

AGENDA PAPERS

NOTICE OF COUNCIL MEETING

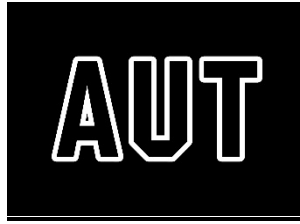
**Notice is Hereby Given that a Meeting of the Council of Auckland
University of Technology will be held:**

AT: AUT City, Council Room, WA710, 55 Wellesley Street East

On: Monday, 29 March 2021

FROM: 3.30 – 6.00 PM

**Dr Andrea Vujnovich
COUNCIL SECRETARY**



AUCKLAND UNIVERSITY OF TECHNOLOGY COUNCIL

Chancellor

Rob Campbell
Appointed by Council

Pro-Chancellor

Sussan Turner
Appointed by the Minister for Tertiary Education

Members

Dr Pat Alley
Appointed by Council

Renata Blair
Appointed by Council

Corrie Cook
Elected by the Professional Staff of the Auckland University of Technology

Sisifa Liu
Appointed by the Auckland University of Technology Student Association

Derek McCormack
Vice-Chancellor of the Auckland University of Technology

Professor Edwina Pio
Elected by the Academic Staff of the Auckland University of Technology

James Schofield
Appointed by Council

Janine Smith
Appointed by the Minister for Tertiary Education

Peter Treacy
Appointed by the Minister for Tertiary Education

Sina Wendt
Appointed by the Minister for Tertiary Education

PART A

OPEN AGENDA



Council Agenda Part A (Open Agenda)

Monday 29 March 2021 at 3.30 pm to be held at AUT City, 55 Wellesley Street East, Council Room, WA710

1. WELCOME AND APOLOGIES	The Chancellor moves that apologies, be noted	
2. DECLARATION/RECORDING OF ANY INTERESTS	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in s175 of the Education Act 1989	The Chancellor moves that the declarations, if any be noted and the action taken be endorsed
3. CONFERRMENT OF DEGREES	In accordance with AUT's General Academic Regulations, part 7, section 2, 2.1 – 2.4 Council approve the conferral of the listed awards to graduands named having completed the requirements of the award and having applied to receive the award. The list of graduands is in supplementary papers	
4. COUNCIL MEETINGS	4.1 Council Draft Minutes (Part A), Annual General Meeting, 22 February 2021 and Formal Council Meeting, 22 February 2021.	The Chancellor moves that the Minutes (Part A), 22 February 2021 be taken as read and confirmed.
	4.2 Matters arising from the Minutes (Part A), 22 February 2021 not elsewhere on the Agenda	
5. VICE CHANCELLOR'S REPORT	The Chancellor moves that the Vice-Chancellor's Report be received.	
6. CHANCELLOR'S REPORT		
7. REPORTS FROM BOARDS, COMMITTEES AND WORKING GROUPS TO COUNCIL	7.1 Report from Chancellor's Committee on Māori and Pacific Matters - no report	
	7.2 Report from the Academic Board 22 February 2021	The Chancellor moves that Report from the Academic Board and Minutes, 22 February 2021 be received.

8. CORRESPONDANCE REFERRED BY THE CHANCELLOR	No correspondence	
9. OTHER MATTERS FOR DECISION OR NOTING	9.1 Update from AUTSA	The Chancellor moves that the update from AUTSA be received .
10. GENERAL BUSINESS		

PUBLIC EXCLUSIONS

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act or section 6 or section 7 or section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public are as follows:

1. To protect information where the making available of the information would be likely unreasonably to prejudice the commercial position of the University.
2. To enable the University to carry out, without prejudice or disadvantage, commercial activities or negotiations.
3. To protect the privacy of persons referred to in the recommendations and to maintain the confidentiality of those recommendations.
4. To enable free and frank discussions.
5. To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT A Vujnovich, A Burrows, K McPherson, J Thompson and L Williams be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under the sections of the OIA identified below.	Ground(s) under section 48(1) for the passing of this resolution
11. Council Minutes Part B of the meeting held on 22 February 2021	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
12 Health, Safety & Wellbeing report	s 9(2)(a) and s 9(2)(b)(ii)	LGOIMA s48(1)(a)(ii)
15 Report from Council Finance and Audit Committee	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
16. 2020 Annual Report	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
17. Vice Chancellor Update on Current Matters	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
20. Council Working Group	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
21. Other Business	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)

PART A OPEN AGENDA ITEM	3
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Council Agenda Paper

Subject: **Conferment of Degrees**

Date: 15 March 2021

RECOMMENDATION:

IN ACCORDANCE WITH AUT'S GENERAL ACADEMIC REGULATIONS, PART 7, SECTION 2, 2.1 – 2.4 COUNCIL APPROVE THE CONFERRAL OF THE LISTED AWARDS TO GRADUANDS NAMED HAVING COMPLETED THE REQUIREMENTS OF THE AWARD AND HAVING AND APPLIED TO RECEIVE THE AWARD.

The list of graduands is in supplementary papers

Memorandum

Monday, 22 March 2021

To: Rob Campbell, Chancellor

From: Richard Stewart, Director of Student Administration

Subject: **Granting of Qualifications**

Dear Rob

In accordance with AUT's General Academic Regulations, Part7, Section 2, 2.1 – 2.4, the graduands listed in the attached file, having completed the requirements and applied to receive their degree/diploma/certificate, require their conferment/award pursuant to a resolution of Council.

Therefore I request:

THAT IN ACCORDANCE WITH THE GENERAL ACADEMIC STATUTE, QUALIFICATIONS BE CONFERRED ON THE GRADUANDS AS PER THE ATTACHED SCHEDULE.

Thank you.



Richard Stewart
Director of Student Administration

APPROVAL

Approved by Chancellor:



Date:

10 March 2021

Council Agenda Paper

Subject: **MINUTES PART A OF THE COUNCIL MEETINGS (ANNUAL GENERAL MEETING AND FORMAL COUNCIL MEETING) HELD ON 22 FEBRUARY 2021**

Date: 25 February 2021

RECOMMENDATION:

THAT THE MINUTES PART A OF THE COUNCIL MEETINGS (ANNUAL GENERAL MEETING AND FORMAL COUNCIL MEETING) HELD ON 22 FEBRUARY 2021 BE CONFIRMED AS A TRUE AND CORRECT RECORDS.



MINUTES

Annual General Meeting

Held on Monday 22 February 2020 at 1.30 pm

In the Council Room (WA710), Auckland University of Technology, AUT City

PRESENT: John Maasland (Chair); P Alley; R Blair; C Cook; S Lui; D McCormack; E Pio; J Schofield; S Turner, P Treacy, J Smith and S Wendt.

** Apologies for lateness / ** Apologies for early departure*

RECORDER: A Vujnovich, Council Secretary

IN ATTENDANCE: R Campbell, L Williams (Chief Financial Officer and Head of Finance and Infrastructure Groups), K McPherson (Deputy Vice-Chancellor) and A Burrows (Group Director Risk and Assurance).

1 APOLOGIES AND WELCOME

NO APOLOGIES WERE RECEIVED.

2 INITIAL ITEMS

Declaration/Recording of Any Relevant Interests

Members were referred to the Council Policy on Council Member's Interests, which are set out in the Council Policies and Statutory Documents Handbook.

3 CONSTITUTIONAL MATTERS

3.0 APPOINTMENT TO COUNCIL

Resolved

IN ACCORDANCE WITH PARAGRAPH 2(F) OF THE CONSTITUTION AND PARAGRAPH 4(F) OF COUNCIL MEMBERS APPOINTMENT STATUTE COUNCIL APPOINT ROB CAMPBELL TO COUNCIL FOR A PERIOD OF FOUR YEARS FROM 22 FEBRUARY 2021 TO 21 FEBRUARY 2025

3.1 ELECTION OF OFFICERS, CHAIRS AND MEMBERS OF COUNCIL COMMITTEES AND WORKING GROUPS

3.1.1 Election of Chancellor

The Chancellor called for nominations for the position of Chancellor and Rob Campbell was nominated for the position of Chancellor. There were no other nominations.

Resolved

1. *THAT THE NOMINATION OF ROB CAMPBELL AS CHANCELLOR OF AUT BE RECEIVED;*
2. *THERE BEING NO FURTHER NOMINATIONS FOR THE POSITION OF CHANCELLOR, THAT THE NOMINATIONS FOR CHANCELLOR BE CLOSED; AND*
3. *THAT ROB CAMPBELL BE ELECTED AS CHANCELLOR OF THE AUCKLAND UNIVERSITY OF TECHNOLOGY IN 2021.*

The Chancellor elect thanks John Maasland for his eight years of service to Council and the University as Chancellor and for the eleven years served on Council.

