

AGENDA PAPERS

NOTICE OF COUNCIL MEETING

**Notice is Hereby Given that a Meeting of the Council of Auckland
University of Technology will be held:**

**Council Room, Level 7, WA Building, AUT City Campus
On: Monday, 27 March 2023**

FROM: 3.30 – 6.00 PM

**Andrea Vujnovich
COUNCIL SECRETARY**



AUCKLAND UNIVERSITY OF TECHNOLOGY COUNCIL

Chancellor

Rob Campbell
Appointed by Council

Pro-Chancellor

Sussan Turner
Appointed by the Minister of Education

Members

Professor Damon Salesa
Vice-Chancellor of the Auckland University of Technology

Peter Treacy
Appointed by the Minister of Education

Janine Smith
Appointed by the Minister of Education

Sina Wendt
Appointed by the Minister of Education

Sara Youssef
Appointed by the Auckland University of Technology Student Association

Corrie Cook
Elected by the Professional Staff of the Auckland University of Technology

Renata Blair
Appointed by Council

Leo Foliaki
Appointed by Council

Marama Royal
Appointed by Council

PART A

OPEN AGENDA



Council Agenda Part A (Open Agenda)

Monday 27 March 2023 at 3.30 pm to be held in the Council Room, Level 7, WA Building, AUT City Campus

Karakia		
Mihi – Chancellor		
1. WELCOME, APOLOGIES AND APPROVAL OF AGENDA	The Chancellor moves that apologies be noted and that Council approve the assignment of agenda items to Part A and Part B of the Council agenda.	
2. DECLARATION / RECORDING OF ANY INTERESTS	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The Chancellor moves that the declarations if any be noted and the action taken be endorsed.
3. CONSTITUTIONAL MATTER, CONFIRMATION OF DEGREES AND POLICY	No report.	
4. COUNCIL MEETINGS	4.1 Council Draft Minutes (AGM and Formal Council Part A), 27 February 2023. 4.2 Matters arising from the Minutes (Part A), 27 February 2023 not elsewhere on the agenda.	The Chancellor moves that the minutes of Part A of the Council Meetings (AGM and Formal Council) held on 27 February 2023 be confirmed as a true and correct record.
5. VICE-CHANCELLOR'S REPORT	5.1 Vice-Chancellor's Report.	The Chancellor moves that the Vice-Chancellor's Report be received.
6. CHANCELLOR'S REPORT	6.1 Chancellor's Report - verbal.	The Chancellor moves that the Chancellor's Report be received.
7. REPORTS FROM BOARDS, COMMITTEES AND WORKING GROUPS TO COUNCIL	7.1 Reports and Minutes from the Academic Board, 27 February 2023.	The Chancellor moves that Reports and Minutes from the Academic Board, 27 February 2023 be received.
8. HEALTH, SAFETY & WELLBEING REPORT	8.1 Health, Safety and Wellbeing Reports – February 2023.	The Chancellor moves that the Health, Safety and Wellbeing Report for February 2023 be received.
9. TE TIRITI	No report.	
10. STRATEGIC REPORT	No report.	

11. STUDENT SUCCESS	No report.	
12. CORRESPONDENCE REFERRED BY THE CHANCELLOR	No correspondence.	
13. OTHER MATTERS FOR DECISION OR NOTING	13.1 Verbal update from AUTSA.	The Chancellor moves that the Update from AUTSA be received.
14. ITEMS MOVED FROM PART B OF THE AGENDA TO PART A AND GENERAL BUSINESS		

PUBLIC EXCLUSIONS

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure. This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting **AND THAT** A Vujnovich, L Williams, and W Lawson, be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under section 9 of the OIA identified below.	Ground(s) under section 48(1) LGOIMA for the passing of this resolution
15. Council Meeting Minutes Part B of the meeting – 27 February 2023	s 9(2)(b)(ii), s 9(2)(i), 9(2)(k)	7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)
16. Report from AUT Ventures	No report.	
17. Report from AUT Millennium	No report.	
18. Report from AUT Ethics Committee	s 9(2)(a), 9(2)(b)(ii), s 9(2)(i), 9(2)(g)(i)	s7(2)(a), 7(2)(c), 7(2)(j), 7(2)(F)(i), 7(2)(f)(ii)
22. Other Business		

PART A	4.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **MINUTES PART A OF THE COUNCIL MEETINGS HELD ON 27 FEBRUARY 2023**

Date: 28 February 2023

RECOMMENDATION:

THAT THE MINUTES PART A OF THE COUNCIL MEETINGS (AGM AND FORMAL COUNCIL) HELD ON 27 FEBRUARY 2023 BE CONFIRMED AS A TRUE AND CORRECT RECORD.



MINUTES

Annual General Meeting

Held on Monday 27 February 2023 from 3.30 – 3.45 pm
Council Room, Level 7, WA Building, AUT City Campus

PRESENT: R Campbell (Chair); D Salesa; L Foliaki; S Turner; C Cook; P Treacy; M Royal; J Smith and S Youssef.

SECRETARY: A Vujnovich, Council Secretary

IN ATTENDANCE: W Lawson (Deputy Vice-Chancellor Academic), L Williams (AVC Finance and Infrastructure and Chief Financial Officer), A Burrows (Group Director Risk and Assurance) and R Nottingham (Council Co-ordinator Consultant).

Marama Royal opened the meeting with a Karakia.

1 APOLOGIES AND WELCOME

THAT APOLOGIES FOR ABSENCE FROM R BLAIR AND S WENDT BE RECEIVED.

2 INITIAL ITEMS

2.1 Declaration/Recording of Any Relevant Interests

Members were referred to the Council Policy on Council Member's Interests, which are set out in the Council Policies and Statutory Documents Handbook.

3 CONSTITUTIONAL MATTERS

3.1 ELECTION OF OFFICERS, CHAIRS AND MEMBERS OF COUNCIL COMMITTEES

3.1.1 Election of Chancellor and Pro-Chancellor

Rob Campbell was nominated for the position of Chancellor.

Resolved

- 1. THAT THE NOMINATION OF ROB CAMPBELL AS CHANCELLOR OF AUT BE RECEIVED;*
- 2. THERE BEING NO FURTHER NOMINATIONS FOR THE POSITION OF CHANCELLOR, THAT THE NOMINATIONS FOR CHANCELLOR BE CLOSED; AND*
- 3. THAT ROB CAMPBELL BE ELECTED AS CHANCELLOR OF THE AUCKLAND UNIVERSITY OF TECHNOLOGY IN 2023.*

Sussan Turner was nominated for the position of Pro-Chancellor.

Resolved

- 1. THAT THE NOMINATION OF SUSSAN TURNER AS PRO-CHANCELLOR BE RECEIVED;*
- 2. THERE BEING NO FURTHER NOMINATIONS FOR THE POSITION OF PRO-CHANCELLOR, THAT THE NOMINATIONS FOR PRO-CHANCELLOR BE CLOSED;*

3. *THAT SUSSAN TURNER BE ELECTED AS PRO-CHANCELLOR OF THE AUCKLAND UNIVERSITY OF TECHNOLOGY IN 2023.*

3.1.2 Election of Council Secretary and Returning Officer

Noted in Discussion

The term of Edwina Pio on Council member has come to an end with her retirement from the University and appointment as an Emeritus Professor. The process for election of an academic staff member to Council will commence on the appointment of a Returning Officer.

