaken to ensure understanding of and assure position of AUT following the election on 14 October;
- Potential settings changes included the unified funding system, workforce development councils and regional skills leadership groups and student immigration and work rights;
- Opportunity for Council support and engagement with TEC and other stakeholders to support AUT's position and objectives;
- AUT performing strongly in the international market, but competition was tougher for three-year students;
- Senior appointments including co-creation approach to the role of DVC Māori;
- Moana research network was expected to help increase Pasifika PHD and researchers over time;
- AUT's resolve to continue towards being a Te Tiriti led institution was supported by staff and statute.

Resolved

THAT THE VICE-CHANCELLOR'S REPORT TO COUNCIL BE RECEIVED.

6 CHANCELLOR'S REPORT

The Chancellor noted:

Noted in discussion

- Request for a contact tree for Council members' contact details.

Action: Management to create list of Council member contact details.

Resolved

THAT THE CHANCELLOR'S REPORT TO COUNCIL BE RECEIVED.

7 PART A – REPORTS FROM BOARD, COMMITTEES AND WORKING GROUPS OF COUNCIL

7.1 Academic Board Reports and Minutes, 25 September 2023

Received

Academic Board Reports and Minutes, 25 September 2023

Noted in discussion

- Update on the academic audit, noting report was due in May 2024 and no issues warranting Council note had been discovered.

Resolved

THAT THE ACADEMIC BOARD REPORTS AND MINUTES OF THE MEETINGS HELD ON 25 SEPTEMBER 2023 BE RECEIVED.

8 HEALTH, SAFETY AND WELLBEING REPORTS

8.1 Health, Safety and Wellbeing Reports, September 2023

Received

Health, Safety and Wellbeing Reports, September 2023

Noted in discussion

- Initiatives underway to increase awareness of reporting incidents by staff and students and increase engagement with Faculties and Schools to improve consistency of reporting;
- Increase in lag reporting demonstrated positive progress;
- Health, Safety & Wellbeing e-learning modules were being developed to ensure new staff and students were inducted appropriately;
- The categorisation of illness and the need for a consistent approach to manage cases of long-Covid, if or when they arose;
- Regular meetings of senior management with the providers of the EAP services;
- Acknowledged the improved reporting and engagement from the Health, Safety & Wellbeing team.

Resolved

THAT THE HEALTH SAFETY AND WELLBEING REPORT FOR SEPTEMBER 2023 BE RECEIVED.

9 TE TIRITI REPORT (late item)

Verbal Update

V Smith provided a verbal update on the progress of Te Aronui:

- Te Aronui steering group had been established;
- Development of cultural competence modules, planned for all permanent staff and would take approximately three years to deliver;
- Pilot of cultural competence modules had been well received by initial cohorts with business case being developed for the wider organisation;
- Work on policy framework was underway with senior Māori leaders.

Noted in discussion

- Commended the work done by the Te Tiriti team;
- Apposite timing for council training on Te Tiriti and Te Aronui and the duration and content of those sessions;
- Opportunity for leadership in developing governance with an authentic te ao Māori attributes and values, noting Management would consider opportunities to initiate this work;
- Management of relationships and a more challenging environment required a considered approach that did not bring in risk to the University.

Action: Management to develop and diarise Te Aronui training sessions for Council members, dates to be scheduled as soon as possible.

Resolved

THAT THE VERBAL UPDATE ON TE TIRITI AND TE ARONUI BE RECEIVED.

10 STRATEGIC REPORTS

10.1 Update on Imagine AUT

Received

Presentation on Update Imagine AUT

Noted in Discussion

- Tight timeframe with end of phase one of the process completed, next phase including external consultation and first draft strategy due in January 2024;
- Themes emerging from staff engagement included need to harness technology, preparation for climate change, future of work, student experience and the value of university education;
- Themes needed to be tested back and strong differences of opinion recorded;
- Value of student feedback to inform and promote the University's offering, including appreciation of smaller class sizes, approachability of teaching staff and cultural diversity;
- Need to consider best ways of enabling student responses given timing of student survey;
- Timing of and engagement of Council in the strategy development process with a request to Management to provide a proposal for consideration;
- Approach to engaging industry, noting Council members' contacts could be engaged for purposes of external consultation;
- Importance of and approach to engaging with mana whenua;
- Benefits to organisation of engaging staff and students in the strategy development process;
- Acknowledgement of the extensive work undertaken to date.

Actions:

- Management to circulate the presentation slides in Diligent.
- Management to develop proposal for Council's involvement in the strategy process for its consideration;
- Council members to consider contacts in their network who should participate in external consultation and provide details to Management.

Resolved

THAT THE PRESENTATION ON IMAGINE AUT OUR PROCESS FOR DEVELOPING THE NEW STRATEGY BE RECEIVED

11 STUDENT SUCCESS

No report

12 CORRESPONDENCE REFERRED BY THE CHANCELLOR

Received

Correspondence from TEC dated 22 September 2023

Noted in Discussion

- Information would be due from some Council Members to TEC as part of appointments process.
- Members asked to review their information and with any corrections to be sent to Management.

Resolved

THAT THE LETTER FROM THE TEC DATED 22 SEPTEMBER 2023 BE RECEIVED

13 Update from AUTSA

The President of AUTSA presented on AUTSA’s range of roles and services.

Noted in Discussion

- Challenges with engaging students from north Campus due to construction work;
- AUTSA integral to the delivery of AUT’s vision and needs and responsive to needs – acknowledge and thanks to AUTSA’s work and role.

Resolved

THAT THE PRESENTATION FROM THE PRESIDENT OF AUTSA BE RECEIVED AND THAT THE VALUE OF AUTSA’S ROLE AND SERVICES TO STUDENTS AT THE UNIVERSITY BE ACKNOWLEDGED.

14 GENERAL BUSINESS AND ITEMS MOVED FROM PART B TO PART A

None.

RESOLUTION TO EXCLUDE THE PUBLIC

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure. This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting **AND THAT** W Lawson, L Williams and R Nottingham be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

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Formal Council Meeting 4 December 2023 - 4. Council Meeting Items Part A

15. Council Meeting Minutes Part B of the meeting - 25 September 2023	s 9(2)(b)(ii), s 9(2)(i), 9(2)(k)	7(2)(f)(i), 7(2)h), 7(2)(i), 7(2)(j)
22. Other Business	9(2)(b) and 9(2)(i)	7(2)(b) and 7(2)(h)

NO.	COUNCIL MEETING RAISED	ACTION	WHO	TARGET DATE STATUS/
01	29 May 2023	8.1. Health, Safety & Wellbeing Reports, <ul style="list-style-type: none"> It was noted that health and safety reporting is often through different divisions of the University and not necessarily, through the health and safety division. The estates and health and safety reporting platforms in particular, require integration, and an update will be provided to Council. Update: October 2023. <u>Update October 23: Date deferred to April 2024 to allow arrival of new Health, Safety & Wellbeing Director.</u> 	Director Health, Safety & Wellbeing –	Deferred to April 2024
02		9. Te Tiriti Report <ul style="list-style-type: none"> A Te Tiriti workshop will be scheduled for Council. 	Valance Smith - Kaihautu Tiriti	April 2024
03	25 September 2023	10.1 Report on the Research Plan – Rautaki Rangahau - 2023 – 2028 <ul style="list-style-type: none"> Management to provide Council with an update on progress of the Research Plan at six-monthly intervals. 	Mark Orams – DVC Research	March 2024
04		11. Student Success <ul style="list-style-type: none"> Management to provide information and metrics on student experience in the next update on Ki Uta Ki Tai to Council. 	Wendy Lawson – DVC Academic	December 2023
05	30 October 2023	4.2 Matters Arising <ul style="list-style-type: none"> Management to work with Council members to develop programme for a Council retreat in early 2024, potentially at North or South Campus. 	Council Secretary	April 2024
06		6 Chancellor’s Report <ul style="list-style-type: none"> Management to create list of Council member contact details. 	Council Secretary	December 2023 Completed
07		9 Te Tiriti Report <ul style="list-style-type: none"> Management to develop and diarise Te Aronui training sessions for Council members, dates to be scheduled as soon as possible. (Note: possibility to combine with action #5 and action #2 above). 	Valance Smith - Kaihautu Tiriti	April 2024
08		10.1 Update on Imagine AUT <ul style="list-style-type: none"> Management to circulate presentation slides to Council members via Diligent. 	Council Secretary	November 2023 - Completed

09		10.1 Update on Imagine AUT <ul style="list-style-type: none">• Management to develop proposal for Council's involvement in the strategy process for its consideration.	Vice Chancellor/ Group Director Strategy & Planning	December 2023
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PART A	5
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **VICE-CHANCELLOR'S REPORT**

Date: **4 December 2023**

RECOMMENDATION:

THAT THE VICE CHANCELLOR'S REPORT BE RECEIVED

Our focus internally has been the development of AUT's new strategy through the process called [Imagine AUT](#). Group Director Strategy and Planning Megan Skinner and I have provided an update on Tuia to staff on some of the emerging themes arising from feedback and consultation thus far. [Watch the Imagine AUT update.](#)

HIGHLIGHTS

- 37 academics who have been successfully promoted to the University's professoriate. 15 academics to Professor and 22 academics to Associate Professor.
- Summer Graduation is taking place on the 12 and 13 December at Spark Arena – with over 3000 students signalled to attend a ceremony.
- A range of groundbreaking research projects have received funding in the 2023 Marsden Fund, receiving close to \$2.5 million – AUT's most successful year.
- Two projects linked to AUT's Eke Tangaroa programme for Māori and Pacific early-career academics received funding as part of the 2023 Marsden Fund round.
- Work is underway to plan for 'AUT Online' - a new coordinated, integrated, and high-quality platform for a portfolio of online-by-design programme offerings.
- AUT has formed Te Kāhui, a group that supports Te Tiriti-informed decision-making regarding applications for professorial promotion by the AUT Professorial Appointments Committee.
- AUT launched five new marketing videos targeting parents - with a focus on the value of education. The videos featured prominent academics and thought leaders of the University, including Professor Welby Ings, Professor Ella Henry, Associate Professor Jacoba Matapo, Professor Damon Salesa and Dr Mahsa Mohaghegh.
- More than 100 alumni attended an alumni event in Shanghai hosted by the Pro Vice-Chancellor International Professor Guy Littlefair. AUT also held alumni events in Vietnam, the Philippines and recently in Samoa, co-hosted by Pro Vice-Chancellor Pacific Associate Professor Jacoba Matapo and I.

PRIORITY 1: TE TIRITI O WAITANGI

1.1 Te Aronui Update

The pilot cultural capability programme, Aronui Ora, has recently concluded with initial feedback and survey responses suggesting that the pilot has been a success and has met its intended objectives. The programme is being managed by Tiriti Strategist Dr Valance Smith in collaboration with People and Culture. A business case for the programme’s continuation into 2024 and beyond will be presented to the Executive Committee this month. The proposal aims to ensure that all full-time FTE participants engage in Aronui Ora.

Discussions are in progress with key members from Strategy and Planning for the development of a Te Aronui Toolkit to support the programme. Dr Valance is currently working with Andrea Vujnovich's team to finalise dates for Aronui Ora training for Council in 2024.

1.2 Te Reo Māori policy

A te reo Māori policy based on input from senior Māori leaders and language experts has been drafted by Dr Valance, which is scheduled to be brought before the Vice Chancellor's Executive Committee in February 2024.

PRIORITY 2: EXCELLENCE AND EQUITY IN EDUCATION AND RESEARCH

2.1 Learning and Teaching

Semester two final assessments and markings are now complete, and Assessment Boards met in the week of 20 November to finalise grades. Summer School began on 20 November and runs in two intensive blocks. The first block is from 20 November to 15 December, and the second block is from 8 January to 2 February.

2.2 Academic Programmes

In 2023, 15 proposals were submitted to the Committee on University Academic Programmes (CUAP) for approval. These were all submitted in Round 2 (October meeting). CUAP approved proposals for significant restructuring of large undergraduate programmes, e.g. Bachelor of Visual Arts, the Bachelor of Engineering (Hons), and the Bachelor of Medical Laboratory Science and several Masters programmes and two new Diploma pathways programmes were approved. Details are as follows:

Qualification	Summary of CUAP-approved changes
Bachelor of Medical Laboratory Science	Change to structure, increasing core requirements.
Bachelor of Visual Arts Te Tohu Paetahi mo Toi Ataata Diploma of Visual Arts Tītohu mō te Toi Ataata	Changing the structure, renaming the qualification, introducing two new majors: a) Painting, Printmaking and Drawing and b) Photography, Sculpture and Moving Image, introducing two new minors: a) Painting, Printmaking and Drawing and b) Photography, Sculpture and Moving Image, and introducing a new diploma that draws on existing courses.
Bachelor of Engineering (Hons)	Amending the quantum of points for the core, major and electives; renaming the major Construction Engineering as Civil Construction Engineering.

Bachelor of Engineering Technology	Creating a common core, consolidating two majors (Electrical and Electronic Engineering) and deleting four majors.
Master of Business Management	Reducing compulsory courses component, increasing elective courses component, increasing the capstone course from 30-45 points.
Master of Business	Changing points requirements for specialisations, adding a 120-point thesis, deleting two specialisations.
Master of Sport and Exercise Health	Introducing a 90-point thesis and two new specialisations: a) Sport Data Analysis and b) Health and Physical Education.
Bachelor of Design Te Tohu Paetahi mō te Hoahoa Diploma in Design TTohu mō te Hoahoa	Changing the name of the major in Spatial Design to Spatial and Interior Design.
Diploma of Computer Information Sciences	Introducing a diploma that draws on existing courses.
Bachelor of Computer Information Sciences	Reintroducing the major Computer Science.
Diploma in Engineering	Introducing a diploma that draws on existing courses.
Certificate in Sport and Recreation	Adding a clause to the Additional Programme Entry Requirements.
Master of Nursing Science	Reducing the minimum IELTS score from 7.0 in all bands to 6.5 in all bands.
Master of Design	Adding an additional clause to the admission criteria.
Master of Visual Arts	Inserting an additional clause in the admission criteria.

Reviews

By the end of the year, three programmes will have undergone Periodic Programme Review. A number of Periodic Reviews were deferred to 2024.

Periodic Programme Reviews

- Diploma in Applied Science
- *Master of Applied Finance (28 November – report to the Programme Approval and Review Committee (PARC) in 2024)*
- *Bachelor of Health Science Podiatry (24 November – report to PARC in 2024)*

Graduating Year Reviews (GYR)

Five GYRs were deferred to 2024 but must be undertaken in 2025. The GYRs completed were:

- Master of Architecture (Professional)
- Bachelor of Engineering (Honours) - Architectural Engineering, Construction Engineering, Mechatronics Engineering, Software Engineering
- Master of Nursing Science
- Bachelor of Science (Geoscience, Psychology, Molecular Genetics). *[These majors in the BSc are closed to new enrolments]*

2.3 Research Funding

ERI	Source - TechOne
2017 (unweighted)	\$11.458M
2017 (weighted)	\$13.955M
2018 (unweighted)	\$15.089M

2018 (weighted)	\$16.699M
2019 (unweighted)	\$15.3M
2020 (unweighted)	\$13.47M (est. as at 30/11/2020)
2021 (unweighted, unaudited)	\$17.30M (est. as at 31/12/2021)
2022 (unweighted, unaudited)	\$22,960,559M (est. as at 31/12/2022)
2023 (unweighted, unaudited)	\$21,174,772M (est. as at 31/10/2023)

Note: Unweighted means that ERI is not weighted according to TEC guidelines, e.g. 1x for NZ government contestable funds, 1.5x for overseas, 2x for NZ non-government

2022 ERI TEC Submission

	2022 ERI (Unweighted)	2022 ERI (Weighted)
NZ Government Contestable	\$16,396,163	\$16,396,163
NZ Non-Government	\$967,166	\$3,868,644
NZ Public Sector	\$2,811,533	\$2,811,533
Overseas	\$1,026,338	\$3,592,185
Total	\$21,201,200	\$26,668,544

External funding activity

	Submissions
MBIE	<p>Te Kāhui Poipoi Rangahau (TKRP) [Research Office] is working with several researchers and FRO to develop and submit the 2024 MBIE Endeavour Smart Idea Registration and Concept proposal on MBIE’s new proposal submission portal.</p> <p>Results:</p> <ul style="list-style-type: none"> AUT negotiated a contract with the Ministry of Business, Innovation and Employment (MBIE) for Dr David Williamson (Faculty of Culture and Society) for \$30K.
HRC	<ul style="list-style-type: none"> 2024 Programme Grant: submitted a record 3 AUT applications for the mid-October deadline and 1 memorandum of understanding (MoU) for collaboration with the Otago-led Programme. 2024 Project Grant Full Proposal: working on 4 AUT proposals, which include 13 MoUs. Facilitating a further three incoming MoUs for AUT researchers as part of University of Auckland (UoA) and University of Ōtago (UoO) led proposals. <p>Results - <i>Please note that these are embargoed until the HRC public announcement in mid-November.</i></p> <ul style="list-style-type: none"> Pacific Career Development Awards: 6 out of 9 proposals funded, including 2 master’s and 1 PhD Scholarship. Māori Career Development Awards: 3 out of 3 proposals funded, ranging from training grants to a Clinical Research Training Fellowship. 2023 Climate Change and Health and Wellbeing Initiative: Of 18 AUT Expressions of Capability submitted, Dr Isaac Warbrick (Director of Taupua Waiora Centre for Māori Health Research) has been invited to the next phase, building a collaborative research team.
Government	<ul style="list-style-type: none"> Ministry of Social Development RFP Prevalence Study of Abuse of Older People: 1 cross Faculty (BEL/HES) response submitted. Ministry of Justice NZ Crime & Victims Research Fund: 2 AUT responses from the Faculty of Business, Economics and Law were submitted. Ministry of Health Gambling Harm Research Programme: 11 AUT responses were submitted from HES for this RFP.
Royal Society of New Zealand (RSNZ)	<p>Marsden</p> <ul style="list-style-type: none"> 4 out of 5 full proposals were successful. Overall, this has been AUT’s most successful Marsden round, with a 29% success rate of the 14 expressions of