John Maasland thanked Council and the Vice Chancellor for supporting him in the role as Council members and Chancellor.

Election of Pro-Chancellor

The Chancellor called for nominations for the position of Pro Chancellor. Sussan Turner was nominated.

Resolved

1. *THAT THE NOMINATION OF SUSSAN TURNER AS PRO CHANCELLOR BE RECEIVED;*
2. *THERE BEING NO FURTHER NOMINATIONS FOR THE POSITION OF PRO-CHANCELLOR, THAT THE NOMINATIONS FOR PRO-CHANCELLOR BE CLOSE;*
3. *THAT SUSSAN TURNER BE ELECTED AS PRO-CHANCELLOR OF THE AUCKLAND UNIVERSITY OF TECHNOLOGY IN 2021.*

The Pro Chancellor thanked Council for its support.

3.1.2 Election of Council Secretary

Resolved

THAT ANDREA VUJNOVICH BE APPOINTED AS COUNCIL SECRETARY.

3.1.3 Council Working Group

Resolved

1. *THAT THE PREVIOUS PRACTICE OF THE PRO-CHANCELLOR HOLDING THE POSITION OF CHAIR OF THE COUNCIL WORKING GROUP BE MAINTAINED.*
2. *THAT ALL MEMBERS OF COUNCIL BE MEMBERS OF THE COUNCIL WORKING GROUP.*

3.1.4 Committee Terms of Reference

Resolved

THAT COUNCIL ADOPT THE TERMS OF REFERENCE FOR EACH COUNCIL SUB-COMMITTEE IN THE FORM SUBMITTED.

3.1.5 Council Executive Committee

Resolved

THAT THE COUNCIL EXECUTIVE COMMITTEE COMPRISE:

- *The Chancellor (Chair)*
- *The Pro Chancellor*
- *The Vice Chancellor*
- *Chair of Council Finance and Audit Committee*
- *Chair of Council Property Committee*
- *Other member(s) of Council appointed by the Chancellor*

3.1.6 Council Finance and Audit Committee

Resolved

THAT THE COUNCIL FINANCE AND AUDIT COMMITTEE COMPRISE:

- *J Schofield (Co-Chair)*
- *P Treacy (Co-Chair)*
- *P Alley*
- *J Smith*
- *J Thompson (Independent Chair of Property Committee).*

3.1.7 Council Property Committee

Resolved

THAT THE COUNCIL PROPERTY COMMITTEE COMPRISE:

- *J Thompson (Independent Chair)*
- *R Blair*
- *S Lui*
- *E Pio*
- *P Treacy*
- *Sina Wendt*

3.1.8 Chancellor's Committee on Māori and Pacifica Matters

Resolved

THAT THE CHANCELLOR'S COMMITTEE ON MĀORI AND PACIFICA MATTERS COMPRISE ALL MEMBERS OF COUNCIL

3.1.9 Council Nominations Committee

Resolved

THAT THE COUNCIL NOMINATIONS COMMITTEE COMPRISE:

- *The Chancellor (Chair)*
- *The Pro Chancellor*
- *The Vice Chancellor*

3.1.10 Auckland University of Technology Honours Committee

Resolved

THAT THE HONOURS COMMITTEE COMPRISE:

- *The Chancellor (Chair)*
- *The Pro Chancellor*
- *The Vice Chancellor*
- *E Pio*
- *C Cook*
- *S Lui*

3.1.11 Vice-Chancellor's Employment Agreement Committee

Resolved

THAT THE VICE-CHANCELLOR'S EMPLOYMENT AGREEMENT COMMITTEE COMPRISE:

- *The Chancellor (Chair)*
- *The Pro Chancellor*
- *The Chair of Finance and Audit Committee*

3.1.12 Auckland University of Technology Ethics Committee

Resolved

THAT DR PAT ALLEY BE APPOINTED TO THE AUCKLAND UNIVERSITY OF TECHNOLOGY ETHICS COMMITTEE.

3.2 COUNCIL MEMBERS' HONORARIUM

Resolved

1. *THAT COUNCIL CONSENTS TO THE DELIBERATION OF THE MATTER OF COUNCIL FEES PURSUANT TO s175 OF THE EDUCATION ACT 1989 BY ALL COUNCIL MEMBERS PRESENT.*
2. *THAT THE HONORARIUM PAYABLE TO THE CHANCELLOR BE \$40,000 (GROSS) PER ANNUM.*
3. *THAT THE HONORARIUM PAYABLE TO THE PRO CHANCELLOR BE \$25,000 (GROSS) PER ANNUM.*
4. *THAT THE FEES PAYABLE TO OTHER MEMBERS OF COUNCIL (EXCLUDING THE CHANCELLOR, PRO CHANCELLOR AND VICE-CHANCELLOR) BE \$20,000 (GROSS) PER ANNUM.*
5. *THAT MEMBERS SEEK LEAVE OF ABSENCE FROM COUNCIL IF THEY ARE TO BE ABSENT FROM COUNCIL FOR THREE (3) CONSECUTIVE MEETINGS OR MORE.*

3.3 COUNCIL COMMITTEES TERMS OF REFERENCE

Resolved

THAT COUNCIL APPROVE COUNCIL COMMITTEE TERMS OF REFERENCE.

4 MATTERS FOR FURTHER DISCUSSION

5 CLOSE OF MEETING

The meeting closed at 1.45 pm

CONFIRMED AS A TRUE AND CORRECT RECORD

Chancellor

Date



MINUTES

Council Meeting

Meeting held Monday 22 February 2021 at 3.30 pm
Council Room, WA Building, AUT City Campus, 55 Wellesley St East

PRESENT: R Campbell (Chair); P Alley; R Blair; C Cook; S Lui; D McCormack; E Pio; J Schofield; J Smith; P Treacy; S Turner and S Wendt

** Apologies for lateness / ** Apologies for early departure*

SECRETARY: A Vujnovich, Council Secretary

IN ATTENDANCE: K McPherson (Deputy Vice Chancellor) and L Williams (Chief Financial Officer), K Diesfeld and C Meares from 4.00 pm

PART A OPEN AGENDA

1 WELCOME AND APOLOGIES

NO APOLOGIES WERE RECEIVED

2 DECLARATION/RECORDING OF INTERESTS

The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in s175 of the Education Act 1989.

Resolved

THAT THE DECLARATIONS BE NOTED AND THE ACTIONS TAKEN BE ENDORSED

3 CONFERMENT OF DEGREES

Resolved

THAT COUNCIL APPROVE THE CONFERRAL OF THE LISTED AWARDS TO GRADUANDS NAMED HAVING COMPLETED THE REQUIREMENTS OF THE AWARD AND HAVING AND APPLIED TO RECEIVE THE AWARD

4 COUNCIL MEETINGS AND MINUTES OF PREVIOUS MEETING(S)

4.1 Minutes of the previous meeting

Received

Draft Minutes (Part A Open Agenda), of the Council meeting on 7 December 2020

Resolved

THAT THE MINUTES (PART A OPEN AGENDA) OF THE COUNCIL MEETING ON 7 DECEMBER 2020 BE TAKEN AS READ AND CONFIRMED AS A TRUE AND CORRECT RECORD

4.2 MATTERS ARISING

There were no matters arising from the Minutes (Part A Open Agenda), of the Minutes of the 7 December 2020 not elsewhere on the Agenda

5 VICE CHANCELLOR REPORT

Received

The Vice Chancellor's Report

Noted in discussion

Review

- The Independent Review has been received and reported to Council Working Group.
- An independent consultant has been appointed to lead the University through the implementation of the recommendations arising from the review and any change processes required.

International Students

- The Minister of Education has announced that a cohort of PhD students will be allowed to enter NZ and of those, 13 PhD students will come to AUT.
- The Government has announced a further 940 international students are able to enter NZ being those who hold a student visa, are part way through their qualifications and need to be in NZ to complete their qualification.

LMS

- Council has approved the purchase of a Learning Management System (LMS) and will receive regular updates on the implementation of the LMS which will provide high quality digital resources and systems to students.

Honorary Awards

- The University's recipients of distinguished and honorary awards are acknowledged by the Vice Chancellor and by Council.