Resolved

THAT ANDREA VUJNOVICH BE APPOINTED COUNCIL SECRETARY AND RETURNING OFFICER.

3.1.3 Committee Terms of Reference

Resolved

THAT THE TERMS OF REFERENCE FOR EACH COMMITTEE BE APPROVED.

3.1.4 Council Executive Committee

Resolved

THAT THE COUNCIL EXECUTIVE COMMITTEE COMPRISE:

- *THE CHANCELLOR (CHAIR)*
- *THE PRO-CHANCELLOR*
- *THE VICE-CHANCELLOR*
- *CHAIR OF FINANCE AND AUDIT COMMITTEE*
- *CHAIR OF PEOPLE AND CULTURE COMMITTEE*
- *OTHER MEMBER(S) OF COUNCIL APPOINTED BY THE CHANCELLOR*

3.1.5 Council Finance and Audit Committee

Resolved

THAT THE COUNCIL FINANCE AND AUDIT COMMITTEE COMPRISE:

- *P TREACY (CHAIR)*
- *R CAMPBELL*
- *J SMITH*
- *L FOLIAKI*

3.1.6 Council People and Culture Committee

Resolved

THAT THE COUNCIL PEOPLE AND CULTURE COMMITTEE COMPRISE:

- *J SMITH (CHAIR)*
- *R CAMPBELL*
- *R BLAIR*
- *M ROYAL*
- *S WENDT*

3.1.7 Council Nominations Committee

Resolved

THAT THE COUNCIL NOMINATIONS COMMITTEE COMPRISE:

- *THE CHANCELLOR (CHAIR)*
- *THE PRO-CHANCELLOR*
- *THE VICE-CHANCELLOR*

3.1.8 Council Honours Committee

Resolved

THAT THE HONOURS COMMITTEE COMPRISE:

- *THE CHANCELLOR (CHAIR)*
- *THE PRO-CHANCELLOR*
- *THE VICE-CHANCELLOR*
- *THE PERSON ELECTED TO COUNCIL BY THE PROFESSIONAL STAFF*
- *THE PERSON ELECTED TO COUNCIL BY THE ACADEMIC STAFF*
- *THE PERSON APPOINTED TO COUNCIL BY THE AUCKLAND UNIVERSITY OF TECHNOLOGY STUDENT ASSOCIATION*

3.1.9 Vice-Chancellor's Employment Agreement Committee

Resolved

THAT THE VICE-CHANCELLOR'S EMPLOYMENT AGREEMENT COMMITTEE COMPRISE:

- *THE CHANCELLOR (CHAIR)*
- *THE PRO-CHANCELLOR*
- *THE CHAIR OF FINANCE AND AUDIT COMMITTEE*

3.2 COUNCIL MEMBERS' HONORARIUM

Resolved

1. *THAT COUNCIL CONSENTS TO THE DELIBERATION OF THE MATTER OF COUNCIL FEES PURSUANT TO SCHEDULE 11, CLAUSE 8 OF THE EDUCATION AND TRAINING ACT 2020 BY ALL COUNCIL MEMBERS PRESENT.*
2. *THAT THE HONORARIUM PAYABLE TO THE CHANCELLOR BE \$40,000 (GROSS) PER ANNUM.*
3. *THAT THE HONORARIUM PAYABLE TO THE PRO-CHANCELLOR BE \$25,000 (GROSS) PER ANNUM.*
4. *THAT THE FEES PAYABLE TO OTHER MEMBERS OF COUNCIL (EXCLUDING THE CHANCELLOR, PRO-CHANCELLOR AND VICE-CHANCELLOR) BE \$20,000 (GROSS) PER ANNUM.*
5. *THAT MEMBERS SEEK LEAVE OF ABSENCE FROM COUNCIL IF THEY ARE TO BE ABSENT FROM COUNCIL FOR THREE (3) CONSECUTIVE MEETINGS OR MORE.*

3.3 AMENDMENTS TO AND RETIREMENT OF COUNCIL POLICY

Received

Paper recommending amendments to Council policy.

Noted in Discussion

- Council noted that the increase in financial delegations is consistent with those in place at other universities in New Zealand.

Resolved

THAT COUNCIL APPROVE THE AMENDMENTS TO COUNCIL POLICY NO:02 DELEGATIONS AND RETIRE COUNCIL POLICY NO:09 ADVISORY COMMITTEES

4 OTHER MATTERS FOR DISCUSSION

It was noted that Dr Pat Alley will remain as Council's representative on the AUT Ethics Committee until another appointment is made.

5 CLOSE OF MEETING

The meeting closed at 3:45 pm

CONFIRMED AS A TRUE AND CORRECT RECORD

Chancellor

Date



MINUTES

Council Meeting

Meeting held Monday 27 February 2023 at 3.45 pm
Council Room, WA Building, AUT City Campus, 55 Wellesley St East

PRESENT:	R Campbell (Chair); S Turner; D Salesa; J Smith; P Treacy; S Youssef; L Foliaki; M Royal and C Cook.
SECRETARY:	A Vujnovich, Council Secretary
IN ATTENDANCE:	W Lawson (Deputy Vice-Chancellor Academic), L Williams (Chief Financial Officer and AVC Finance and Infrastructure), A Burrows (Internal Auditor) and R Nottingham (Council Co-ordinator Consultant). For item 12- D Shanta (Acting Group Director, People & Culture) and D Pinchen (Director, Health Safety & Wellbeing)
IN APOLOGY:	S Wendt and R Blair

PART A OPEN AGENDA

1 WELCOME, APOLOGIES AND APPROVAL OF AGENDA ITEMS

THAT AN APOLOGY FOR ABSENCE FROM RENATA BLAIR AND SINA WENDT BE RECEIVED.

Resolved

THAT THE ASSIGNMENT OF AGENDA ITEMS TO PART A AND PART B OF THE COUNCIL AGENDA BE APPROVED.

2 DECLARATION/RECORDING OF INTERESTS

The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.

Resolved

THAT THE DECLARATIONS BE NOTED AND THE ACTIONS TAKEN BE ENDORSED.