	<p>interest (EOLs) submitted. Annually, the national average success rate is around 11%.</p> <ul style="list-style-type: none"> • 6 RSNZ Catalyst proposals were submitted to the October round. • The Marsden Council is requesting that the Universities New Zealand Research Committee suggest potential panellists. TKPR will work with ADRs to provide suggestions to the Deputy Vice-Chancellor Research.
National Collaborations	<p>Results:</p> <ul style="list-style-type: none"> • 2 Consortium for Medical Device Technologies (CDMT) RAP1 applications were successful.
International	<ul style="list-style-type: none"> • 2 applications are being submitted to the UK Royal Academy: Networking Grants • 1 Spencer Foundation application is submitted. • 1 Saudi Basic Science Initiative application is being submitted. • 1 Scholarship application to Australian Mates in Construction.

2.4 Graduate Research School

- 50% increase in PhD applications compared to the same time last year (source Uni Assist).
- 213 New PhD admissions, a 6% increase in admissions compared to 2022 (year to date).
- From 2024, a part-time enrolment category will be re-introduced for doctoral programmes.
- Abstracts from the Postgraduate Research Symposium have now been published in the Rangahau Aranga: AUT Graduate Review Journal (where the authors consented).

PRIORITY 3: ENVIRONMENTAL AND FINANCIAL SUSTAINABILITY

3.1 Financial Performance (detail in Appendix 2)

Overall, the year-to-date (YTD) net surplus variance to budget of \$10.4M reflects the impact of higher international enrolments offsetting the impact of lower revenue from domestic enrolments, higher other income and lower staffing and other operating costs.

The full-year forecast projects a \$7.6M operational net surplus, which is \$1.4m ahead of budget. The forecast position reflects the impact of unbudgeted salaries and higher operating costs, offset by net improvement in student-derived income due to higher international fees and other income.

3.2 Student enrolment update (detail in Appendix 1)

Overall, AUT has achieved 99% of its total (domestic and international) 2023 budget, compared to 96% of the 2022 budget this time last year.

- This improvement in progress towards the total EFTS budget is due to a lower domestic target and improved international intake.

Domestic EFTS are down on the same time last year due to a drop in both new and returning EFTS.

- In early 2023 reports, year-to-date new EFTS appeared up on the same time last year because of a change in pattern to the enrolments of clinical health science students compared to 2022. The previous and current updates provide a more accurate picture of the year-on-year change in new domestic EFTS since the difference was resolved by this time last year.
- This year, new to programme domestic EFTS are down as a result of a decrease in the intake of clinical health degrees down to a more sustainable level, as well as a decrease in the Bachelor of Arts (caused

by fewer majors), Bachelor of Education (Specialty) Teaching, Bachelor of Science, Bachelor of Laws, and Bachelor of International Tourism Management.

International EFTS has already exceeded the 2023 budget target as a result of a large increase in new to programme International Full Fee EFTS compared to this time last year.

- China remains AUT's largest source of new programme International Full Fee EFTS, followed by India, Vietnam, Canada and South Korea.

	Domestic SAC		International		Total	
	2023	2022	2023	2022	2023	2022
YTD EFTS	15,564	16,666	2,448	1,787	18,012	18,453
% of target	95%	94%	147%	111%	99%	96%

Year to Date **Domestic SAC** enrolments (2023, compared to the same time in 2022):

Total: down -7% (-1,102 EFTS)
 New to Programme: down -5% (-297 EFTS)
 Returning: down -8% (-805 EFTS)

Year to Date **International Full Fee** enrolments (2023, compared to the same time in 2022):

Total: up 37% (+661 EFTS)
 New to Programme: up 122% (+768 EFTS)
 Returning: down -9% (-107 EFTS)

Year to Date **Māori Domestic SAC** enrolments (2023, compared to the same time in 2022):

Total: down -9% (-176 EFTS)
 New to Programme: down -12% (-97 EFTS)
 Returning: down -7% (-79 EFTS)

Year to Date **Pacific Domestic SAC** enrolments (2023, compared to the same time in 2022):

Total: down -11% (-323 EFTS)
 New to Programme: down -12% (-149 EFTS)
 Returning: down -11% (-174 EFTS)

Year to Date **Pre-Degree** enrolments (2023, compared to the same time in 2022):

Total: up 7% (+61 EFTS)
 New to Programme: up 18% (+115 EFTS)
 Returning: down -26% (-54 EFTS)

Year to Date **Undergraduate** enrolments (2023, compared to the same time in 2022):

Total: down -6% (-851 EFTS)

New to Programme: down -2% (-92 EFTS)

Returning: down -8% (-758 EFTS)

Year to Date **Postgraduate** enrolments (2023, compared to the same time in 2022):

Total: up 12% (+400 EFTS)

New to Programme: up 35% (+471 EFTS)

Returning: down -3% (-71 EFTS)

3.3 University key performance indicators (detail in Appendix 3)

3.4 Marketing and Recruitment

Marketing the Adult Learner Webinar:

AUT delivered a webinar event in October to target mature/adult learners considering studying at AUT. The Adult Learner Webinar series kicked off in October with 289 registrations and 92 online attendees, converting into 17 applications.

School leaver recruitment:

In November, AUT hosted 500 year-10 Auckland Grammar School junior students and 90 Dilworth High School students on campus. This engagement focused on brand awareness for AUT, as we capture leads via our My Career Match tool.

Undergraduate Always-on digital campaign:

This campaign is targeted towards prospective undergraduate students, aligning their career aspirations, interests, and passions with the range of courses AUT offers, including the flexible degree structured approach.

The 'Always On' campaign continues to produce excellent results. October highlights include producing 1678 new undergraduate leads.

Postgraduate Always-on digital campaign:

AUT is targeting prospective postgraduate (PG) students (25-45) via targeted digital lead acquisition ads, focussing on specific PG study areas of interest and overall reasons for PG study (for career change, upskill/reskill, research). For PG, high-interest areas were Education, Health Sciences and Business.

Find Your Greatness: Parents Campaign:

With the rising influence of parents in the decision-making process for prospective students, AUT recognises how important it is to target this audience. The first 'Parents Marketing Campaign' was launched in October, harnessing key messaging including the value of education and 'Why AUT'. This campaign has been in the market since October and will be running till December 2023.

Upcoming domestic marketing activity (2024):

- **2024 apply now semester 1 advertising (January)**
Out of home advertising, in market Jan/Feb
- **AUT On Demand (January):**
Programmatic video library available via AUT website
- **UniPrep Campaign (January):**
Promotion of UniPrep programme to prospective students
- **Stay on Track Campaign (January):**
Targeting: high school leavers, mature learners, and parents.

International Marketing:

China Future Opportunity Campaign

With the rates of graduate unemployment reaching 40% in China and many recently returned offshore-educated graduates unable to find work, AUT International have launched an AUT Future Opportunities campaign to promote the AUT employability/student support/Auckland location value proposition.

3.5 Places and Spaces

During the reporting period, the Estates Group was focused on the following key areas:

- Risk management (avoidance of disruption to Exam period).
- Project delivery (including A1 Project).
- Lease management issues.
- Emergency Management & Business Continuity Review.
- Operational Planning for 2024.
- 2024 Timetable go live.

A1 Project

- A1 construction proper was tracking 46 days behind programme but on budget.
- Some minor façade work remaining.
- Practical completion is forecast for 17 April 2024.
- The 6-week AUT implementation programme for furniture, fixtures and equipment installation and go live dates were being drafted.
- North Campus cultural overlay concept was presented by the Ngāti Paoa artist representative and Jasmax.

3.6 Environmental Sustainability

- Energy, Efficiency and Conservation Authority (EECA) funding of around \$635K for decarbonisation projects was fully recognised in the month.
- The Sustainability team is working with the new Transformation Office to develop a sustainability programme for AUT.
- At the beginning of December, AUT will complete the first round of reporting of our CO₂ emissions and reduction initiatives through the Carbon Neutral Government Programme. Key data will be shown on an online dashboard hosted by the Ministry for the Environment.
- The Sustainability Team is communicating with the auditors about the inclusion of CO₂ emissions data in the 2023 AUT Annual Report.
- The new Sustainability Graduate began in early November and is redeveloping Green Impact – a sustainability behaviour change programme for tertiary institutions.