Ranking and Staff Appointments

- The Time Higher Education recently issued subject rankings with AUT having 12 subjects regarded as world standard.
- New senior appointments include;
 - The Director of Strategy and Planning – Megan Skinner;
 - The Deputy Vice Chancellor – Professor Kath McPherson;
 - The Deputy Vice Chancellor (Academic) – under advertisement;
 - The Deputy Vice Chancellor (Research) – to be advertised;
 - The Dean Faculty of Health and Environment Sciences – to be advertised.
- The Group Directors, Student Services and Brand, Communications and Marketing will temporarily report to the AVC Corporate.

Student Accommodation

- The new student accommodation facility, is largely ready for student occupation and is a magnificent facility, having been blessed at a recent sunrise event.
- Management doesn't believe that the delay in completion of the podium (providing student recreation facilities) has negatively impacted on students taking up tenancy in the new facility.

EFTS 2021

- Recent EFTS predications for 2021 enrolments indicate an increase in domestic students and an increase in Maori and Pacific applicants. The distribution of the projected increase in EFTS is unevenly distributed across faculties. There is a decrease in international full fee paying students.

Maori and Pacific

- The Maori and Pacific strategy will be considered by the Chancellor's Committee on Maori and Pacific Matters throughout the 2021 year. COVID has negatively impacted achievement of Maori and Pacific KPIs.
- On behalf of AUTSA, the President requested management to reduce its projected surplus in 2021 and direct saved funds as additional funds allocated to the offices of Maori and Pacific Advancement. Management will consider this request in accordance with other factors impacting the 2021 budget.

- In 2021, a Council meeting will be hosted in AUT's wharenuī.
- There will be a follow up to the Council Working Group meeting held in 2020 which received secondary students who discussed matters affecting them during the COVID pandemic.

Resolved

THAT THE VICE-CHANCELLOR'S REPORT TO COUNCIL BE RECEIVED.

6 CHANCELLOR'S REPORT

No report

7 PART A – REPORTS FROM BOARD, COMMITTEES AND WORKING GROUPS OF COUNCIL

7.1 Update from the Chair of the AUT Ethics Committee

Received

Verbal Update from the Chair of the AUT Ethics Committee, Professor Kate Diesfeld and the Director of the AUTECH Office and Executive Secretary - Dr Carina Meares

Noted in Discussion

- The Chair of AUTECH provided a presentation outlining the work and membership of the AUT Ethics Committee.
 - The Chancellor thanked the Chair and acknowledged the work of the Ethics Office and the Committee during COVID.
 - The increase in Applications was noted and the use of AI discussed in regard to support the work of the Ethics Office.

Resolved

THAT REPORT FROM THE CHAIR OF THE AUT ETHICS TO COUNCIL BE RECEIVED

7.2 Report from the Chancellor's Committee on Maori and Pacific Matters No Report

7.3 Report from the Academic Board 30 November 2020

Received

Report from the Academic Board and Draft Minutes of the meeting held on 30 November 2020

Resolved

THAT THE REPORT FROM THE ACADEMIC BOARD AND MINUTES, 30 NOVEMBER 2020 BE RECEIVED

8 CORRESPONDANCE REFERRED BY THE CHANCELLOR

No correspondence was referred.

9 OTHER MATTERS FOR DECISION OR NOTING

9.1 Update from AUTSA

Received

Verbal Report from Sisifa Lui, President of AUTSA

Noted in Discussion

- AUTSA has a full Council of 22 members.
- Students are about to commence semester 1 studies with orientation starting this Wednesday. Students are excited for the summer graduation ceremonies.
- AUTSA is looking forward to providing ongoing reports to Council through 2021.

Resolved

THAT THE REPORT FROM THE PRESIDENT OF AUTSA BE RECEIVED

9.2 WQ Official Building Naming

Received

Paper from the Vice Chancellor

Noted in Discussion

- Council supported the name and also supported purchasing a suitable carving for the building which would embrace the name.

Resolved

THAT COUNCIL APPROVE THE OFFICIAL NAME OF THE NEW ACCOMMODATION BUILDING AS TE ĀHURU – THE SANCTUARY

10 GENERAL BUSINESS

- A formal welcome to the Chancellor will be held in the Marae.
- A Karakia should open and close each Council meeting.

RESOLUTION TO EXCLUDE THE PUBLIC

THAT THE PUBLIC BE NOW EXCLUDED FROM PART B OF THIS MEETING, AND THAT THE FOLLOWING MATTERS BE DISCUSSED WITHOUT PUBLIC DISCLOSURE.

THIS RESOLUTION IS MADE IN RELIANCE ON SECTION 48(1)(A) OF THE LOCAL GOVERNMENT OFFICIAL INFORMATION AND MEETINGS ACT 1987 AND THE PARTICULAR INTEREST OR INTERESTS PROTECTED BY SECTION 6 OR SECTION 7 OF THAT ACT OR SECTION 6 OR SECTION 7 OR SECTION 9 OF THE OFFICIAL INFORMATION ACT, AS THE CASE MAY REQUIRE, WHICH WOULD BE PREJUDICED BY THE HOLDING OF THE WHOLE OR RELEVANT PART OF THE PROCEEDINGS OF THE MEETING IN PUBLIC ARE AS FOLLOWS:

- 1. TO PROTECT INFORMATION WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY UNREASONABLY TO PREJUDICE THE COMMERCIAL POSITION OF THE UNIVERSITY.**
- 2. TO ENABLE THE UNIVERSITY TO CARRY OUT, WITHOUT PREJUDICE OR DISADVANTAGE, COMMERCIAL ACTIVITIES OR NEGOTIATIONS.**
- 3. TO PROTECT THE PRIVACY OF PERSONS REFERRED TO IN THE RECOMMENDATIONS AND TO MAINTAIN THE CONFIDENTIALITY OF THOSE RECOMMENDATIONS.**
- 4. TO ENABLE FREE AND FRANK DISCUSSIONS.**
- 5. TO PREVENT THE DISCLOSURE OR USE OF OFFICIAL INFORMATION FOR IMPROPER GAIN OR ADVANTAGE.**

AND THAT A VUJNOVICH, J THOMPSON, K MCPHERSON, AND L WILLIAMS BE PERMITTED TO REMAIN AT THIS MEETING, AFTER THE PUBLIC HAS BEEN EXCLUDED, BECAUSE OF THEIR KNOWLEDGE OF THE MATTERS TO BE DISCUSSED IN THE PROCEEDINGS WHILE THE PUBLIC ARE EXCLUDED. THIS KNOWLEDGE, WHICH WILL BE OF ASSISTANCE IN RELATION TO THE MATTERS TO BE DISCUSSED, IS RELEVANT TO THESE MATTERS BECAUSE THEY RELATE TO ASPECTS OF THE ADMINISTRATION OF AUT FOR WHICH THOSE PERSONS ARE RESPONSIBLE.

Item 4.2 Matters Arising from the Minutes Part A

COUNCIL AGENDA ITEM	ACTION	RESPONSIBILITY	ACTIONED
28 September 2020	7.1 Chancellor's Report <ul style="list-style-type: none"> The Chancellor was pleased with the Council Working Group meeting with Year 13 students and requested to catch up with the same students next year for a follow up. 	Council Secretary	First Quarter 2021
22 February 2021	5. Vice Chancellor's Report <ul style="list-style-type: none"> The Maori and Pacific strategy will be considered by the Chancellor's Committee on Maori and Pacific Matters throughout the 2021 year. COVID has negatively impacted achievement of Maori and Pacific KPIs. On behalf of AUTSA, the President requested management to reduce its projected surplus in 2021 and direct saved funds as additional funds allocated to the offices of Maori and Pacific Advancement. Management will consider this request in accordance with other factors impacting the 2021 budget. In 2021, a Council meeting will be hosted in AUT's whareniui. 	Council Secretary Vice Chancellor Tumuaki / Dean Te Ara Poutama	2021 First Quarter 2021 First Quarter 2021



Council Agenda Paper

Subject: **VICE CHANCELLOR'S REPORT**

Date: **March 2021**

RECOMMENDATION:

That Council note the report.

*This regular report is structured mainly around the strategic themes of AUT Directions 2025, which are: **Theme 1** Creating exceptional learning experiences; **Theme 2** Discovery and application of knowledge for wellbeing and prosperity; **Theme 3** Responding to our place in the world; **Theme 4** Building our position as New Zealand's university of technology; **Theme 5** Being a place people love to work and learn.*

The report provides updates on progress within these themes and against indicators, as well as information on current issues and highlights, and sits alongside other reports such as the monthly financial report.