3 CONSTITUTIONAL MATTER, CONFERMENT OF DEGREES AND POLICY

3.1 Granting, Rescinding, Revoking And Amending Qualifications – December 2022 And February 2023

Received

Memorandum for Granting of Qualifications – December 2022 and February 2023

Resolved

THAT COUNCIL NOTE THAT THE CHANCELLOR HAS EXERCISED A DELEGATION UNDER THE COUNCIL DELEGATION POLICY AND IN ACCORDANCE WITH THE GENERAL ACADEMIC STATUTE AND SECTION 283 OF THE EDUCATION AND TRAINING ACT 2020 TO:

DECEMBER 2022

1. *REVOKE QUALIFICATIONS FOR THE STUDENTS ON THE ATTACHED SCHEDULE AHEAD OF THE 2022 SUMMER COMPLETION CYCLE ON 22 DECEMBER 2022.*
2. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2022 SUMMER COMPLETION CYCLE ON 22 DECEMBER 2022;*
3. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2022 SUMMER OFFSHORE GRANTING CYCLE ON 22 DECEMBER 2022;*
4. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2022 DECEMBER MONTHLY CERTIFICATE CYCLE ON 22 DECEMBER 2022; AND*
5. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2022 DECEMBER CERTIFICATE OF PROFICIENCY (COP) CYCLE ON 22 DECEMBER 2022.*

FEBRUARY 2023

6. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2023 FEBRUARY MONTHLY CERTIFICATE CYCLE ON 16 FEBRUARY.*
7. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2023 FEBRUARY CERTIFICATE OF PROFICIENCY (COP) CYCLE ON 16 FEBRUARY.*

4 COUNCIL MEETINGS AND MINUTES OF PREVIOUS MEETING(S)

4.1 Minutes of the previous meeting

Received

Draft Minutes (Part A), of the Special Council meeting held on 5 December 2022

Resolved

THAT THE MINUTES (PART A) OF THE SPECIAL COUNCIL MEETING HELD ON 5 DECEMBER 2022 BE TAKEN AS READ AND CONFIRMED AS A TRUE AND CORRECT RECORD.

4.2 Matters Arising

There were no matters arising from the Minutes (Part A), of the Minutes of 6 December 2021 not elsewhere on the agenda. The Chancellor noted that Council will hold a meeting on the AUT marae at the earliest opportunity.

5 VICE CHANCELLOR'S REPORT

Received

Vice Chancellor's Report

Noted in discussion

Financial Recovery Programme

- The Financial Recovery Programme that launched in September last year responds to short to medium term financial challenges AUT must overcome to deliver on our commitments to students, society, and Aotearoa New Zealand.
- Our fundamentals are strong but reduced student numbers (over several years) and increased costs need to be addressed. Staff costs are the single largest expense and noticeably above that of other New Zealand universities.
- Despite careful planning, external advice and an extensive consultation period, the part of the programme linked to our academic staff ran into legal challenges and the proposal was withdrawn. As a result, no compulsory redundancies were finalised. However, over 80 academic staff elected to leave voluntarily.

- The parts of the programme linked to centralised professional (non-academic) staff are nearing completion, and along with a number of academic colleagues taking up an offer of voluntary severance a considerable cost saving has been achieved.
- Morale in some parts of the university has been impacted – particularly among academic staff. This is understood and the leadership group who implemented the academic change proposal have spent time reflecting, receiving feedback from Heads of School and others.
- The fact remains that increasing costs due to inflation, an uncertain outcome of collective bargaining and volatile student enrolments mean AUT continues to face a financial challenge.
- Following court decisions an agreement was reached with the TEU that included an apology and an undertaking not to introduce further academic change proposals until Semester 2 this year.
- Once enrolment numbers are confirmed and our new financial situation clarified, work will need to begin on addressing the ongoing challenge. Work is underway to strengthen our external reputation and to build strong engagement with staff across the university. We have committed to working collaboratively to address any further changes likely to be needed. A key issue is to engage with academic leaders at the appropriate level across the University. Emphasis should also be placed on student communications in regard to change in the University's academic provision.

Other Matters

- The Vice Chancellor acknowledged the sad passing of our Dean of the Faculty of Health and Environmental Sciences, Professor Fiona Brooks. Professor Michael Petterson is acting Dean of the Faculty of Health and Environmental Sciences. Work has started on finding a new leader for the faculty.

Highlights

- Associate Professor Jacoba Matapo from the Faculty of Culture and Society has been appointed Pro Vice-Chancellor Pacific Advancement.
- People and Culture Group Director, Beth Bundy has resigned, and a recruitment process to find a replacement is underway. Dawn Shanta is currently Acting Group Director People and Culture.
- Some significant gifts have been received. One is a gift from the McCall MacBain Foundation to deliver a leadership and mentoring programme for current students from economically deprived areas.

Enrolments

- YTD December 2022 reflects a net deficit from operations of \$5.2M, which is \$13.9M behind budget driven by lower student enrolments and a provision for the financial recovery plan.
- All TEC financial covenants, except for the net surplus ratio, were met in 2022. Earlier in the year, TEC had been alerted of the potential risk to the net surplus ratio target.
- The downturn in domestic enrolments is not unique to AUT and other universities have demonstrated a greater decrease in domestic student enrolments. This is affected by a decline in returning students across all deciles and all programmes. Further work is needed to improve the student experience and student retention statistics.
- Student engagement suffered with the prolonged periods of lockdown and consequential closure of University campuses and this has negatively impacted the student experience and resulted in student dissatisfaction.

Resolved

THAT THE VICE-CHANCELLOR'S REPORT TO COUNCIL BE RECEIVED.

6 CHANCELLOR'S REPORT

No report

7 PART A – REPORTS FROM BOARD, COMMITTEES AND WORKING GROUPS OF COUNCIL

7.1 Academic Board Reports and Minutes, 28 November 2022

Received

Academic Board Reports and Minutes, 28 November 2022

Resolved

THAT THE ACADEMIC BOARD REPORTS AND MINUTES OF THE MEETING HELD ON 28 NOVEMBER 2022 BE RECEIVED.

8 HEALTH, SAFETY AND WELLBEING REPORTS

8.1 Health, Safety and Wellbeing Report, December 2023 and January 2023

Received

Health, Safety and Wellbeing Reports, December 2023 and January 2023

Noted in discussion

- The new HSW digital platform, "SHIELD", was launched in January 2023. This functionality will allow the HSW team to provide timely, comprehensive reports.
- HSW incidents with the A1 construction programme will be integrated into HSW reporting.

Resolved

THAT THE HEALTH, SAFETY AND WELLBEING REPORT FOR DECEMBER 2022 AND FEBRUARY 2023 BE RECEIVED

9 TE TIRITI REPORT

- Associate Professor Georgina Stewart is leading the Eke Tangaroa Programme for Māori and Pacific Early Career Academics and this has been successful in recruitment and retention of early career Māori and Pacific academics.

10 STRATEGIC REPORTS

No Report

11 CORRESPONDENCE REFERRED BY THE CHANCELLOR

12 OTHER MATTERS FOR DECISION OR NOTING

12.1 Update from AUTSA

Received

Verbal Update from Sara Youssef (President of AUTSA)

Noted in discussion

- The President expressed her excitement with commencement of semester 1 study in 2023 and the return of students to each AUT Campus. Many orientation events have been planned by AUTSA.
- There is a national shortage of paramedics and the delivery of the programme in Christchurch fulfils the national need to increase the number of trained paramedics in New Zealand. It also makes best use of an existing local staff base and doesn't require a separate office for AUTSA. The first semester will be delivered online. Clinical placements will be available in the South Island, and this allows the University to increase the number of placements available. The indications are that the closer the student's study to their home, the more likely they are to successfully complete their studies and to remain in their career.