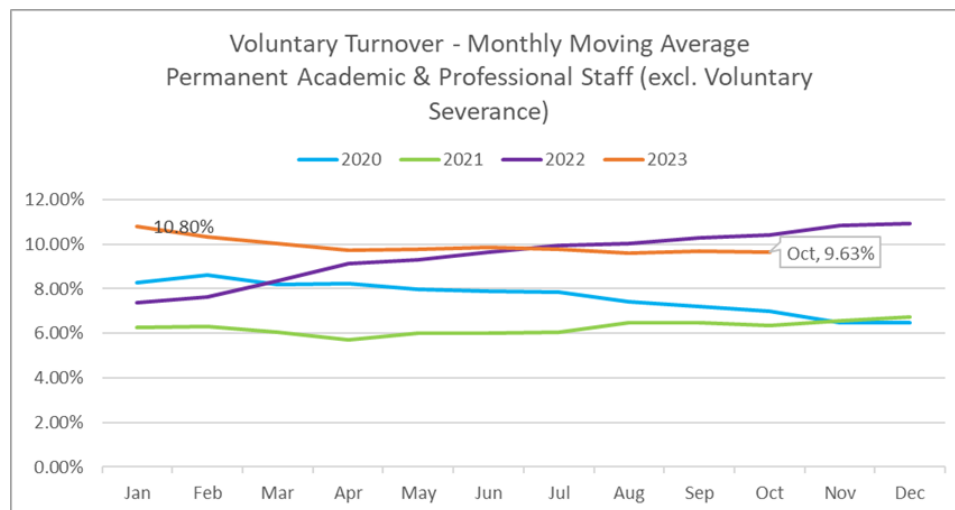
3.7 Staff diversity statistics (October 2023)

Staff count		Occupation	
1,922.9 FTE (100%)		Academic 884.7 (46.1%)	Professional 1,036.1 (53.9%)
Gender		Monthly Turnover Rate	
Female 60.4%	Gender diverse 0.4%	6.8%	12.31%

Male 38.2%		Not disclosed 1.0%					
Ethnicity (excluding hourly paid)							
Academic (46.1%)				Professional (53.9%)			
NZ Māori	7.6%	African	1.7%	NZ Māori	6.2%	African	0.9%
Pacific	4.5%	Other	4.3%	Pacific	8.4%	Other	5.4%
Asian	17.8%	European	9.2%	Asian	29.5%	European	4.5%
Middle Eastern	2.3%	NZ European/Pākehā	45.1%	Middle Eastern	1.5%	NZ European/Pākehā	37.0%
Latin American	1.5%	No declaration/Blank	5.9%	Latin American	1.1%	No declaration/Blank	5.5%

52.9% of Senior staff roles are held by men, and 47.0% are held by women. 15 Senior staff roles are held by Māori and Pacific women, and 11 Senior staff roles are held by Māori and Pacific men.

3.8 People and Culture – Voluntary Turnover



- 2020 saw a reduction in turnover overall due to providing stability for staff during COVID. 2021 remained consistent. 2022 started to climb around the announcement of the Financial Recovery Programme (FRP), and 2023 saw a spike as the outcomes of FRP were being implemented.
- The spike in turnover is due to the 101 staff leaving us on voluntary severance. If we remove this cohort, our overall voluntary turnover would be 9.8%, and academic voluntary turnover would be 6.8%.

3.9 Admissions

This month saw an increase in the domestic school leaver programme applications available for processing, with 1215 compared to last month's 1179. The exceptions have grown dramatically, with further clinical programmes being excluded from automatic processing due to capacity and technical issues. This is reflected in 61% of cases that were considered complete to be successfully converted to provisional offers and 39% exceptions that require email intervention.

The most popular programmes were the Bachelor of Business with 135 applications, Bachelor of Engineering (Honours) with 126, and Bachelor of Arts with 83.

3.10 AUT Foundation

- For the period (year-to-date) ending 16 November. AUT has received a total of \$1,223,398 from 587 donors.
- For the period 1 October to 31 October 2023, AUT received \$50,216.92 from 122 donors.
- 15 Trust and Foundation applications have been submitted on behalf of AUT Foundation, with a success rate to date of 50% (against the national benchmark of 25%), with 9 applications still under consideration.
- In October and November, we received the end-of-year awards for the School of Engineering, Computer and Mathematical Sciences from various organisations.
- In November, AUT Foundation received \$132,000 from the Four Winds Foundation and The Lion Foundation for the Gait Lab project.
- In November, \$15,000 was granted by a foundation for the Gait Lab project, but funds have yet to be received in the bank account.
- The staff members signing up for payroll giving has increased from 13 in May to 38 in November.
- 15 staff members contributed to the Student Support Fund with a one-time online gift amounting to a total of \$1,475.
- The newly created fund (Te Manukura Tautoko Fund) has a total of 7 gifts amounting to a total of \$25,500, a portion of which will go towards the Student Support Fund.

PRIORITY 4: STUDENT SUCCESS

4.1 UniPrep

The Office of Pacific Advancement acknowledges a decade of the UniPrep Programme in 2024. UniPrep has over 2500 alumni who have participated in the programme since its inception, with many students coming from South and West Auckland. UniPrep is expected to welcome 250 school leavers in the 2024 iteration.

4.2 Summer graduation

The Records and Graduation team continued with the operational work for the 2023 Summer Graduation ceremonies. Three reminders were sent during the month to those who had not yet completed their application form as part of the graduation engagement activity. Graduation applications closed at the end of the month, with 2,990 students confirming their intention to attend the summer ceremonies in person. The individual faculty ceremony dates and times were also confirmed and published publicly, and all In-Person attendees were personally notified of their ceremony date and time.

4.3 Samoa Alumni Event

Pro Vice-Chancellor Pacific, Associate Professor Jacoba Matapo and I hosted a successful alumni event in Apia Samoa attended by over 40 AUT alumni. The event continues to solidify AUT's growing connections with its Pacific Alumni based overseas as well as supporting AUT's future regional aspirations.

PRIORITY 5: MATURING UNIVERSITY SYSTEMS AND PROCESSES

5.1 Learning and Teaching – MyAUT

A new enrolment interface through MyAUT was opened for returning students on 25 October, along with a new MyAUT page that provides a “one-stop shop” for students accessing key information in the Student Management System - Arion. The enrolment interface is designed to make the enrolment process simple and user-friendly for students. Sponsored by the Deputy Vice-Chancellor, Professor Wendy Lawson, the project for this work involved collaboration across the University, with faculty representatives working closely with a range of services on several “behind the scenes” activities to ensure they were coordinated. This included ensuring timetables and regulations in Arion were as accurate as possible to avoid student timetable changes after they have enrolled, a single, centralised communication to students about enrolment, and the coordinated opening of enrolments for returning students. The work supports one of the aims of Ki Uta Ki Tai, which is to remove barriers in our admissions and enrolment processes. The project group is continuing its work with a focus on extending the availability of the new MyAUT “one-stop shop” and enrolment process to new students.

5.2 Emergency Training

The Health, Safety and Wellbeing (HSW) Team have created an online e-learning training that covers all three types of Wardens (Building, Floor and Academic). This has removed the need for Wardens to attend face-to-face training and reduces the amount of administration required. This has been sent to key contacts in the Security and Emergency Management team.

Appendix 1: Enrolments

2023 EFTS Progress to Target Monitoring Report

Year to Date Compared to Target and Equivalent Date Last Year

Programme EFTS as at 16 Nov 2023

Student Achievement Component EFTS	Total	BEL	CSOC	DECT	HEAL	TEAP
Pending	34	11	3	8	10	1
Actual	15,531	2,588	2,054	4,655	6,117	90
Total Pending and Actual	15,564	2,599	2,058	4,663	6,127	91

2023 Target	16,460	2,755	2,403	4,621	6,540	104
Progress to Target (%)	95%	94%	86%	101%	94%	88%
EFTS needed to reach 100%	895	156	345	-42	413	13
Ahead or behind on this time last year:	Behind by 1,102	Behind by 322	Behind by 452	Ahead by 114	Behind by 385	Behind by 45
Progress to Target, this time last year (%)	94%	91%	91%	96%	97%	70%
EFTS needed to reach Target this time last year	1,025	284	254	174	219	57
Achieved from this time last year to year end	-24	-6	-7	-9	-2	0

International Full-Fee EFTS	Total	BEL	CSOC	DECT	HEAL	TEAP
Pending	8	4	1	1	1	0
Actual	2,440	781	480	882	286	7
Total Pending and Actual	2,448	786	481	884	287	7

2023 Target	1,663	615	283	565	200	
Progress to Target (%)	147%	128%	170%	156%	144%	∞
EFTS needed to reach 100%	-785	-171	-198	-319	-87	-7
Ahead or behind on this time last year:	Ahead by 661	Ahead by 111	Ahead by 138	Ahead by 341	Ahead by 74	Ahead by 5
Progress to Target, this time last year (%)	110%	93%	141%	116%	141%	174%
EFTS needed to reach Target this time last year	-169	52	-101	-77	-62	-1
Achieved from this time last year to year end	-2	-2	0	0	-1	0