IMPORTANT UPDATES

1. Actions following the Independent Review

Progress has continued on responses to the Review's findings and recommendations, including:

- A staff Q&A that I hosted with Professor Kath McPherson took place on Teams.
- All of the SLT members have participated in a four hour Harassment and Bullying Prevention training workshop, led by independent expert Shayne Mathieson.
- The wellbeing app CHNNL, recommended by the Review, is currently being piloted in four divisions/departments.
- The appointment of an interim independent arrangement for assisting staff with concerns while the Office of Complaint Resolution recommended by the reviewer is established.

Work is underway with announcements soon on:

- The terms of reference and appointment of the Culture Change Transition Group recommended by the reviewer.
- A timetable of training sessions for all people-managers and interested staff.

2. Graduation

Seven graduation ceremonies were delivered over three days (15 – 17 March) at Spark Arena. Over 160 staff volunteered at the largest graduation in AUT's history, with over 4,200 graduands crossing the stage to become AUT graduates. The ceremonies were an accumulation of the 2020

Winter and Summer Graduations, which were both postponed due to change to COVID-19 alert levels. Eligible graduands from the 2020/21 Summer School were also invited to graduate.

3. Learning Management System

Work is underway with transitioning AUT's Learning Management System from Blackboard to Canvas. Current planning would see Canvas go live Semester One 2022. To meet this date, AUT requires a dedicated team to work alongside academic staff to implement it. Due to the specialist nature of this work, a number of fixed term positions have been offered, including the recruitment of seven internal applicants so far. Interviews for external candidates have also taken place during March.

For more information on the progress of the LMS project, please refer to **Appendix 4**.

UPDATES ON STRATEGIC THEMES IN AUT DIRECTIONS 2025

THEME 1: CREATING EXCEPTIONAL LEARNING EXPERIENCES

- With Auckland moving into Alert Level 1 on 22 February we adjusted the Orientation schedule by moving events based on City Campus. Over 3,470 students attended AUT's Orientation programme, with North Campus orientation attracting over 1,200 students – our largest attendance on this campus. The goal of Orientation is to familiarise our new students with their learning environment, the expectations of tertiary study, enable social connections, and build excitement and enthusiasm.
- AUT's Office of Māori Advancement have developed and implemented Ngā Rā Whakaterea (days of enlightenment), a series of programmes to assist Māori students new to AUT in the first six weeks of university life. The programme started in March with Orientation activities that included students and their whānau.
- An enhanced, personalised dashboard for students was launched in February, 'My AUT', which is available through Student Hub Online. Like the AUT app, students now have a single view of their overall experience of AUT outside of the classroom, including visibility of their grades and progress to completion across all courses they are enrolled in. In addition, they can also view an integrated timetable for each class they need to attend, bookings they have made with support staff, study rooms, and computers, as well as extra-curricular events they have registered for with AUT. This was a collaboration between Student Services and Administration, Strategy and Planning, ICT and Brand.

THEME 2: DISCOVERY AND APPLICATION OF KNOWLEDGE FOR WELLBEING AND PROSPERITY

1. Research contracts – status update

	YTD \$ New Signed Research Contracts	
	2021	2020
Value (\$)	\$5.0M	\$2.3M
Number of contracts	10	17

- Eight of the contracts in the table with a total value of \$4.5M were signed in March.
- Eleven proposals were submitted to different funders in March.
- In addition to those a further 15 research projects have been accepted by funders and are at different stages of negotiation prior to signing, with an approximate value of \$8.1M.

2. Recent grants awarded have included:

- **Ministry of Business, Innovation and Employment:**
\$1.1M for research in transport, “High power electric motors for large-scale transport”.

3. Royal Society Te Apārangi Fellows

Three AUT academics have been elected as Fellows to the Academy of the Royal Society Te Apārangi: Professor Jarrod Haar, Professor Patria Hume and Professor Denise Wilson. Being made a Fellow is an honour that recognises distinction in research, scholarship and the advancement of knowledge at the highest international standards.

- **Professor Patria Hume** has held leadership roles at AUT in both SPRINZ and RIO. She is an international leader in sports performance, renowned for her work using evidence-based interventions to influence best-practice policy development that aims to reduce injury and improve sports techniques for athletes around the world. In 1999, Patria started SportSmart, a nationwide sports injury prevention programme for the Accident Compensation Corporation (ACC). Her collaborations with PhD students, industry partners and academics from many disciplines resulted in this programme being developed into sport-specific programmes. RugbySmart, for instance, was adopted as an annual compulsory programme for players and coaches and resulted in a significant reduction in severe neck injuries. Her team pioneered the use of novel instrumentation to collect data on player head impacts during games and training in contact sports. She initiated the Global Rugby Health Research programme after her teams’ ground-breaking work with World Rugby and New Zealand Rugby, exploring the long-term health impacts of playing rugby. The research has indicated potential long-term health consequences for head impacts and that sub-concussive head impacts need addressing. The results from these research projects have captured global attention and have helped transform concussion injury awareness and management in New Zealand and internationally.

- **Professor Jarrod Haar** (Ngati Maniapoto and Ngati Mahuta) is a Professor of Human Resource Management in the AUT Business School and Deputy Director of AUT's NZ Work Research Institute. Jarrod has an international reputation as one of the foremost Māori scholars in business and management. He uses highly complex statistical methodologies to provide robust understandings of mātauranga Māori in contemporary New Zealand workplaces. This work has influenced national and international understandings of Indigenous cultural wellbeing in the modern workplace. Throughout his research career, Professor Haar has demonstrated the advantages of incorporating Māori worldviews and practices into organisations that would typically exclude such suggestions. Much of the existing international literature on the participation of indigenous peoples in the labour force takes a deficit-based approach, whereas Jarrod highlights the role of cultural wellbeing and collectivism in explaining Māori experiences of the workplace. He has made very substantial contributions to research which is relevant to both Māori and non-Māori employee wellbeing. His work on families and how to balance job and family demands is not only ground-breaking in a scientific sense, but of such practical importance to New Zealand and globally.
- **Professor Denise Wilson** (Ngāti Tahinga) is Professor Māori Health, Associate Dean Māori Advancement in the Faculty of Health and Environmental Sciences, and Co-Director of AUT's Taupua Waiora Māori Research Centre. Denise is a world-leading academic contributing new knowledge to support a positive transformation in wellbeing outcomes in Aotearoa, particularly for Māori. Focused on addressing low health care engagement and family violence, her interdisciplinary research, rooted in nursing, uses Māori-centred methodologies to enhance the quality of care that Māori people receive. Her work draws on traditional and contemporary mātauranga Māori knowledge, as well as Western research methods, to challenge existing practices and inspire new solutions. Denise's research has been influential in reinvigorating cultural safety in the health and social sectors as it recognises the unique socio-historical contexts, consistent marginalisation and discrimination that contribute to detrimental social and health outcomes. Her work with Māori and whānau has contributed to developing the Māori nursing workforce, and the Māori nursing leadership in a new cross-government agency approach to addressing family violence and sexual violence in New Zealand that involves prevention and intervention strategies. Her work is greatly contributing to efforts to reduce health disparities of Māori and other Indigenous people globally. In 2019 she was elected a Fellow of the American Academy of Nurses.

4. Research activity highlights – a selection:

- New research from the AUT Centre for Kode Technology Innovation has developed a low-cost COVID-19 antibody test using existing blood testing infrastructure. In this research, funded by the Ministry of Business, Innovation & Employment and the NIH Clinical Center, Kode™ Technology is used to change the surface of red blood cells 'kodecytes', allowing blood testing to detect an immune response to COVID-19. The journal article detailing the research, *COVID-19 antibody screening with SARS-CoV-2 red cell kodecytes using routine serologic diagnostic platforms* will be published by the prestigious international journal *Transfusion*.

THEME 3: OUR PLACE IN THE WORLD

1. Rankings, Recognitions, Awards and Honours

- AUT is now world ranked in 12 subjects according to the 2021 QS World University Rankings by Subject, compared to 10 ranked subjects in 2020 and nine in 2019.

The subjects ranked are:

- Accounting and Finance
- Art and Design
- Business and Management Studies
- Communication and Media Studies
- Computer Science and Information Systems
- Economics and Econometrics
- Engineering – Electrical and Electronic
- Engineering – Mechanical, Aeronautical and Manufacturing
- Hospitality and Leisure Management
- Medicine
- Nursing
- Sports-related subjects.