- AUTSA is reviewing its constitution including its governance board, the operations of AUTSA, meeting agenda and code of conduct. Amendments will be required with the change in governing legislation.

Resolved

THAT THE VERBAL UPDATE FROM THE PRESIDENT OF AUTSA BE RECEIVED.

13 GENERAL BUSINESS AND ITEMS MOVED FROM PART B TO PART A

RESOLUTION TO EXCLUDE THE PUBLIC

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure. This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting **AND THAT** W Lawson, A Vujnovich, A Burrows, L Williams, and R Nottingham be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under section 9 of the OIA identified below.	Ground(s) under section 48(1) LGOIMA for the passing of this resolution
15. Council Meeting Part B of the meeting held on 5 December 2022	s 9(2)(b)(ii), s 9(2)(i), 9(2)(k)	7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)
16. Report from AUT Ventures	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
17. Report from AUT Millennium	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
18. Report from AUT Ethics Committee	s 9(2)(a), 9(2)(b)(ii), s 9(2)(i), 9(2)(g)(i)	s7(2)(a), 7(2)(c), 7(2)(j), 7(2)(F)(i), 7(2)(f)(ii)
20. Draft Minutes of Finance and Audit Committee held on 20 February 2023	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
22. Other Business	9(2)(a)	7(2)(a)

Item 4.2 Matters Arising from the Minutes Part A

COUNCIL AGENDA ITEM	ACTION	WHO	STATUS
22 February 2021	5. Vice Chancellor's Report <ul style="list-style-type: none"> In 2021, a Council meeting will be hosted in AUT's wharenui. 	Tumuaki / Dean Te Ara Poutama	Open
31 May 2021	3.3. Equal Opportunities Policy <ul style="list-style-type: none"> The Treaty of Waitangi should be referenced in the policy or alternatively, expressed in a Council Charter. A paper will be drafted regarding a policy on Te Tiriti and each Council policy should be reviewed at each Annual General meeting. 	Tumuaki / Dean Te Ara Poutama	Council Charter - Ongoing Fourth Quarter 2021
30 August 2021	6.2 Te Tiriti o Waitangi <ul style="list-style-type: none"> The Chancellor working with the Vice-Chancellor will bring a proposal back to Council. 	Vice Chancellor's Office	Ongoing - 2022
25 July 2022	5. Vice Chancellor's Report <ul style="list-style-type: none"> The upskilling of Council members in Te Tiriti should be undertaken over the next 12-24 months and Marama Royal and Renata Blair can assist and support Council with this. 	Council	Ongoing



PART A	5.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **VICE-CHANCELLOR'S REPORT**

Date: **27 March 2023**

RECOMMENDATION:

THAT THE VICE CHANCELLOR'S REPORT BE RECEIVED

This month has been highlighted by the start of semester 1 and the return of our students to campuses, with key university events like student orientation taking place.

Other engagements and events included the International Abalone Symposium, which saw delegates from around the world attend and enjoy our City Campus spaces and offerings. AUT also reaffirmed our commitment to Big Gay Out this year following last year's cancellation with a noticeable presence and real estate at the community event. AUT's Recruitment team also represented the university at the Te Matatini National Kapa Haka festival at the end of February.

We continue to diversify our income through seeking philanthropic funds. Whakatapu Aotearoa Foundation has gifted AUT funding to support the setup and development of the Learning from Nature project to deliver a transformational education programme for local communities exploring nature-based solutions and environmental restoration. This builds on work by the AUT Living Laboratories programme, which works closely with hapū and iwi.

Senior leaders met at South Campus for a Planning Day on the 15 March. The refreshed planning process was discussed in light of the current financial challenges and the need to maximise the return on investment for large strategic change projects. The group discussed the university's value proposition, parameters of the Long Term Academic and Capital Plan, and heard brief progress updates on some of our pan-university initiatives. There was also an afternoon tea for senior leaders to meet with South Campus-based staff.

HIGHLIGHTS

- Student orientation took place from the 20 to 24 February. 3,473 students attend throughout – 2,449 on the City Campus, 758 on the North Campus, and 342 on the South Campus.
- The position of Group Director of People and Culture was [advertised](#) on 10 March, closing 9 April.
- Consultation on our Tiriti Framework with key stakeholders is wrapping up and will result in a third draft version.
- An integrated Ki Uta Ki Tai implementation framework is under consideration by Executive and will be rolled out during April.
- 13 early career academics have been appointed to the Eke Tangaroa programme to bolster the University's number of Māori and Pacific academics.

PRIORITY 1: TE TIRITI O WAITANGI

1.1 Te Tiriti Responsiveness Framework

Consultation on the AUT Te Tiriti framework opened earlier this month. AUT staff and students were invited to learn more about the draft framework and share their initial feedback. This is being facilitated through wānanga on each of the campuses as well as online. This commenced with Māori staff and students on the 3 March, with the final in-person wānanga taking place on the 17 March at South Campus. The overall engagement, particularly from Staff, has been positive, and online feedback submissions continue to be made until later this month, when they will be closed.

The Tēra Te Haeata project team and communications support successfully launched Tēra Te Haeata on Tuia (Staff intranet) ahead of the consultation period. The pages included online resources, information about the process and timeline, publication of the draft framework, and an invitation to all staff and students to attend wānanga exploration sessions to provide feedback. The Student Communications team also sent out communications via their channels, namely Student Hub Online.

1.2 Māori Advancement

The Office of Māori Advancement (OMA) has recently hosted and attended events, including the visit by Yambanga Yuinidi from Darumal Youth Community Services from Queensland Australia, as part of an indigenous cultural exchange. As part of recruitment opportunities, OMA partnered with the Recruitment team to attend the Matatini festival in Auckland. This is a key opportunity to showcase AUT to Māori communities and prospective students.

OMA has also commenced planning to host the Ngāti Whātua Tertiary Summit in the lead-up to AUT Live.

PRIORITY 2: EXCELLENCE AND EQUITY IN EDUCATION AND RESEARCH

2.1 Research

The University Research Office launched its new name on 9 March, now called Te Kāhui Poipoi Rangahau. The name means – the team that nurtures research and is gifted by Associate Professor Dean Mahuta. The launch also coincides with a new re-evaluated purpose and mission.

2.2 PBRF Quality Evaluation

PBRF Quality Evaluation Institutional feedback was submitted for TEC's Sector Reference Group Consultation Paper 9: Technical Matters/Detailed EP structure and submission requirements. TEC released its In-Principle Decisions on Panel Assessment Criteria. Early engagement has begun with Symplectic on how anticipated changes to Evidence Portfolios may be accommodated in the Assessment Module, which researchers at AUT use to prepare portfolios.