AUT South Campus	Total	BEL	CSOC	DECT	HEAL	TEAP
Pending	1	0	0	0	1	0
Actual	1,808	225	205	43	1,309	2
Total Pending and Actual	1,810	225	205	43	1,311	2
2023 Target	1,665	4	241	44	1,331	8
Progress to Target (%)	109%	5387%	85%	96%	98%	31%
EFTS needed to reach 100%	-144	-221	36	2	21	5
Ahead or behind on this time last year:	Behind by	Behind by	Behind by	Behind by	Ahead by	Behind by
	126	47	59	15	1	5
Progress to Target, this time last year (%)	78%	62%	94%	59%	83%	43%
EFTS needed to reach Target this time last year	545	164	16	39	266	10
Achieved from this time last year to year end	-5	-1	0	0	-4	0

Pre-Degree Total EFTS	Total
Pending	2
Actual	900
Total Pending and Actual	902
Ahead or behind on this time last year:	Ahead by 61

Undergraduate Total EFTS	Total
Pending	68
Actual	13,807
Total Pending and Actual	13,875
Ahead or behind on this time last year:	Behind by 851

Postgraduate Total EFTS	Total
Pending	28
Actual	3,766
Total Pending and Actual	3,794
Ahead or behind on this time last year:	Ahead by 400

Doctoral	765
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Māori Domestic SAC EFTS	Total
Pending	5
Actual	1,703
Total Pending and Actual	1,708
Ahead or behind on this time last year:	Behind by 176

Pacific Domestic SAC EFTS	Total
Pending	7
Actual	2,533
Total Pending and Actual	2,540
Ahead or behind on this time last year:	Behind by 323

Please Note:

- In 2022, 75 SDR SAC Budget EFTS were not assigned to an academic faculty. As a result, the total budget is 75 greater than the sum of the faculty totals for tables presenting Domestic – SAC Funded, South (SAC) and University totals.
- Returning to programme EFTS defined as all EFTS consumed by students enrolled in the same programme code in a previous calendar year, regardless of progress through programme. EFTS consumed by students who enrolled in any Bachelor of Health Science programme (any programme code ending in '3680') in a previous calendar year and then enrolled in a related programme are also considered to be returning. All other EFTS classified as 'New'.
- Budget EFTS pertaining to South Campus have been identified using the following working definition: Where the teaching school name includes the word 'Manukau', 'Manku', 'South', or 'South', OR the teaching school code is 'VK', 'RO', or 'KO', the Budget EFTS are assumed to relate to South Campus.
- (*) Please note that the 2016 South SAC EFTS target of 1,514 represents the University's internal operating budget, as set by central Finance, rather than the 2016 TEC South Campus target provided in the Funding Letter (1,827).

Appendix 2: Financial Performance – Current Year

Financial Performance – Current Year

As at 27 October 2023 (refer item 5.1)

\$000s	Year to Date (\$000s)			Full Year (\$000s)		
	Actual	Budget	Variance	Forecast	Budget	Variance
Income	386,849	377,085	9,764	432,399	418,925	13,474
Expense	331,721	330,967	(754)	422,796	409,585	(13,211)
Surplus before interest	55,128	46,118	9,010	9,603	9,340	263
Interest	1,023	2,427	1,404	1,970	3,152	1,182
Net surplus/(deficit)	54,105	43,691	10,414	7,633	6,188	1,445

Comment

Overall, the YTD net surplus variance to budget of \$10.4M reflects the impact of higher international enrolments offsetting the impact of lower revenue from domestic enrolments, higher other income and lower staffing and other operating costs.

The full year forecast projects \$7.6M operational net surplus, which is \$1.4m ahead of budget. The forecast position reflects the impact of unbudgeted salaries and higher operating costs, offset by net improvement in student derived income due to higher international fees and other income.

	2023 Year-end Forecast		
	FY Forecast	Bank Target	Outcome
Banking Covenants			
Max Net Debt / Net Debt + Equity Ratio	6%	35%	On target
Min Interest Cover Ratio (EBITDA / Interest Expense)	2,639%	150%	On target
Min Guaranteeing Group Cover	96%	90%	On target
TEC Financial Covenants	FY Forecast	TEC Target	Outcome
Max commercial debt borrowings \$000s	\$51,604	\$220,000	On target
Max aggregate financing \$000s	\$61,821	\$243,000	On target
Max Debt / Debt + Equity Ratio	6%	30%	On target
Max Debt / Net Cash Flow Ratio	1.11	3.00	On target
Max Debt / Total Revenue Ratio	14%	55%	On target
Min Net Surplus Ratio	1.8%	>0.0%	On target
Min Cash Flow from Operation Ratio	115%	113%	On target

Min Interest Cover Ratio (EBIT / Interest Expense)	4.87	Suspended until 2026	
Min Liquidity Ratio	45%	2%	On target

Comment

All Banking covenants and TEC financial covenants are projected to be met.

Financial Performance 2023 YTD Compared to 2022 YTD

\$000s	Year to Date (\$000s)		
	2023	2022	Variance
Income	386,849	369,758	17,091
Expense	332,744	335,496	2,752
Net surplus	54,105	34,262	19,843

Higher **year-to-date income** compared to the same period last year is driven by higher international enrolments and higher other income.

Lower **year-to-date expenses** compared to the same period last year is driven by lower salaries offset by higher operating costs.

Overall, the **year-to-date net surplus** is \$19.8M higher than same time last year reflects the impact of lower salaries in 2023.

Appendix 3: University key performance indicators – Progress report

20 November 2023

AUT's Statement of Service Performance was established as part of Investment Plan 2022-2024. Each KPI relates to at least one of the key themes of *AUT Directions*

- Theme 1 Creating exceptional learning experiences
- Theme 2 Discovery and application of knowledge for wellbeing and prosperity
- Theme 3 Responding to our place in the world
- Theme 4 Building our position as New Zealand's university of technology
- Theme 5 Being a great place to work and learn

KPIs	Theme(s)	Target 2023	YTD 2023	YTD 2022	Actual 2022	Notes
4. Proportion of bachelors graduates who completed work-integrated learning while studying	1, 4	90%	90%	92%	91%	On target
5. Course completion rate for students from areas with high deprivation scores	1,3	78%	76%	72%	70%	Below target
6. Number of quality-assured research outputs	2	Annual increase	1,494	1,656	2,130	Below last year
7. Proportion of research-active academic staff	2	Annual increase	52%	58%	62%	Below last year
8. Value of new research contracts signed	2,4	Annual increase	\$14.2 M	\$16.8M	\$27.6M	Below last year
9. Proportion of outputs with an international co-author	2,3	Annual increase	57%	59%	58%	Below target
10. Ranking amongst NZ universities for field citation ratio	2,4	Top-four placing	Third	Third	Second	On target for top-four placing. Based on 2020 publications (used for the 2023 reporting year), AUT's FCR is 2.88, above the sub-sector average of 2.78
11. Number of media articles using AUT staff as expert voices or highlighting AUT research	2,4	>1,000	1,289	1,097	1,244	Achieved
12. Number of Māori graduates from bachelors degrees	1,3	Annual increase	114	106	308	On target
13. Number of Māori students in research programmes	2,3	Annual increase	137	139	144	Very slightly below last year
14. Number of Pacific graduates from bachelors degrees	2,3	Annual increase	191	192	432	Very slightly below last year

15. Number of Pacific students in research programmes	2, 3	Annual increase	75	87	92	Below last year
16. Number of EFTS at South Campus	3, 5	Annual increase	1,861	1,976	1,985	Below last year

Results still to come

KPI	Theme(s)	Actual 2022	Source	Available
1. Proportion of students who would recommend their programme of study	1	78%	Annual Programme Survey	Annually (December)
2. Proportion of students who are satisfied with teaching quality	1	81%	Annual Programme Survey	Annually (December)
4. Proportion of available graduates who are working full time	1	84%	Annual Graduate Survey	Annually (December)



PART A	
OPEN AGENDA ITEM	6

Council Agenda Paper

Subject: Chancellor's Report

Date: 4 December 2023

RECOMMENDATION:

THAT COUNCIL RECEIVE THE CHANCELLOR'S VERBAL REPORT



PART A OPEN AGENDA ITEM	7.1
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Council Agenda Paper

Subject: Report from Academic Board

Date: 24 November 2023

RECOMMENDATION:

THAT COUNCIL RECEIVE THE ACADEMIC BOARD REPORT AND MINUTES OF THE MEETING HELD ON 30 OCTOBER 2023

The minutes are in supplementary papers.