AUT is ranked first in New Zealand in Hospitality and Leisure Management, and second in New Zealand for Art and Design, Sports-related subjects, and Communication and Media Studies.

For Sports-related Subjects, the University is now ranked 27th in the world, up from 35th in 2020 and for the first time this year, AUT is newly ranked in Nursing and Medicine.

The QS rankings compares the performance of 1,452 universities across 51 academic disciplines and five broad Faculty Areas.

- The AUT Business School (including the School of Economics) has been awarded the prestigious EQUIS accreditation by the European Foundation for Management Development (EFMD). This endorsement of excellence is held by an elite group of 193 business schools around the world. The school received a strong commendation for the Bachelor of Business programme, student support initiatives and a commitment to social impact. It was also recognised for an open and enthusiastic culture, with wide commitment to a shared vision and mission. The school also has the American-based AACSB accreditation, which was first granted in 2011.
- Professor Peter Kim (School of Hospitality and Tourism) is the new executive editor leading the *Journal of Hospitality and Tourism Research* (JHTR), one of the world's top journals in the field of hospitality and tourism. Listed in the Social Sciences Citation Index for over 10 years, JHTR is a preferred publication for research authors from more than 82 different countries.
- Senior lecturer Jim Marbrook's (School of Communication Studies) documentary *Loimata*, which he produced and wrote, has won the Grand Prix du Jury at Tahiti's prestige FIFO, (Festival International du Film Documentaire Océanien). AUT students formed part of the crew for some of the Auckland portions of the shoot.
- Head of Visual Arts and Digital Design, Gregory Bennett (School of Art & Design) had his work *Edifice I (2020)* selected to screen at the 2021 Rencontres Internationales contemporary arts festival held at the Louvre in Paris.
- AUT has awarded Reeves Honorary Fellowships to two former AUT staff who have made outstanding contributions to the leadership, teaching and research, life and well-being, and

strategic development of the University. Richard Hall was recognised for leading the initial successful growth of AUT South Campus and managed the University’s original COVID-19 response. He was presented with a framed certificate at AUT’s Graduation ceremony on 16 March. Former AUT Deputy Vice-Chancellor Dr Geoff Perry was acknowledged for his significant contributions to the leadership, development and reputation of the University. Based in Singapore, Dr Perry was unable to be formally presented with the award at AUT Graduation, as is tradition.

THEME 5: BEING A PLACE WHERE PEOPLE LOVE TO WORK AND LEARN

- Staff diversity statistics as at March are as follows:

Staff count		Occupation		Ethnicity	
2,079 FTE		Academic	Professional	NZ European 34.4%	Other 6.4%
		1,000	1,079	Asian 18.6%	Undeclared 18.5%
Gender		48.1%	51.9%	European 12.7%	
Female	58.1%	Annual Turnover Rate		Māori 5.1%	
Male	40.7%	5.0%	8.3%	Pacific 4.3%	
Gender diverse	0.5%				

In AUT senior roles, 57.0% are male, 43.0% are female. Of the total 238 men in senior roles, six (2.5%) are Māori and seven (2.9%) Pacific. Of the total 184 women in senior roles, 12 (6.5%) are Māori and four (2.2%) are Pacific.

1. Senior staff appointments

- In February we sought expressions of interest for the role of Director Strategy & Planning, vacated at the end of last year by Jenny Bygrave. After the selection and interview process, Megan Skinner has been appointed. Megan is well known throughout the University for her work in many aspects of strategy development and planning, assisting departments and divisions with information and insights, and she brings a wealth of knowledge and understanding of the University to the role.
- Recruitment for two other senior staff positions is also underway. Applications for the Deputy Vice-Chancellor Academic closed on 14 March. The role of Pro Vice-Chancellor and Dean of the Faculty of Health and Environmental Sciences is also open with applications closing on 11 April. The selection process and interviews for both roles will take place in the next few weeks.

2. Places and spaces

- The Mayoral Drive student accommodation and recreation facility (WQ) at City Campus, managed by UniLodge, is now open and occupied by its first student residents. Places are still available and a promotion is currently on offer to AUT students until the end of March – save \$1,000 (including GST) on one year’s accommodation cost and pay no accommodation application fee.
- Work to exit WT building is due to be completed by the end of March.

- The AUT Council Property Committee has approved further incremental enabling work on the development of the A1 Building on North Campus. This includes asbestos removal and demolition of AC and AC Lecture Theatre expected to commence late March.

ENROLMENT UPDATE, 22 MARCH (REFER ALSO TO APPENDIX 1 FOR FACULTY RESULTS)

	TEC SAC funded		International full fee paying		TOTAL	
	2021	2020	2021	2020	2021	2020
YTD EFTS	15,828	14,816	1,644	2,458	17,473	17,274
YTD % of target	93%	91%	89%	72%	93%	87%

The major shifts compared to the same time last year are:

1. Domestic SAC enrolments:
 - Total: up 7% (+1,012 EFTS)
 - New to programme: up 5% (+320 EFTS)
 - Returning: up 8% (+692 EFTS).
2. International Full Fee enrolments:
 - Total: down 33% (-814 EFTS)
 - New to Programme: down 51% (-499 EFTS)
 - Returning: down 21% (-314 EFTS)
3. Māori Domestic SAC enrolments:
 - Total: up 9% (+146 EFTS)
 - New to programme: up 17% (+122 EFTS)
 - Returning: up 3% (+24 EFTS)
4. Pacific Domestic SAC enrolments:
 - Total: up 8% (+203 EFTS)
 - New to programme: up 2% (+22 EFTS)
 - Returning: up 13% (+181 EFTS)
5. Pre-degree enrolments:
 - Total: up 6% (+60 EFTS)
 - New to programme: up 3% (+23 EFTS)
 - Returning: up 22% (+36 EFTS)
6. Undergraduate enrolments:
 - Total: up 3% (+464 EFTS)
 - New to programme: steady 0% (+6 EFTS)
 - Returning: up 5% (+457 EFTS)
7. Postgraduate enrolments:
 - Total: down 10% (-312 EFTS)
 - New to programme: down 16% (-222 EFTS)
 - Returning: down 5% (-89 EFTS)

Appendix 1: Faculty Enrolments

2021 EFTS Progress to Target Monitoring Report by Faculty Year to Date Compared to Target and Equivalent Date Last Year

Programme EFTS as at 22 March 2021

The following information has been extracted from the EFTS Monitoring Report, updated using data from the AUT Data Warehouse.

1. EFTS compared to Target EFTS

	Likely and Confirmed			Target	Progress		Needed		Achieved after PYTD
	2021	2020	Growth		2021	2020	2021	2020	
Domestic SAC	15,855.90	14,828.43	6.9%	17,010.92	93.2%	90.6%	1,155.02	1,541.71	1,663.84
Int Full Fee	1,646.76	2,474.28	-33.4%	1,857.27	88.7%	72.7%	210.51	930.84	577.32
International SAC	211.92	266.35	-20.4%	325.22	65.2%	71.2%	113.30	107.69	54.72
Domestic Other	131.74	129.84	1.5%	301.50	43.7%	44.6%	169.75	161.45	193.77
International Other	132.71	128.31	3.4%	242.70	54.7%	39.0%	109.99	200.70	181.09
Total AUT	17,979.04	17,827.20	0.9%	19,737.61	91.1%	85.8%	1,758.57	2,942.39	2,670.75
South Domestic SAC	2,119.54	1,999.51	6.0%	2,516.42	84.2%	86.0%	396.88	324.51	163.46
South Int Full Fee	41.78	66.25	-36.9%	41.59	100.4%	108.4%	-0.19	-5.12	5.38

2. Total AUT EFTS by Intake Type and Award Level

	2021 Likely and Confirmed				Growth	2020 Likely and Confirmed			
	%	New	Returning	Total		%	New	Returning	Total
Pre-Degree	5.7 %	827.02	202.57	1,029.59	6.4 %	5.4 %	802.25	165.67	967.92
Undergraduate	77.9 %	4,950.55	9,059.29	14,009.84	3.4 %	76.0 %	4,940.45	8,604.61	13,545.05
Postgraduate	16.2 %	1,147.83	1,758.25	2,906.09	-9.9 %	18.1 %	1,366.81	1,857.45	3,224.26
Not Categorised	0.2 %	8.86	24.66	33.52	-62.7 %	0.5 %	61.14	28.83	89.96
Total		6,934.26	11,044.78	17,979.04	0.9 %		7,170.64	10,656.56	17,827.20