2.3 Research Funding

ERI	Source - TechOne
2017 (unweighted)	\$11.458M
2017 (weighted)	\$13.955M
2018 (unweighted)	\$15.089M
2018 (weighted)	\$16.699M
2019 (unweighted)	\$15.3M
2020 (unweighted)	\$13.47M (est. as at 30/11/2020)
2021 (unweighted, unaudited)	\$17.30M (est. as at 31/12/2021)
2022 (unweighted, unaudited)	\$22,960,559M (est. as at 31/12/2022)
2023 (unweighted, unaudited)	\$3,056.261M (est. as at 28/02/2023)

Note: Unweighted means that ERI is not weighted according to TEC guidelines e.g. 1x for NZ government contestable funds; 1.5x for overseas; 2x for NZ non-government

2.4 Graduate Research School

- Of the 135 active PhD students who have commenced offshore, **89** have relocated to New Zealand as of the 7th of March. 25 students are still waiting for their visas to be approved, and the others are reminded to apply for a visa prior to the deadline to be eligible for domestic tuition fees.
- PhD applications have increased this year. There are currently 1167 applications compared to 696 at the same time last year (source Uni Assist). That difference might be slightly smaller if we take into account that we changed the process for doctoral enquiries mid-year last year.
- PhD admissions have gone up to 35 but are slightly down compared to the same time last year. The number of domestic admissions is lower than in previous years.
- There are 84 LOA students this year compared to 56 last year. The rise may be owing to students whose supervisors were affected by FRP, with a consequential LOA approved for the period of disruption.
- An extensive "AUT Supervision Fundamentals" programme on Canvas will replace parts of the current supervision workshop programme. Supervisors will be able to 'pick & mix' modules according to their needs, with workshops and forums available for deeper discussion. An introductory video has been filmed as a first step.

2.5 Admissions

While NCEA processing was largely completed in January, we have seen a number of students who have gained University Entrance in February due to taking additional credits in summer school. We have also had 82 students who would not have normally been awarded University Entrance gain this entry requirement via our alternative 2022 Discretionary Entrance. These students will receive extra academic support and be closely monitored to ensure every opportunity for success.

PRIORITY 3: ENVIRONMENTAL AND FINANCIAL SUSTAINABILITY

3.1 Financial Performance (detail in Appendix 2)

The year-to-date net deficit variance to budget of \$1.9M reflects the value of less costs than budgeted offsetting the lower student derived income than planned. Management will need to keep promoting strong financial due diligence with ongoing spend if the planned student numbers are not reached.

3.2 Student enrolment update (detail in Appendix 1)

- Domestic new to programme EFTS appear up on the same time last year, but this will change when we reach the 13th of June (after the May update and before the July update to Council), which was the point when clinical health science students were enrolled into their Semester 2 papers last year.

This year most clinical health science students have already enrolled into their semester 2 papers. When we correct for this, we are likely to be down on domestic new to programme EFTS compared with 2022.

- In addition, a lower percentage of bachelor's degree students have returned to continue/finish their studies this year than in previous years. This has also contributed to the further decline in total domestic EFTS this year.
- 2023 international enrolments have already exceeded the 2023 budget target, although there may be some attrition due to Immigration NZ's difficulty processing all student visas in time.
- For these reasons, the University appears to be doing better against the overall 2023 budget than it was against 2022 budget this time last year, but as outlined, this position is likely to change as we move into semester 2.

	Domestic		International		Total	
	2023	2022	2023	2022	2023	2022
YTD EFTS	14,287	14,758	1,847	1,279	16,133	16,037
% of target	87%	83%	111%	79%	89%	83%

Bullet points:

Year to Date **Domestic SAC** enrolments (2023, compared to the same time in 2022):

- Total: down -3% (-471 EFTS)
- New to Programme: up 4% (+187 EFTS)
- Returning: down -7% (-659 EFTS)

Year to Date **International Full Fee** enrolments (2023, compared to the same time in 2022):

- Total: up 44% (+568 EFTS)
- New to Programme: up 184% (+585 EFTS)
- Returning: down -2% (-17 EFTS)

Year to Date **Maori Domestic SAC** enrolments (2023, compared to the same time in 2022):

- Total: down -6% (-97 EFTS)
- New to Programme: down -3% (-20 EFTS)
- Returning: down -7% (-77 EFTS)

Year to Date **Pacific Domestic SAC** enrolments (2023, compared to the same time in 2022):

- Total: down -8% (-225 EFTS)
- New to Programme: down -6% (-68 EFTS)
- Returning: down -10% (-157 EFTS)

Year to Date **Pre-Degree** enrolments (2023, compared to the same time in 2022):

- Total: up 8% (+66 EFTS)

New to Programme: up 20% (+125 EFTS)

Returning: down -27% (-59 EFTS)

Year to Date **Undergraduate** enrolments (2023, compared to the same time in 2022):

Total: down -2% (-306 EFTS)

New to Programme: up 8% (+346 EFTS)

Returning: down -7% (-652 EFTS)

Year to Date **Postgraduate** enrolments (2023, compared to the same time in 2022):

Total: up 12% (+313 EFTS)

New to Programme: up 30% (+297 EFTS)

Returning: up 1% (+16 EFTS)

3.3 University key performance indicators (detail in Appendix 3)

3.4 Brand and Marketing Services

Marketing and Recruitment

Overall compared to 2022 our inbound channels have seen increased engagement. The Live Chat channel saw a 55% increase in number of live chats. 43% increase in Postgraduate enquires, with Undergraduate remaining steady.

Communications

Our KPI figures for positive media coverage (research and expert voices) is low for February – reflecting the beginning of the year and the loss of some of AUT's most prolific media commentators. Work is underway to identify, and media train a new cohort of academics.

3.5 Places and spaces

During the reporting period, the Estates Group was focused on the following key areas:

- Preparation of campuses for commencement of Semester 1.
- Evaluation of shortlisted products for new Integrated Workplace Management System.
- Delivery of AUT Council CFAC input.
- Support to Orientation Week.
- Launch of Estates FRP change proposal.
- Commencement of tasks from the Estates Business Plan 2023.
- A1 Project implementation and delivery of PM/MCW programme.
- Active management of all property and services-related risks within resource constraints.
- AX End of Lease/Lease Renewal project implementation.
- Christchurch facility design development (following communications with Ngāi Tūahuriri this work has been paused).
- Response to Cyclone Gabrielle and Anniversary Weekend Floods.

Operations

- AUT Cafes served 6617 guests in Jan/Feb with 100% positive guest feedback.
- Parking services revenue for January was \$114k, resulting in a net surplus after costs (\$39k) of \$75k.
- A meeting with the EU Ambassador was held with a view to the renewal of the EU agreement and feedback given AUT's desire to see more positive advantages if this agreement is to continue.
- Closure of L7 & L8 of WO Carpark resulted in an estimated \$30k drop in revenue and an additional \$500 in costs from Wilson for labour to manage occupancy.