Academic Board Report to Council

Meeting held 30 October 2023

Strategic Matters and Policy Developments

- **Imagine AUT: Emerging Themes**

A summary of the engagement that has occurred to date with staff, students, parents and alumni as part of the preparation of the new strategy was provided. Themes emerging from analysis and interpretation of broad subject area sessions were outlined and it was noted that many aspects identified in a SWOT analysis undertaken in conjunction with AUT Council earlier in the year were also raised in consultation sessions with staff.

Ongoing activities for November - January include a survey of students, completion of analysis, and meetings with external stakeholders. It is anticipated the first draft of the strategy will be developed during January and made available for further consultation with internal and external stakeholders.

- **Course Completion Rates: Recent Data**

The Deputy Vice-Chancellor Academic presented data on completion rates spanning Semester One 2019 to Semester One 2023. A significant drop in completion rates during 2022, particularly Semester Two, was a national phenomenon rather than specific to AUT and it was noted that Semester One 2023 has shown an upward trend. Observations included pass rate variation between semesters and different student groups.

- **Find Your Greatness Parents Campaign**

An overview of the Find Your Greatness 2.0 campaign was provided. It was noted that parental influence is currently higher than ever before, particularly for some ethnic groups and that uncertain times see parents revert to a more conservative approach. The campaign targets the parent audience and seeks to provide answers to two questions – why a university education and why at AUT. The campaign videos are based on the following themes: the value of education; AUT making a real impact in New Zealand and beyond; the privilege of teaching and joy of helping young people; inclusive education; the power of applied knowledge in a changing and technology-driven world; and, first in family and how it can change lives.

Committees of Academic Board

- Academic Board approved a definition of an Early Career Researcher (ECR) at AUT.
- Academic Board approved changes to the General Academic Regulations, Standard Qualification Regulations, Doctoral Degrees, to fully distinguish the full-time and part-time modes of study in doctoral degrees and clarify the minimum submission timeframe.
- Academic Board approved the re-opening of two majors for new enrolments from Semester One 2024.

Other

- Academic Board **recommends to AUT Council** to amend the Terms of Reference for AUTECH to establish an appointee from the Pro Vice Chancellor Pacific Advancement.



PART A OPEN AGENDA ITEM	8
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Council Agenda Paper

Subject: Health, Safety & Wellbeing Reports

Date: 24 November 2023

Author: Barry Bignell, Acting Group Director -Health Safety and Wellbeing

RECOMMENDATION:

THAT COUNCIL RECEIVE THE HEALTH, SAFETY AND WELLBEING REPORTS FOR OCTOBER 2023



Monthly Health, Safety & Wellbeing Report – October 2023

Executive Summary

The HSW Team continue to focus on four key priorities for the remainder of the 2023 year:

- Proactively engaging with the Leadership Teams across the Faculty/Directorate to improve Kōrero engagement
- Review and amalgamate the risk assessments across AUT to develop an accurate HSW risk profile
- Running a campaign to promote the proactive and timely notification of unsafe acts and conditions
- Develop an incident investigation process to ensure lessons are learnt

Highlights

Appointment of Director of Health Safety and Wellbeing

The highlight of the month was the appointment of the new Director HSW, Fred Henare. Fred's appointment followed a process of shortlisting, initial interviews and a final interview with Stephen Davies, Group Director of People and Culture.

Fred has a strong background in health & safety, working across a range of organisations, including Defence and the Health sector. Fred will also bring mana to the role and will add value to AUT as it continues to implement Te Aronui.

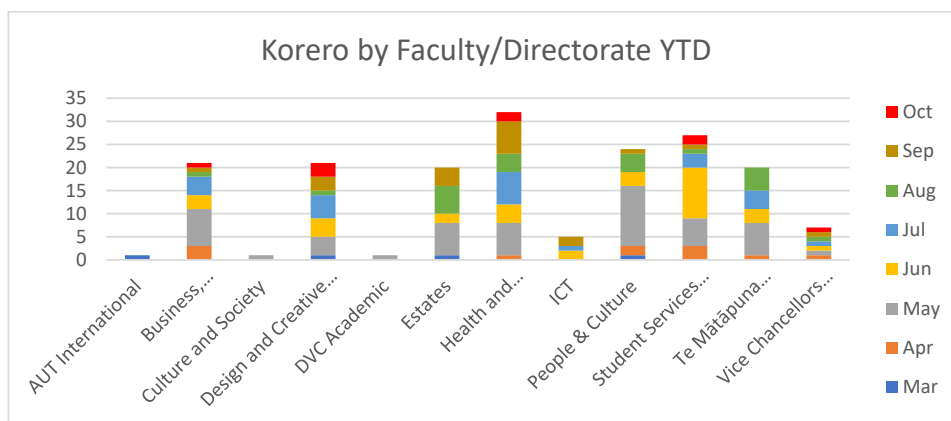
Emergency Training

The HSW Team have created an online e-learning training that covers all three types of Wardens (Building, Floor and Academic). This has removed the need for Wardens to attend face-to-face training and also reduces the amount of administration required. This has been sent to key contacts in the Security and Emergency Management team.

Leadership

Kōrero Engagement

The number of Korero completed in October decreased from previous months. This is not unexpected as the University prepares for exams.





Monthly Health, Safety & Wellbeing Report – October 2023

Exceptions Report

Key Issues	Action	Council Agreed/ Owner	Agreed date for completion	Comments
Significant Event - Dangerous Good Store area	Develop a campaign to promote the proactive and timely notification of unsafe acts and conditions	Director of HSW	25/11/2022	Plan to be developed by 31 August 2023 and completed by 30 November 2023

Action:

The HSW Team have worked together and have developed a plan to help drive engagement on managing incidents and Undesired Circumstances.

This includes:

Action	Due Date	Completion Date
The HSW Team have started meeting with Leaders in their respective meetings to explain and demonstrate their responsibilities in managing incidents and Undesired Circumstances in Noggin (Shield). This will continue throughout the September.	28 September	Ongoing
Designing wallet card which contain the Event Notification Form QR code, which when scanned using a mobile device takes the user through the form (budget permitting).	28 September	28 September
Promote the reporting of event and responsibilities managing incidents in the People Leader Update.	20 October	3 rd November
Run a TUIA announcement or story to provide more information on HSW Event reporting process.	20 November	
Working with AUT Brand and Marketing and communications team to design a lift poster to let staff know the importance of reporting HSW Events.	30 November	
Redesigning the "Report it, don't ignore it" posters and working with the faculties, departments, and directorates to ensure that these are present in relevant locations such as kitchens, classrooms and labs.	30 November	Ongoing

Monthly Health, Safety & Wellbeing Report – October 2023

Health, Safety & Wellbeing Lead Activities

Monthly Focussed Inspections

October Inspection Findings

In October, our focus was on [Event Notification and Investigation](#). Seven inspections were completed across six Faculty/Departments.

Results of the Event notification and investigation Focussed Inspections completed:

Event notification and investigation Questions	% Effective
Do staff know how to access the HSW Event Notification form?	83%
Do staff know how to report an undesired circumstance (unsafe condition/act) otherwise known as a hazard?	100%
When an HSW Event is reported, are Estates also notified when there is a maintenance or building concern that requires their assistance to fix?	100%
Are all minor accidents, incidents, near-miss and fire events investigated within five days?	83%
Are risk assessments reviewed after an accident, incident, near-miss or fire to ensure that the appropriate control measures are in place and working?	83%
Do you have information displayed on how to report an HSW event?	83%

----- End of Report -----



PART A	10.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: Report- Pacific at AUT

Date: 24 November 2023

Author: Associate Professor Jacoba Matapo - Pro Vice-Chancellor Pacific

RECOMMENDATION:

THAT THE COUNCIL RECEIVE THE REPORT ON PACIFIC AT AUT FROM ASSOCIATE PROFESSOR JACOBA MATAPO PRO VICE-CHANCELLOR PACIFIC

**Pacific at AUT Presentation to Council, Office of Pacific Advancement
4 December 2023**

The Office of Pacific Advancement: Charting a New Course in 2024

The Niuean term *hala fooo* translates to a new path, and this encapsulates the current trajectory of the Office of Pacific Advancement as it approaches 2024. Given the ongoing uncertainties posed by COVID and its lasting impacts on the Pacific community, coupled with the recent formation of a new team, the year 2024 signifies a pivotal shift for OPA. In light of changes within the university sector and the imminent change in government, OPA continues its commitment to serve the wider AUT Pacific community.

Pacific Plan

In the first quarter of 2024, work will continue the development of a new Pacific Plan to support initiatives stemming from Imagine AUT, Te Aronui Framework and Ki Uta Ki Tai. The Pacific Plan aims to explore strategies that enable and strengthen the success of AUT Pacific students, staff, and communities.