3. International Student View EFTS

	2021 Likely and Confirmed				Growth	2020 Likely and Confirmed			
	%	New	Returning	Total		%	New	Returning	Total
Pre-Degree	3.5 %	49.83	20.07	69.90	-16.7 %	2.9 %	63.00	20.92	83.92
Undergraduate	59.1 %	263.31	914.25	1,177.56	-18.4 %	50.3 %	432.28	1,010.83	1,443.11
Postgraduate	37.3 %	225.53	517.32	742.85	-43.4 %	45.8 %	565.38	747.42	1,312.79
Not Categorised	0.1 %	0.82	0.25	1.07	-96.3 %	1.0 %	24.00	5.12	29.12
Total		539.49	1,451.90	1,991.39	-30.6 %		1,084.65	1,784.29	2,868.94

13. Māori and Pacific Domestic SAC EFTS by Intake Type and Award Level

	2021 Likely and Confirmed					Growth	2020 Likely and Confirmed				
	%	New	Returning	Total	%Dom		%	New	Returning	Total	%Dom
Māori											
Pre-Degree	6.5 %	95.63	21.42	117.04	12.6%	13.9 %	6.2 %	87.13	15.63	102.75	11.8%
Undergraduate	83.8 %	642.64	860.97	1,503.61	11.7%	8.3 %	84.3 %	548.68	840.17	1,388.85	11.5%
Postgraduate	9.7 %	98.10	75.86	173.96	8.2%	11.4 %	9.5 %	76.41	79.69	156.10	8.3%
Total		836.36	958.24	1,794.61	11.3%	8.9 %		712.22	935.48	1,647.70	9.2%
Pacific											
Pre-Degree	9.6 %	229.50	44.27	273.77	29.5%	-1.9 %	10.5 %	249.25	29.75	279.00	32.0%
Undergraduate	83.0 %	898.40	1,472.10	2,370.50	18.5%	8.8 %	82.2 %	866.84	1,311.10	2,177.94	18.0%
Postgraduate	7.4 %	97.31	113.11	210.42	10.0%	8.5 %	7.3 %	88.51	105.45	193.96	10.4%
Total		1,225.21	1,629.48	2,854.69	18.0%	7.7 %		1,204.59	1,446.30	2,650.89	14.9%

Appendix 2: Financial Performance

As at 22 March 2021

\$000s	Year to Date (\$000s)			Full Year (\$000s)
	Actual	Budget	Variance	Budget
Income	19,621	19,327	294	408,235
Expense	53,005	53,954	949	397,443
Surplus/(Deficit) before interest	(33,384)	(34,627)	1,243	10,792
Interest	461	656	195	3,936
Net surplus/(deficit)	(33,845)	(35,283)	1,438	6,856

Comment

The year-to-date net surplus shows a net deficit from operations of \$33.8M. This is primarily due to student derived income only reflecting revenue earned from Summer School, with Semester One starting in March.

TEC Financial Covenants	Year-end Result		
	Budget	TEC	Outcome
Max commercial debt borrowings \$000s	126,636	220,000	On target
Max aggregate financing \$000s	142,242	243,000	On target
Max Debt / Debt + Equity Ratio	15%	30%	On target
Max Debt / Net Cash Flow Ratio	2.79	3.00	On target
Max Debt / Total Revenue Ratio	35%	55%	On target
Min Net Surplus Ratio	1.7%	>0.0%	On target
Min Cash Flow from Operation Ratio	114%	115%	Off target
Min Interest Cover Ratio	2.74	2.50	On target
Min Liquidity Ratio	26%	2%	On target

Comment

All TEC financial covenants are projected to be met, except for the projected cash flow from operation ratio. TEC has been advised along with the Secretary of Education and has asked to be kept informed on progress during the remainder of 2021.

Appendix 3: Key Performance Indicators

As at 22 March 2021

Theme	KPI	Target 2021	Year to date 2021	Year to date 2020	Actual 2020	Notes
Discovery and application of knowledge for wellbeing and prosperity	2.1 Increase the number of quality-assured research outputs	2,000	101	133	1,909	A more complete picture of our progress towards the 2021 targets will emerge over the next few months.
	2.2 Increase the proportion of research-active academic staff	55%	16.4%	n/a	55%	
	2.4 Increase the number of doctoral students	900	820	817	1,011	
Responding to our place in the world	3.2 Increase the number of postgraduate students that are Māori	375	200	219	381	
	3.4 Increase the number of Pacific postgraduate students	390	232	240	385	
	4.3 Number of media articles using AUT staff as expert voices or highlighting AUT research	1,000	234	n/a	1,535	
Being a place where people love to work and learn	5.1 Increase total EFTS	20,000	13,514	14,062	20,498	
	5.2 Increase the number of students at South Campus	2,300	1,587	1,591	2,246	
	5.3 Increase the proportion of students in postgraduate study	18%	15.1%	17.9%	20%	

Results to come:

Theme	KPI	Target 2021	Reported
Creating exceptional learning experiences	1.1 Increase the proportion of students who would recommend their programme of study	80%	Annually (December)
	1.2 Increase the proportion of students who are satisfied with teaching quality	80%	Annually (December)
	1.3 Percentage of bachelors graduates who completed work-integrated learning while studying	90%	After Graduation season
	1.4 Percentage of available graduates who are working full time	80%	Annually (December)
Discovery and application of	2.3 Value of new research contracts signed	\$24 million	Monthly from April

knowledge for wellbeing and prosperity	2.5 Increase the proportion of outputs with an international co-author	62%	Biannually (July and December)
Responding to our place in the world	3.1 Increase the number of Māori graduates from bachelors degrees	300	After Graduation season
	3.3 Increase the number of Pacific graduates from bachelors degrees	400	
Building our position as New Zealand's university of technology	4.1 Increase staff consultancy revenue	\$1.7M	Biannually (July and December)
Being a place where people love to work and learn	5.4 Increase staff engagement		Biennially

Appendix 4: LMS Project Report

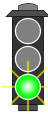

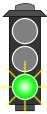





Project Name: LMS Implementation

Reporting Date: 17th February 2021

Circulation: LMS Implementation Steering Group, Project File

Author: Tim Alcock, Project Manager

Project Status Summary

 	<p><u>Project Progress</u></p> <p>On Schedule</p>	 	<p><u>Project Budget</u></p> <p>On Budget</p>	 	<p><u>Project Resources</u></p> <p>Recruitment underway</p>	 	<p><u>Project Risks</u></p> <p>Workload estimates and resource availability to be confirmed</p>
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The Preparation phase of the LMS Implementation Project is progressing well and remains in line with the objective of migrating the targeted number of courses into Canvas for 2022 Semesters 1 and 2.

The Canvas technical environments have been commissioned and branding applied. The Project Team are well advanced in working through their basic training and gaining an understanding of how the solution will be configured to support AUT's needs. A specialist developer of course Templates has been identified and initial design requirements are being developed to help standardize the look, feel and functionality of courses.

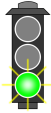

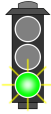
Staff recruitment (Learning Designers, Learning Technologists and Digital Media support) is continuing and looking positive at this point. Seven Internal applicants have been offered positions and interviews for external candidates are being planned for the week starting 22 Feb. The forecast start date for the bulk of the resources has already been put back a couple of weeks to the 15 March 2021. The Project Change Manger has been appointed and is due to start 22nd March.

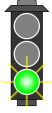
The high-level Project plans have been reviewed in support of the cash flow requirements for 2021. Detailed Project plans by stream are developing as the project establishes the key variables in terms of curriculum development work and resource availability.