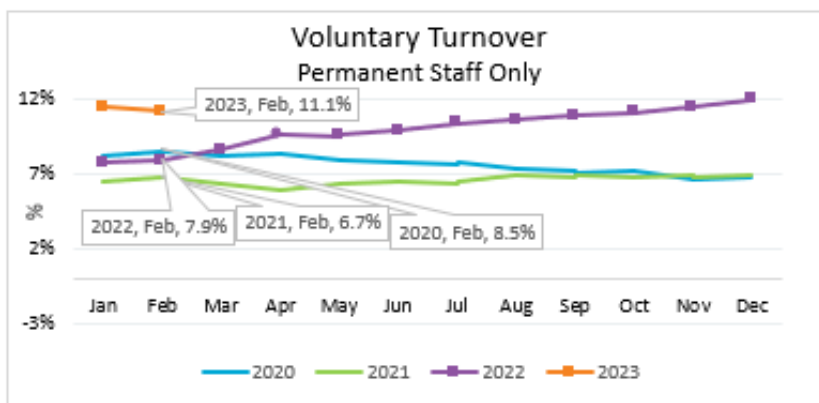
- A proposal has been submitted to advance the potential Akoranga motorway digital signage through a partnership model. This would create a platform for a variety of student benefits through corporate sponsorships and potential revenue of \$250k - \$300K.
- A1 construction was tracking 31 days behind programme and on budget.

3.6 Staff diversity statistics (February 2023)

Staff count				Occupation			
1,972.1 FTE				Academic 924.9 (46.9%)		Professional 1,047.2 (53.1%)	
Gender				Annual Turnover Rate			
Female	59.6%	Gender diverse	0.4%	7.2%		14.7%	
Male	39.1%	Not disclosed	0.9%				
Ethnicity							
Academic (46.9%)				Professional (53.1%)			
NZ European	46.2%	Other	4.6%	NZ European	37.8%	Other	4.5%
Asian	17.0%	Undeclared	7.2%	Asian	27.7%	Undeclared	9.3%
European	8.3%	Middle Eastern	2.3%	European	4.3%	Middle Eastern	1.3%
Māori	7.4%	Latin American	1.3%	Māori	5.7%	Latin American	1.0%
Pacific	4.2%	African	1.4%	Pacific	7.7%	African	0.7%

55.0% of Senior staff roles are held by men, and 44.9% are held by women. 13 Senior staff roles are held by Māori and Pacific women, and 8 Senior staff roles are held by Māori and Pacific men.

3.7 People and Culture – Voluntary Turnover



- Voluntary turnover has increased to 11.1% in February 2023, which is 3.2% higher than in February 2022.
- Voluntary turnover of permanent academic staff has increased to 6.7% in February 2023 which is 0.8% higher than February 2022.
- Voluntary turnover of permanent professional staff has increased to 15.4% in February 2023 which is 5.7% higher than February 2022.

3.8 Student Accommodation

Applications remain steady; however, we are experiencing an increasing number of cancellations. There are several students with no programme offers that we expect may cancel in the upcoming weeks. Below is the total number of applications as of 28 February 2023:

Accommodation	Total Rooms	Total Applications	Tentative or offered	Reserved (accepted & paid)	Total rooms taken	Rooms Free
WSA	481	586	7	447	454	27
ASV	198	224	4	148	152	46

PRIORITY 4: STUDENT SUCCESS

4.1 Orientation

Semester one 2023 Orientation delivered a major reset across the scheduled programme, with a Pōwhiri on each campus to welcome each cohort and commence belonging, integrated AUT 101 and programme sessions delivered targeted support and information to a separate Postgraduate and International student day. 3473 students attend across the course of Orientation week—2449 on City Campus, 758 on North Campus, and 342 on South Campus.

Initial analysis of the Orientation Survey results indicates that 88.5% of respondents definitely or mostly agreed that Orientation made them feel welcomed to AUT. 67.5% of survey respondents stated that having attended Orientation, they feel prepared for university life, with 19% saying they felt ready but still had questions.

4.2 Learning and Teaching

Admissions and enrolment processes worked smoothly, and Orientation which took place in the week before classes began, was well attended. All faculties have reported good attendance in week one and two classes. Senior faculty learning and teaching staff have worked with altLAB to determine priorities for the year, and this work is now underway. There has been useful engagement with TEC in the form of cross-university seminars about student success run by TEC and a meeting between the Deputy Vice-Chancellor Academic, Group Director of Strategy and Planning and the PVC Learning and Teaching and staff from TEC Ōritetanga Learner Success Directorate, which was useful in assisting prioritisation of learner success initiatives.

Working groups led by Academic Audit Planning Group members have convened to begin the process of institutional self-review leading up to the 2024 visit of the Academic Audit Panel. A successful workshop on self-review, led by Sheelagh Matear (Director of the Academic Quality Agency), was conducted with Planning Group members in February. Communications to the wider University community on self-review have been planned and will commence in April.

4.3 Ki Uta Ki Tai Student Success Plan

Many of the proposed first initiatives in the Ki Uta Ki Tai plan have progressed in various ways, with some having had resources allocated and with project management artefacts developed, and others incrementally progressed in business as usual.

An integrated Ki Uta Ki Tai implementation framework is under consideration by Executive and will be rolled out during April.

PRIORITY 5: MATURING UNIVERSITY SYSTEMS AND PROCESSES

5.1 New Tertiary Student Disability Admin (TSDA) system

The Disability Student Support Resource Manager has been working closely with ICT to deploy a replacement for the TSDA (Tertiary Student Disability Admin) system. The new system was implemented in January 2023 and is going to receive a new name that is yet to be decided. The system assists with the management of people resources required to support students with impairments and who require the allocation of academic accommodations in teaching and assessment activities

5.2 Financial Assistance Review

A working group was formed and facilitated a workshop to review the current financial assistance process. Based on recent student feedback and what we already know, the aim was to provide a recommendation on how we can eliminate pain points for both students requesting financial assistance, and staff assessing and providing financial assistance. To ensure financial assistance is available to and easy to apply for, for any student facing temporary hardship. A draft recommendation to improve access and the experience of students seeking financial support during their study journey with AUT is currently under review with Director Student Hub.

Appendix 1: Enrolments

2023 EFTS Progress to Target Monitoring Report Year to Date Compared to Target and Equivalent Date Last Year

Programme EFTS as at 12 Mar 2023

Student Achievement Component EFTS	Total	BEL	CSOC	DECT	HEAL	TEAP
Pending	4,022	621	625	1,206	1,552	16
Actual	10,265	1,370	1,446	3,109	4,274	42
Total Pending and Actual	14,287	1,991	2,072	4,315	5,825	58

2023 Target	16,460	2,755	2,403	4,621	6,540	104
Progress to Target (%)	87%	72%	86%	93%	89%	55%
EFTS needed to reach 100%	2,173	764	331	306	715	47
Ahead or behind on this time last year:	Behind by 471	Behind by 257	Behind by 427	Ahead by 100	Ahead by 151	Behind by 27
Progress to Target, this time last year (%)	83%	70%	90%	89%	84%	44%
EFTS needed to reach Target this time last year	2,933	958	265	508	1,057	109
Achieved from this time last year to year end	1,884	667	5	325	836	52

International Full-Fee EFTS	Total	BEL	CSOC	DECT	HEAL	TEAP
Pending	284	76	54	115	36	2
Actual	1,563	415	364	574	207	3
Total Pending and Actual	1,847	491	418	689	243	5