UniPrep 2024: A New Chapter

As we approach the conclusion of 2023, OPA is actively preparing for the UniPrep 2024 programme scheduled to take place from January 22 to February 23, 2024. The timing of UniPrep has been adjusted to coincide with the commencement of AUT Orientation-Week activities. Noteworthy changes to UniPrep include the introduction of the Uni101 pilot course. The 2024 UniPrep cohort will be the first at AUT to undergo this specially curated course designed to facilitate a smoother transition for school leavers entering the university. OPA is collaborating closely with several teams across AUT to ensure a seamless experience for incoming students.

Villages and Village Meets Leadership and Mentor Programme

2023 saw the creation of Villages, Village Meets and the Village Meets Leadership and Mentor Programme. Generously supported by the McCall MacBain Foundation, this pilot programme launched early in 2023 and provided valuable opportunities for the Oceanian Leadership Network members to connect with indigenous perspectives on leadership. With ongoing support from the McCall MacBain Foundation, the leadership programme is set to continue in 2024, and OPA is planning to enhance its delivery and engagement.

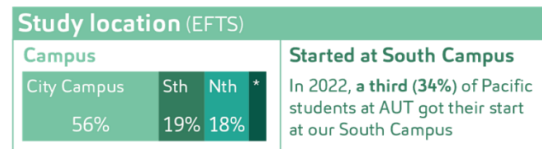
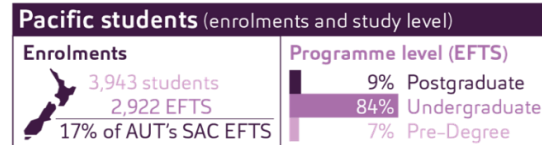
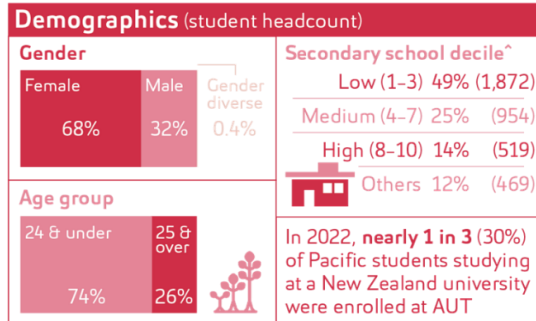
Moanaroa Pacific Research Network

Launched at the inaugural Pacific Research Symposium on September 7, AUT's Moanaroa Pacific Research Network is a vital component of the Rautaki Rangahau (AUT Research Plan 2023 to 2028). Dedicated to realising Pacific research aspirations grounded in Indigenous Pacific knowledge systems, the network will implement a two-year strategic plan aligned with AUT's guiding frameworks of Te Aronui and Rautaki Rangahau.

Future Focus: Advisory Groups and Alumni Engagement

In 2024, OPA will establish several advisory groups crucial to the success of Pacific students and staff at AUT. These include the creation of the Pacific Staff Advisory Group, Pacific Students Advisory Group, and Pacific Community Advisory Group. These groups aim to support the growing Pacific community at AUT. Additionally, as AUT's Pacific Alumni network expands, OPA is committed to fostering stronger relationships with alumni.

Pacific students at AUT (2022)



Programmes by faculty (EFTS)

Teaching faculty	Pacific EFTS	% of faculty that is Pacific
Health and Environmental Sciences	1,000 EFTS (34%)	14%
Business, Economics and Law	677 EFTS (23%)	13%
Culture and Society	592 EFTS (20%)	16%
Design and Creative Technologies	581 EFTS (20%)	21%
Te Ara Poutama	69 EFTS (2%)	21%

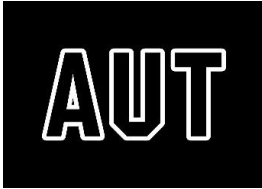


- ### Notes on the data
- Data is from Uni Assist (AUT) and Ngā Kete (university sector)
 - Unless otherwise noted, reporting is for **all funding types**
 - Int. funded students account for 1% of Pacific EFTS at AUT

Strategy & Planning 11/2023

Associate Professor Jacoba Matapo

Pro Vice-Chancellor Pacific



PART A	10.2
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: Update on External Engagement for Imagine AUT

Date: 22 November 2023

Author: Megan Skinner on behalf of the Vice-Chancellor

RECOMMENDATION:

THAT THE COUNCIL RECEIVE THIS UPDATE ON THE PROPOSED APPROACH TO ENGAGING EXTERNALLY ON OUR DRAFT STRATEGY

Background

The first phase of Imagine AUT consultation focussed on internal engagement with students, prospective students, and staff. This is now completed, and the analysis of the feedback will be used to inform draft content for discussion with external stakeholders in the second phase of consultation.

This paper is a short update to Council on the proposed engagement approach, methods of engagement, and timings. Before the Summer Operations period, the Imagine AUT Project team will work to identify specific individuals and organisations we wish to engage with on the strategy and will brief Executive Committee at its meeting on 4 December. Executive members will be responsible for managing the engagement with their key partners, with support from the Strategy and Planning and Vice-Chancellor's Office teams.

Proposed approach to external engagement with key stakeholders

There are a range of potential stakeholders in AUT's new strategy, with varying levels of interest and capacity to contribute. This paper focuses on critical stakeholders and how Council members might support our engagement with them over the strategy.

Reasons for engaging externally include:

- Creating awareness of, and support for, AUT and our strategy
- Understanding the key concerns of our stakeholders to ensure our strategy is responsive to these
- Deepening our existing relationships with stakeholders through a discussion of future aspirations and shared outcomes
- Creating a strategic focal point for discussions with new stakeholders/partners we wish to work with
- Identifying or creating partnership opportunities through shared aspirations or outcomes

In many instances, external consultation on the strategy will form part of existing meetings or arrangements – e.g. meetings that have been organised to explore fundraising opportunities may also provide an opportunity to discuss our vision and mission with that donor and seek input into our draft strategy. This approach will be taken with many of our stakeholders although there are some key stakeholders for which specific meetings will be organised. These are outlined below.

Key stakeholders

The project team will be working with Executive members before the Summer Operations period to prioritise specific individuals to engage with under these groupings.

The table below outlines stakeholders we will organise particular meetings for:

Stakeholder	Contact/s	AUT contact/lead	Engagement approach	Timing of engagement
Mana whenua	Ngāti Whātua o Ōrakei (on the advice of Marama Royal) Ngāti Paoa (Herearoha Skipper, Chair, and John Hutton, CEO) Tainui	VC, PVC MA, Te Tiriti Strategist VC, PVC MA, Te Tiriti Strategist On advice of Valance Smith	Existing engagement process through Te Aronui	Dec-Feb, then ongoing 13 Feb, then ongoing
Community groups, including Pacific, Indian, Chinese and other	Business groups and leadership groups	VCO, Office of Pacific Advancement (OPA)	Existing and special engagement meetings	Jan/Feb
Tertiary Education Commission	Tim Fowler (CEO), Gillian Dudgeon (Deputy CE Delivery), Morgan Healey (Acting Deputy CE Ōritetanga), Esther Calley and Si'u Tupolo (Relationship Managers)	Strategy and Planning, VC or delegate (date dependent)	Special Engagement meeting	7 Feb (tbc)
Other government agencies	James Campbell (MoE) Auckland Policy Office Key partners at Auckland Council Te Whatu Ora and Te Aka Whai Ora Research funding agencies	S&P and VCO Dean HES and HoS Clinical Sciences Mark Orams	Special Engagement meetings Draw on input into Rautaki Rangahau	Late Jan/early Feb
Industry and Employers	Key partners for Schools and Faculties (tbc) 1:1 with CEOs of top 10 companies employing AUT graduates (if not covered above) and other key CEOs	Deans VC or HVC	Contact through Industry Advisory Boards Targeted survey of key industry partners and employers^ and/or special engagement meetings	Eng of Jan/throughout Feb
Professional accreditation bodies	e.g. Midwifery Council, Nursing Council	Heads of School/Department	Existing engagements	Jan/Feb
Business Associations	Auckland Chamber of Commerce, EMA, Heart of the City, Noth and South	VCO	Special engagement and	Jan/Feb

	Auckland Business Associations		existing meetings	
Alumni	Alumni who have attended an event in 2023	VCO – alumni team	Email Analysing Graduate Destination Survey feedback	Dec-Feb
Donors	Prospective and current	VCO – fundraising team, VC and HVCO	Special engagement meetings	Jan/Feb
International stakeholders	Agents and key university partners	PVC International	Existing engagements	Jan/Feb

^We are investigating the possibility of contracting an external agency to refresh a survey exploring employers' needs and perceptions of graduates and universities.

How external Council members can support this process

Megan Skinner is happy to meet directly with any Council members who wish to share their thoughts or to receive nominated contact information for any of the stakeholder groups noted above.