Key Milestones

Milestone	Status	Target date
Canvas Contract Agreed	cmpl	
Environments Commissioned	cmpl	
Development Resources confirmed	WIP	3/3/21
Baseline Curriculum workload confirmed	WIP	10/3/21
Baseline Canvas configuration completed	WIP	24/3/21
Project team training completed	WIP	7/4/21
Curriculum development cycle 1 completed		14/6/21
Curriculum development cycle 2 completed		23/8/21
Curriculum development cycle 3 completed		1/11/21
Blackboard content migrated		11/2/22
Curriculum development cycle 4 completed		14/2/21
Cut Over to Canvas		25/2/22
Curriculum development cycle 5 completed		25/4/22
Curriculum development cycle 6 completed		4/7/22

Project Status by Stream

	<p><u>LMS technical Implementation.</u></p> <p>The 3 Canvas environments have been commissioned by Instructure (Canvas vendor) and AUT branding applied. Initial training has been completed with the AltLab technology team and they are now working on the design of the configuration for the ‘look and feel’ of how AUT will use Canvas.</p> <p>‘Deep dive’ sessions are scheduled with Instructure over the next couple of weeks to further understand the configuration options to support AUT’s requirements and configure the environments ready for Course loading and development.</p> <p>Project team have Identified a specialist external vendor to assist with Course Template design and development.</p> <p>Progress on this stream is as expected with the team progressively gaining knowledge of the solution to enable configuration decisions to be made. No major issues have been identified to date.</p>
	<p><u>Curriculum Development.</u></p> <p>The Curriculum development process will be broken down into 10 week cycles where the development team and nominated Academics follow a standard development process which has been defined by the AltLab Learning and development team. The process includes timeboxed deliverables and milestones to ensure progress is measurable and supports the objective of migrating the targeted number of courses into Canvas for 2022 Semesters 1 and 2.</p> <p>A baseline high level plan has been developed based on the development process and an assumed volume of courses and resourcing levels. The faculties are currently confirming the actual number and (development) category of courses.</p> <p>Recruitment of the Curriculum Development roles (20 x Learning Designers, 10 x Learning Technologists, 5 x Digital Media Support) is progressing well with the interviews for internal applicants resulting in 7 potential appointments. The response to external adverts has been good with interviews being planned over the next couple of weeks. The forecast start date for the bulk of the resources has been put back a couple of weeks to the 15 March, this may need to be revisited following the current round of interviews.</p> <p>The Project Change Manager has been appointed and will start on 22nd March.</p>
	<p><u>Blackboard migration.</u></p> <p>A review of the current ‘Active’ blackboard organisations is underway to determine where / if they will be migrated to other delivery mediums e.g student.aut.ac.nz.</p> <p>The project team are trialing the migration of Course content from Blackboard to Canvas to understand capabilities.</p> <p>A PID has been drawn up for the development of a replacement “Special Considerations” solution. The first cut of the requirements and solution design have been completed and the first development sprint started.</p>



Change management / Communications plan

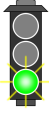
Planning is underway for the next round of communications which include a series of 3 short videos with potential 'virtual' Zoom Town halls to facilitate Q&A. The extent to which on-site Town halls would be worthwhile is being determined.

The PVC, Learning and Development updates will also include information about the project.

The Project 'web-site' is up and running and internal communications through auti.aut.ac.nz are raising awareness throughout the University.

<https://auti.aut.ac.nz/learningteaching/officeofthepvc/Pages/LMSI.aspx>

Financial Summary

	Forecast Project spend has been replanned to support the agreed budget and cash flow constraints.			
	LMS Implementation budget vs Actual summary			
		Y2021 Budget*	Y2021 Actual TD	2021 ETC
	Course Development			
	Academics	\$ 2,964,000		\$ 2,964,000
	Development staff	\$ 2,801,097		\$ 2,801,097
	Technical Development			
	Development staff	\$ 565,800		\$ 565,800
	Non staff Resources			
	Licencing & legal	\$ 498,413		\$ 498,413
	Equipment	\$ 45,000		\$ 45,000
	External resource	\$ 250,000		\$ 250,000
	<i>Contingency</i>			
	Total 2021	\$ 7,124,310	\$ -	\$ 7,124,310
	Bud vs Act + ETC variance	-\$ 0		
Project budget Total	\$ 14,836,000	*Note this is the Forecast spend for 2021.Target cashflow is \$6,970,000.00		

Key Issues & Risk Analysis

Risk / Issue	I / R	Status	Prob.	Impct.	Action(s)
Workload associated with Course development is geater than estimated	R	Action	M	H	Confirm 2022 Courses and their development category (Light, Standard, Heavy, None) with faculties. (BK)
Recruitment delays for key staff, runs risk of not having fully mobilised project team when required	R	Monitor	M	H	Review planning options once current round of recruitment is completed.
Import template errors: risk content not brought over into new system due to Blackboard course structures	R	Action	M	H	Early Trial of import process

Key activities / Milestones for next month

The following activities / Milestones are planned for over the next month;

- Confirm Curriculum development workload and plan draft development cycles.
 - Recruitment of Learning Designers and Learning technologists.
 - Design and development of baseline Course template.
 - Complete project team training and set up Canvas environments to support AUT structures.
 - Development of Special Considerations application.
 - Define a proposed approach to Blackboard Organisation migration.
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AGENDA ITEM	6
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Council Agenda Paper

Subject: **CHANCELLOR'S REPORT**

Date: 22 March 2021

RECOMMENDATION:

THAT THE CHANCELLOR'S VERBAL REPORT TO COUNCIL BE RECEIVED.

Authorised	Derek McCormack, Vice-Chancellor
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Part A OPEN AGENDA ITEM	7.2
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Council Agenda Paper

Subject: **Report from Academic Board**

Date: **15 March 2021**

RECOMMENDATION:

THAT COUNCIL RECEIVE FROM ACADEMIC BOARD THE REPORT AND MINUTES OF THE MEETING ON 22 FEBRUARY 202.

Academic Board Report to Council

Meeting held 22 February 2021

Strategic Matters and Policy Developments

The Vice Chancellor updated Academic Board on a number of matters including the independent review into harassment and sexual harassment at the University. Questions were raised by some Academic Board members concerning the degree to which the review had addressed accountability for the findings the QC made and how there could be certainty for those currently in complaint processes given they were found lacking. Whilst implementation of new systems and processes was welcomed, there were reported to be staff who felt that the cultural issues around harassment may not be addressed. It was noted that whilst bullying of students did not present in the review as a major issue, the conclusion should not be that students do not experience bullying. Many staff are also students for higher degrees, those who engaged with the reviewer may not necessarily have confined their feedback to experiences as a staff member and the number of students who participated was low. Assurance was sought that peoples' experiences are being taken seriously at the highest level of the University so that they feel heard and respected. The Vice Chancellor confirmed his own commitment, and that of the senior leadership of the university and Council to this issue, and to enacting the recommendations of the review.

Professor Waring requested that Council understand that they have an individual duty to exercise the care, diligence, and skill that a reasonable person would exercise in the same circumstances (Section 176A of the Education Act 1989) and that they act appropriately.

It was proposed that the review be included on the Academic Board Agenda as a standing item.

Committees of Academic Board

- Academic Board approved changes to the nomenclature of the University Postgraduate Board and its parts as well as changes to its Terms of Reference and Delegated Authorities.

Members' Business

- Academic Board resolved that an Interim Code of Conduct for supervision be implemented immediately.
- Academic Board resolved that a draft Code of Conduct for postgraduate research supervision be prepared by the Graduate Research School and brought back to Academic Board for consideration.
- A discussion about a) Pacific academic leadership at AUT and b) how the Pacific Media Centre might develop with the recent departure of its Director
 - The DVC will liaise with the AVC Pacific Advancement and Director Strategy and Planning regarding data on current academic leadership (Pacific) at AUT.
 - The Dean of DCT noted his commitment to continuing support for the Pacific Media Centre, and his expectation that the school that hosts the centre would propose how best to take it forward.

ACADEMIC BOARD – UNCONFIRMED MINUTES

Meeting held on Monday 22 February 2021
10.00 am – 11.20 AM, WA22a&b

PART A: ATTENDANCE

1. Present

D McCormack (Chair), A Brayham, Associate Professor H Buckley, Dr Y Chan, Dr D Channings, Associate Professor L Chile, Professor H Cooper-Thomas, K Cornthwaite, Professor B Doolin, Dr R Ellis, A Gale, Professor J Gutierrez, Professor J Haar, Associate Professor N Harris, Dr A Haxell, Associate Professor E Henry, Professor E Hinson, Professor W Hope, Professor P Hume, Dr R Johnson, Professor M Jones, M Kanara, Professor K Kearins, Associate Professor B Kehrwald, Professor P Keiha, J Kelly, Dr L Lewis, Professor T Lie, Professor G Littlefair, Professor S Mazer, Professor K McPherson, Professor G Morris, Professor M Orams, Professor G Pacheco, Associate Professor F Palmer, Professor J Raine, C Redmond, Dr F Reid, J Scarbrough, Dr S Shaw, D Sinfield, K Tairi, Professor F Tan, Professor A Tourani-Rad, Dr A Vujnovich, Professor M Waring, Dr A Withell, C Yu

2. Apologies

Professor W Brookbanks, K Carrington, W Fraser, R Hogg, Associate Professor S Kumar, Professor T Maloney, Professor H McNeill, Professor F Peterson, H Pryor, Professor D Wilson, B Wooliscroft

3. In attendance

L Baragwanath, A Burrows, P Fleming, L Gosling, A Naming, M Skinner, M Smart, A Sykora, O Weatherup (Minutes)

In opening the first Academic Board meeting of the year the Vice-Chancellor asked all attendees to remember colleagues in Canterbury on the 10th anniversary of the major earthquake.