2023 Target	1,663	615	283	565	200	
Progress to Target (%)	111%	80%	148%	122%	122%	Infinity
EFTS needed to reach 100%	-184	124	-135	-124	-44	-5
Ahead or behind on this time last year:	Ahead by 568	Ahead by 99	Ahead by 153	Ahead by 238	Ahead by 77	Ahead by 3
Progress to Target, this time last year (%)	79%	54%	109%	97%	110%	147%
EFTS needed to reach Target this time last year	338	335	-22	15	-16	-1
Achieved from this time last year to year end	505	281	78	92	46	0

AUT South Campus	Total	BEL	CSOC	DECT	HEAL
------------------	-------	-----	------	------	------

Pending	469	74	66	20	307
Actual	1,250	127	142	24	933
Total Pending and Actual	1,719	201	208	44	1,240

2023 Target	1,665	4	241	44	1,331
Progress to Target (%)	103%	4806%	87%	99%	93%
EFTS needed to reach 100%	-54	-197	32	0	91
Ahead or behind on this time last year:	Ahead by 9	Behind by 53	Behind by 78	Behind by 26	Ahead by 173
Progress to Target, this time last year (%)	69%	58%	103%	72%	68%
EFTS needed to reach Target this time last year	771	183	-7	27	508
Achieved from this time last year to year end	220	18	-23	-12	238

Pre-Degree Total EFTS Total

Pending	350
Actual	567

Total Pending and Actual	916
---------------------------------	------------

Ahead or behind on this time last year: Ahead by 66

Undergraduate Total EFTS Total

Pending	3,286
Actual	9,280

Total Pending and Actual	12,566
---------------------------------	---------------

Ahead or behind on this time last year: Behind by 306

Postgraduate Total EFTS Total

Pending	760
Actual	2,135

Total Pending and Actual	2,895
---------------------------------	--------------

Ahead or behind on this time last year: Ahead by 313

Doctoral	500
-----------------	------------

Māori Domestic SAC EFTS Total

Pending	426
Actual	1,173

Total Pending and Actual	1,599
---------------------------------	--------------

Ahead or behind on this time last year: Behind by 97

Pacific Domestic SAC EFTS	Total
Pending	865
Actual	1,564
Total Pending and Actual	2,429
Ahead or behind on this time last year:	Behind by 225

Please Note:

- In 2022, 75 SDR SAC Budget EFTS were not assigned to an academic faculty. As a result, the total budget is 75 greater than the sum of the faculty totals for tables presenting Domestic – SAC Funded, South (SAC) and University totals.
- Returning to programme EFTS defined as all EFTS consumed by students enrolled in the same programme code in a previous calendar year, regardless of progress through programme. EFTS consumed by students who enrolled in any Bachelor of Health Science programme (any programme code ending in '3680') in a previous calendar year and then enrolled in a related programme are also considered to be returning. All other EFTS classified as 'New'.
- Budget EFTS pertaining to South Campus have been identified using the following working definition: Where the teaching school name includes the word 'Manukau', 'Manku', 'South', or 'South', OR the teaching school code is 'VK', 'RO', or 'KO', the Budget EFTS are assumed to relate to South Campus.
- (*) Please note that the 2016 South SAC EFTS target of 1,514 represents the University's internal operating budget, as set by central Finance, rather than the 2016 TEC South Campus target provided in the Funding Letter (1,827).

Appendix 2: Financial Performance – Current Year

1. Financial Performance – Current Year As at 24 February 2023 (refer item 5.1)

\$000s	Year to Date (\$000s)			Full Year (\$000s)
	Actual	Budget	Variance	Budget
Income	41,031	45,073	(4,042)	418,925
Expense	57,372	59,182	1,810	409,585
Surplus/(Deficit) before interest	(16,341)	(14,109)	(2,232)	9,340
Interest	214	525	311	3,152
Net surplus/(deficit)	(16,555)	(14,634)	(1,921)	6,188

Comment

The year-to-date net deficit variance to budget of \$1.9M reflects the value of less costs than budgeted offsetting the lower student derived income than planned. Management will need to keep promoting strong financial due diligence with ongoing spend if the planned student numbers are not reached.

TEC Financial Covenants	2023 Year-end Result		
	Budget	TEC	Outcome
Max commercial debt borrowings \$000s	\$104,706	\$220,000	On target
Max aggregate financing \$000s	\$116,518	\$243,000	On target
Max Debt / Debt + Equity Ratio	11%	30%	On target
Max Debt / Net Cash Flow Ratio	2.33	3.00	On target
Max Debt / Total Revenue Ratio	28%	55%	On target
Min Net Surplus Ratio	1.5%	>0.0%	On target
Min Cash Flow from Operation Ratio	114%	113%	On target
Min Interest Cover Ratio	2.96	Suspended until 2026	
Min Liquidity Ratio	31%	2%	On target

Comment

All TEC financial covenants are projected to be met in 2023.

Financial Performance 2023 YTD Compared to 2022 YTD

\$000s	Year to Date (\$000s)		
	2023	2022	Variance
Income	41,031	60,637	(19,606)
Expense	57,586	52,360	(5,226)
Net (deficit)/surplus	(16,555)	8,277	(24,832)

Lower **year-to-date income** compared to the same period last year is driven by lower SAC funding earned (based on consumed EFTS, not budget EFTS).

Higher **year-to-date expenses** compared to the same period last year are driven by higher student support expenses, IT support expenses and the annual leave movements.

Overall, the **year-to-date net (deficit)/surplus** is \$24.8M lower than same time last year reflects the net impact of lower student enrolments and higher operating costs in 2023.

Appendix 3: University key performance indicators – Progress report

University key performance indicators: Progress report

10 March 2023

AUT's Statement of Service Performance was established as part of Investment Plan 2022-2024. Each KPI relates to at least one of the key themes of *AUT Directions*

- Theme 1 Creating exceptional learning experiences
- Theme 2 Discovery and application of knowledge for wellbeing and prosperity
- Theme 3 Responding to our place in the world
- Theme 4 Building our position as New Zealand's university of technology
- Theme 5 Being a great place to work and learn

KPIs	Theme(s)	Target 2023	YTD 2023	YTD 2022	Actual 2022	Notes
4. Proportion of bachelors graduates who completed work-integrated learning while studying	1, 4	90%	0	0	91%	
5. Course completion rate for students from areas with high deprivation scores	1,3	78%	63%	77%	70%	Relate to summer school courses only so numbers are still very small
6. Number of quality-assured research outputs	2	Annual increase	203	185	2,130	
7. Proportion of research-active academic staff	2	Annual increase	19%	15%	62%	
8. Value of new research contracts signed	2,4	Annual increase	\$1.3M	\$1.8M	\$27.6M	
9. Proportion of outputs with an international co-author	2,3	Annual increase	61%	n/a	58%	
10. Ranking amongst NZ universities for field citation ratio	2,4	Top-four placing	Fourth	Second	Second	Based on 2020 publications (used for the 2023 reporting year), AUT's FCR is 2.65, just above the sub-sector average of 2.63
11. Number of media articles using AUT staff as expert voices or highlighting AUT research	2,4	>1,000	122	149	1,244	

12. Number of Māori graduates from bachelors degrees	1,3	Annual increase	0	0	308
13. Number of Māori students in research programmes	2,3	Annual increase	102	93	144
14. Number of Pacific graduates from bachelors degrees	2,3	Annual increase	0	0	432
15. Number of Pacific students in research programmes	2, 3	Annual increase	60	69	92
16. Number of EFTS at South Campus	3, 5	Annual increase	1,260	1,282	1,985

Results still to come

KPI	Theme(s)	Actual 2022	Source	Available
1 Proportion of students who would recommend their programme of study	1	78%	Annual Programme Survey	Annually (December)
2. Proportion of students who are satisfied with teaching quality	1	81%	Annual Programme Survey	Annually (December)
4. Proportion of available graduates who are working full time	1	84%	Annual Graduate Survey	Annually (December)



PART A	6.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **CHANCELLOR'S REPORT**

Date: 27 March 2023

RECOMMENDATION:

THAT THE COUNCIL RECEIVE THE VERBAL UPDATE FROM THE CHANCELLOR.