PART A	12
OPEN AGENDA ITEM	

COUNCIL AGENDA PAPER

Subject: Letter from the Hon. Jan Tinetti Approving the Change to the Constitution

Date: 10 November 2023

RECOMMENDATION:

THAT COUNCIL:

1. *RECEIVE THE LETTER FROM THE HON. JAN TINETTI MINISTER OF EDUCATION SENT BY EMAIL ON 10 NOVEMBER 2023 NOTIFYING COUNCIL THAT THE NOTICE OF THE CHANGE TO THE CONSTITUTION OF THE AUCKLAND UNIVERSITY OF TECHNOLOGY COMPLIES WITH THE REQUIREMENTS OF SECTION 276 OF THE EDUCATION AND TRAINING ACT 2020;*
 2. *NOTE THE HON MINISTER'S APPROVAL OF THE CHANGE AS REQUIRED BY SCHEDULE 11 (2) OF THE EDUCATION AND TRAINING ACT 2020; AND*
 3. *NOTE THE NOTIFICATION BY PUBLICATION OF THE SIGNED GAZETTE NOTICE ON 13 NOVEMBER 2023 FOR THE COMMENCEMENT DATE OF THE AMENDED CONSTITUTION ON 1 DECEMBER 2023.*
-

Hon Jan Tinetti

Minister of Education
Minister for Women
Minister for Child Poverty Reduction



Mr Rob Campbell
Chancellor
Auckland University of Technology
By email: rob@tutanekai.com

Ref.JT4922

Tēnā koe Rob

Thank you for your letter of 6 November 2023 recommending a change to the constitution of Auckland University of Technology.

The notice complies with the requirements of section 276 of the Education and Training Act 2020 (the Act).

Therefore, I have approved this change as required by Schedule 11 (2) of the Education and Training Act 2020.

A copy of the signed Gazette Notice is attached, and it will be published week commencing 13 November 2023, in time for the commencement date of 1 December 2023.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Jan Tinetti'.

Hon Jan Tinetti
Minister of Education

- cc andrea.vujnovich@aut.ac.nz

From: Andrea Vujnovich <andrea.vujnovich@aut.ac.nz>
Sent: Monday, 6 November 2023 6:03 PM
To: J Tinetti (MIN) <J.Tinetti@ministers.govt.nz>
Cc: Pat Johnson <Pat.Johnson@tec.govt.nz>
Subject: AUT Letter- requesting a change in the AUT Constitution

Kia ora Minister Tinetti. Please find **attached** a letter from the Chancellor of AUT requesting you to approve a change in the Constitution of the Auckland University of Technology and if you approve, to notify this by publication in the *Gazette*.

Ngā Mihi



Dr Andrea Vujnovich

Assistant Vice Chancellor Corporate and General Counsel
Auckland University of Technology

6 November 2023

The Hon. Jan Tinetti
Minister of Education
Private Bag 18888
Parliament Buildings Wellington 6160
WELLINGTON

BY EMAIL- j.tinetti@ministers.govt.nz

Dear Ms Tinetti

The Council of the Auckland University of Technology has resolved to amend its constitution in accordance with Schedule 11 clause 2(1) of the Education and Training Act 2020.

The proposed amended Council constitution is **attached** for your consideration and confirmation by a notice in the *Gazette*.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Rob Campbell'.

Rob Campbell
Chancellor

AUT CONSTITUTION

Council Composition

Amendment to the Constitution of Auckland University of Technology

Pursuant to Section 276 and Schedule 11 clause 2(1) of the Education and Training Act 2020 the Minister hereby gives the following notice.

1 Notice

- (1) This notice may be cited as the Amendment to the Constitution of the Council of the Auckland University of Technology.
- (2) This notice comes into force on 1 December 2023.

2 The Council of the Auckland University of Technology shall be constituted as follows:

- (a) Four members appointed by the Minister by written notice to the Council;
- (b) The Vice Chancellor of the Auckland University of Technology;
- (c) One permanent member of the general staff of the Auckland University of Technology elected by the permanent members of that staff;
- (d) One permanent member of the academic staff of the Auckland University of Technology elected by the permanent members of that staff;
- (e) One member who is a student of the Auckland University of Technology appointed by the association of students recognised by the Council of the Auckland University of Technology; and
- (f) Four members appointed by the Auckland University of Technology Council by resolution, in accordance with its statutes.

3 Pursuant to Section 278 of the Education and Training Act 2020, Council shall consider the following matters when making appointments:

- (a) the ethnic and socio-economic diversity of the communities served by the Auckland University of Technology;
- (b) the fact that approximately half the population of New Zealand is male and half the population is female; and
- (c) that at least one member of the Council is Māori.

4 Appointments to the Council, other than of the Vice-Chancellor, shall be for not less than one year and not more than four years. The maximum number of occasions on which a person, other than the Vice-Chancellor, may be appointed as a member of the Council is the number that, for that person, ensures that the total period for which they are a member of the Council does not exceed 12 years.

5 No act or proceeding of the Auckland University of Technology Council, or of any committee of the council, shall be invalidated as a result of:

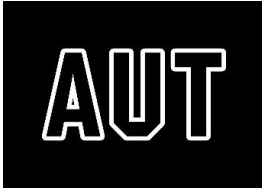
- (a) a defect in the appointment of a member of the council or committee; or
- (b) a defect in the nomination of a member of the council or committee for appointment as a member of the council; or

- (c) a defect in the election of a member of the council, or committee for appointment as a member of the council; or
- (b) a disqualification of a member of council or of any committee of the council; or
- (c) a defect in the convening of a meeting; or
- (d) a vacancy or vacancies in the membership of the council, or of any committee of the council.

Dated at Wellington this [**9th of November**].

A handwritten signature in black ink, appearing to read 'J. Tinetti', written in a cursive style.

JAN TINETTI, Minister of Education



PART A	13.1
OPEN AGENDA ITEM	

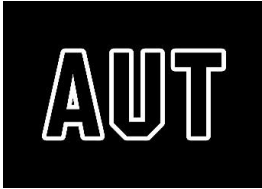
Council Agenda Paper

Subject: Update from the President of AUTSA

Date: 4 December 2023

RECOMMENDATION:

THAT COUNCIL RECEIVE THE VERBAL UPDATE FROM THE PRESIDENT OF AUTSA



PART A	
OPEN AGENDA ITEM	

	13.2
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Council Agenda Paper

Subject: Amending the AUTEK Terms of Reference

Date: 7 November 2023

Author: Professor Mark Orams

RECOMMENDATION:

THAT COUNCIL AMEND THE TERMS OF REFERENCE FOR AUTEK TO ESTABLISH AN APPOINTEE FROM THE PRO VICE CHANCELLOR PACIFIC ADVANCEMENT

Memorandum

To: AUT Council

From: Professor Mark Orams, Deputy Vice Chancellor Research and Emeritus Professor Peter Lineham, Chair, AUTECH

Cc: Dr Andrea Vujnovich, Secretary AUT Council; Professor Pare Keiha, Pro Vice Chancellor Māori Advancement; Associate Professor Jacoba Matapo, Pro Vice Chancellor Pacific Advancement

Subject: Proposed amendment to AUTECH Terms of Reference to establish appointee from PVC Pacific Advancement

Date: 30 October 2023

Background

- We have increasing numbers of research ethics applications from Pacific staff and research students proposing to undertake research using a range of Pacific-based methodologies.
- It is important that AUTECH has appropriate experience, skills and cultural competencies to discharge its duties effectively.
- The Health Research Council has recently indicated that it expects all registered research ethics committees to meet these expectations with regard to both Māori and Pacific representation in order to retain their registration.
- AUTECH is moving to increase its “lay-membership” (non-AUT affiliated) to increase its diversity and expertise (priorities = Māori, Pacific, health/disability and legal).
- Academic Board at its meeting on 30 October resolved to recommend to AUT Council the following:

RECOMMENDATION

That AUT Council amend the Terms of Reference for AUTECH to establish an appointee from the Pro Vice Chancellor Pacific Advancement.

This proposed amendment is highlighted in the relevant section from the Terms of Reference below

3.2 Membership

AUTECH shall be comprised of not more than seventeen members including:

3.2.1 A chairperson appointed by Council on the recommendation of the Academic Board.

3.2.2 An appointee of each faculty.

3.2.3 An appointee of Council.

3.2.4 An appointee of the AUT Student Association at Auckland University of Technology (AUTSA).

3.2.5 An appointee of the Pro-Vice Chancellor, Māori Advancement.

3.2.6 An appointee of the Pro-Vice Chancellor, Pacific Advancement.

3.2.7 An appointee of the Deputy-Vice Chancellor Research

3.2.8 Up to six other members appointed by the Chair, the Executive Secretary and the Council’s representative, from outside the University to provide expertise and balance as required by the Health Research Council’s Guidelines for the Approval of Ethics Committees and as AUTECH considers necessary.

The Executive Secretary is an ex officio member of AUTECH.

Membership shall be for a term of three years with the right of re-appointment with the exception of the Executive Secretary whose membership is ex officio.

Ngā mihi

Mark Orams and Peter Lineham

The Terms of Reference for AUTECH can be found [here](#).

The current membership of AUTECH can be found [here](#).