Part A OPEN AGENDA ITEM	7.1
----------------------------	-----

Council Agenda Paper

Subject: **REPORT FROM ACADEMIC BOARD**

Date: 28 February 2023

RECOMMENDATION:

THAT COUNCIL RECEIVE THE ACADEMIC BOARD REPORT AND MINUTES OF THE MEETING HELD ON 27 FEBRUARY 2023

The minutes are in Supplementary Papers.

Academic Board Report to Council

Meeting held 27 February 2023

Strategic Matters and Policy Developments

- **Long-term Academic and Capital Plan**

The Deputy Vice-Chancellor Academic provided an overview of the initiative to develop an integrated and long term plan for the University's academic and capital activities. The plan's scope was outlined and the meeting was informed that an indicative timeline has been developed, a steering group is in place and the preparation phase is nearly complete.

The framework that has been adopted was outlined along with the co-leadership of the academic areas. A guiding document is being prepared and expressions of interest for co-leads will be called for in March. It is intended the plan will be finalised in quarter three of this year.

- **AUT's Financial Recovery Programme Update**

An update on the Financial Recovery Programme was provided. This included the withdrawal of the Group 1 proposal and an assurance that no further academic proposals will be undertaken before the beginning of Semester 2 of this year. It was noted that approximately 80 academic staff have already opted to leave the University. Proposal processes for Groups 3 and 4, comprising non-academic staff, are almost complete. The financial challenge remains, however, and once enrolments firm and the financial situation is clarified work will begin on addressing long term challenges. Issues remain around visa processing for international students.

- **Research**

The Deputy Vice-Chancellor Research provided an update. A research plan for 2023-2028 is going through a consultation process and will reflect the evolving research environment. Allocation of PBRF revenue will be at the same rate as for 2022. Executive has accepted a proposal to step away from funding Health Futures and Round 2 and 3 funding will be re-purposed to support initiatives that are intended to support and build research capability and culture across the university. The central research division including the Graduate Research School, University Research Office, Research Ethics and AUT Ventures sit within Group 4 of the Financial Recovery Programme.

Committees of Academic Board

- Academic Board approved a recommendation that all staff with a research component / expectation in their roles are expected to participate in PBRF Quality Evaluation by preparing a draft Evidence Portfolio. In addition, participation is optional and encouraged for other staff who are research active irrespective of whether this is a formal expectation of their role

Part A OPEN AGENDA ITEM	8.1
--	------------

Council Agenda Paper

Subject: **HEALTH, SAFETY & WELLBEING REPORT**

Date: 16 March 2023

RECOMMENDATION:

THAT COUNCIL RECEIVE THE HEALTH, SAFETY AND WELLBEING REPORT FOR FEBRUARY 2023

Monthly Health, Safety & Wellbeing Summary February 2023

Highlights - HSW Korero Form

We have combined the Korero and Team Engagement forms into a [single, easy-to-use form](#) for People Leaders of all levels to use. Towards the middle of this year, we will transfer the existing online form to SHIELD, allowing us to send reminders to People Leaders to do these activities and help them keep on track.

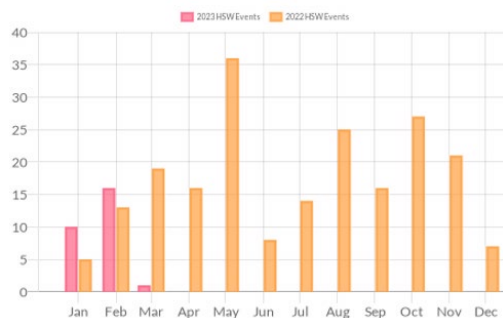
Risks - Monthly Key Activities

February's [monthly HSW activities](#) (Korero/Team Engagement, logging of undesired circumstances and the monthly focussed inspection) are significantly under target across all three of our metrics. Out of the five areas that participated, only two (DCT and P&C) achieved their Faculty/Directorate Korero target, only one (Estates) reached their Undesired Circumstances target, and only one (VC Group) reached their monthly inspection target. Your [dedicated HSW Team member](#) can help you undertake

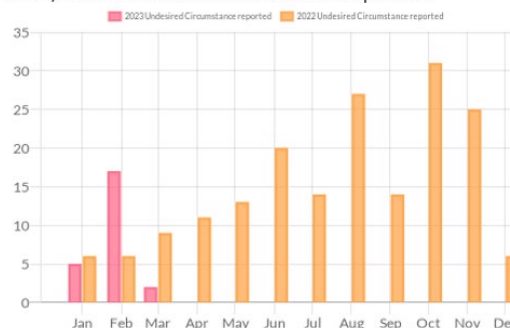
Performance (Lagging Indicators)

Thirty-three events were recorded overall for February 2023, an increase of eighteen from January 2023. Nine of these are categorised as accidents (an event that resulted in injury/ill health), compared to three from January 2023.

2022/23 Event Comparison



2022/23 Undesired Circumstance comparison



Accidents

Six of the nine accidents required first aid, and three needed no treatment. No restricted work cases were recorded for the period.

The number of reported events shows an increase of eighteen in the comparative periods of February 2022 (19 events recorded) and February 2023 (33 events recorded). Significantly more undesired circumstances are reported (17 compared to 6 in February 2022).

The overall year-to-date figures show an upward trend of 60% in the number of events reported for the comparative periods (48 events YTD compared to 30 events YTD for 2022). The increase is reflective of an increase in activity on campus. However, some areas of the University continue not to report any events or participate in the monthly activities.

Accident Review and Severity

A total of sixty-three event reviews are outstanding for the reporting period, forty-six of which have been outstanding for over twenty-eight days. The HSW team have prompted the respective People Leaders to take action, but further Executive and Senior Leadership focus is required.

Projects Update – current Focus

The 2023 HSW project plan will be published in April.



PART A	13.1
OPEN AGENDA ITEM	

Council Agenda Paper

Subject: **UPDATE FROM THE PRESIDENT OF AUTSA**

Date: 27 March 2023

RECOMMENDATION:

THAT COUNCIL RECEIVE THE VERBAL UPDATE FROM THE PRESIDENT OF AUTSA