Four new AUTSA representatives were welcomed to the meeting: M Kanara, C Yu, A Brayham and K Cornthwaite.

* PART B: STRATEGIC MATTERS AND POLICY DEVELOPMENTS

- The Update from the Vice-Chancellor was combined with Part C Vice-Chancellor's Report.

* PART C: VICE-CHANCELLOR'S REPORT

The Vice-Chancellor's Report for February 2021 was circulated with the Agenda. The following points were highlighted:

- To date 2021 international EFTS are 750 less than last year and domestic EFTS are 900 more than last year. Having the same amount of work to do but with less money will produce challenges particularly as enrolments are not evenly spread across schools. 2022 will likely be another difficult year as it is unlikely borders will open before then and pipelining has been affected.
- The Government has agreed to 1,000 offshore international students coming onshore this year. Allocation of 940 of these students to universities is based on 2019 full fee-paying student numbers and AUT has

been allocated 155 students. They will be students who have already started a qualification and need to come to New Zealand to complete.

- A new Deputy Vice-Chancellor – Academic position has been advertised. This will allow the existing Deputy Vice-Chancellor role to be focussed on all research matters.
- M Skinner has been appointed Director of Strategy and Planning.
- The process of appointing a new Dean of the Faculty of Health and Environmental Sciences is about to begin.
- A new Chancellor will be appointed at today’s Council meeting.

In relation to the staff diversity statistics in the Vice-Chancellor’s Report, disappointment was expressed that the proportion of Māori staff as at February is just 5%.

The independent review into harassment and sexual harassment at the University was discussed. Questions were raised by some Academic Board members concerning the degree to which the review had addressed accountability for the findings the QC made and how there could be certainty for those currently in complaint processes given they were found lacking. Whilst implementation of new systems and processes was welcomed, there were reported to be staff who felt that the cultural issues around harassment may not be addressed. It was noted that whilst bullying of students did not present in the review as a major issue, the conclusion should not be that students do not experience bullying. Many staff are also students for higher degrees, those who engaged with the reviewer may not necessarily have confined their feedback to experiences as a staff member and the number of students who participated was low. Assurance was sought that peoples’ experiences are being taken seriously at the highest level of the University so that they feel heard and respected. The Vice Chancellor confirmed his own commitment, and that of the senior leadership of the University and Council, to this issue and to enacting the recommendations of the review.

Professor Waring requested that Council understand that they have an individual duty to exercise the care, diligence, and skill that a reasonable person would exercise in the same circumstances (Section 176A of the Education Act 1989) and that they act appropriately.

The Chair reiterated that the report was independent and commented that progress on the implementation of changes to address the recommendations will be reported on a regular basis. It was proposed that the review be included on the Academic Board Agenda as a standing item.

* PART D: BUSINESS

* 1 Consideration of the Agenda

* 2 Confirmation of Minutes of the Previous Meeting

It was resolved:

- **THAT the Minutes of the Academic Board meeting held on 30 November 2020 are approved as a true and accurate record.**

Moved Professor P Keiha, Seconded Professor S Mazer

* 3 Matters Arising

4 Student Matters

* 5 Committees of Academic Board

5.1 International Strategy Committee (no report)

5.2 Learning and Teaching Committee (no report)

5.3 Research Committee

A report on the Research Committee meeting held on 9 February 2021 was received.

5.4 Programme Approval and Review Committee

A report on the Programme Approval and Review Committee meeting held on 2 December 2020 was received.

5.5 Academic Planning Subgroup

A Report on the Academic Planning Subgroup meeting held on 9 December 2020 was received.

***5.6 Graduate Research School**

A Report on the University Postgraduate Board meeting held on 17 November 2020 and the Graduate Research School Report for February 2021 were received. The Acting Dean commented that in addition to the proposed changes below, the School will convene quarterly a student advisory group including student learning services, doctoral student representatives and student services to improve engagement with postgraduate research activity. It was confirmed that the University Postgraduate Research Assessment Board will report on student performance of all doctoral students, not only PhD students as stated in the documentation appended to the Agenda.

It was resolved:

- **THAT Academic Board approve the recommendations of the University Postgraduate Board, namely;**
 1. **The University Postgraduate Board be renamed “University Postgraduate Research Board”**
 2. **The University Postgraduate Board - Part A (Strategy and Developments) be renamed “University Postgraduate Research Board of Studies”**
 3. **The University Postgraduate Board – Part B (Examination Board) be renamed “University Postgraduate Research Assessment Board”**
- **THAT Academic Board approves the updated University Postgraduate Research Board Terms of Reference and Delegated Authorities list**

Moved Associate Professor N Harris, Seconded Professor M Orams

5.7 University Scholarships Committee (no report)

6 Faculty Boards

6.1 Faculty of Business, Economics and Law

A Report from the Faculty of Business, Economics and Law Faculty Board meeting held on 3 February 2021 was received.

It was resolved:

- **THAT the Faculty of Business, Economics and Law Faculty Board Minutes for 3 February 2021 be accepted.**

6.2 Faculties of Culture and Society and Te Ara Poutama (no report)

6.3 Faculty of Design and Creative Technologies (no report)

6.4 Faculty of Health and Environmental Sciences (no report)

7 **Auckland University of Technology Ethics Committee (AUTEC) (no report)**

8 **Universities New Zealand – Te Pūkai Tara and other external bodies**

9 **Membership of Committees of Academic Board**

* 10 **Members' Business**

10.1 Interim safe supervision guidelines

Given that submissions were made by PhD students to the review into harassment and sexual harassment, Professor Waring urged the immediate adoption of interim safe supervision guidelines to protect both students and staff engaging in supervision meetings.

It was resolved:

THAT the following Interim Code of Conduct for supervision be implemented immediately:

- **Supervisors and students will not consume alcohol while in supervision meetings.**
- **During supervision meetings students can expect the door to be open or that the meeting occurs in an otherwise visible room.**

Moved Professor M Waring, Seconded Associate Professor E Henry

It was acknowledged that the imbalance of power between supervisors and graduate research students is significant with a supervisor having influence over progression of a student through, and subsequent to, the degree. It was also acknowledged that there is a range of potential conduct issues wider than those specified in the resolution above and that a code of conduct is needed.

It was resolved:

THAT a draft Code of Conduct for postgraduate research supervision be prepared through the agency of the Graduate Research School and brought back to Academic Board.

Moved Professor M Orams, Seconded Professor B Doolin

10.2 Pacific academic leadership at AUT

Concern was raised about Pacific academic leadership within the University in view of no appointment to the role of Professor of Pacific Studies since the departure of Professor Fairbairn-Dunlop; conflation of Pacific scholarship with Māori; the challenging completion rate amongst undergraduate Pacific students; the targeting of equity funding on recruitment in schools when the University struggles to maintain Pacific students currently enrolled; and, the future of the Pacific Media Centre following the retirement of Professor Robie. Concern was raised about the lack of equity funding within schools and the impact this on the ability for schools to be proactive in providing a welcoming and supportive environment.

The Dean of the Faculty of Design and Creative Technologies confirmed that the Pacific Media Centre sits within the School of Communication Studies and it is up to the School to decide what it will look like in the future. Assurance was given by Professor Littlefair that, whilst he is Dean, a Pacific Media Centre will remain.

It was clarified that equity funding is linked to the Investment Plan and subject to scrutiny by TEC. Professor Keiha assured Academic Board that no such funding has been used for anything other than what is set out in policy.

Although there was a motion that Academic Board advise Council that they consider replacement of the Professor of Pacific Studies and the Director of the Pacific Media Centre as a matter of urgency, there

was no seconder for the motion and there was debate about the legislative powers of Academic Board under S 182 (2) of the Education Act 198 in relation to 'advising' and 'recommending' to Council.

The Chair highlighted that the University needs to consider the role of the University in Pacific development in Tamaki Makaurau, Aotearoa and the wider region. Given that the University is not currently educating in the field of Pacific Studies, the shape of its contribution to the Pacific community and Pacific academic leadership needs to be clarified. In the absence of the AVC Pacific Advancement, the Deputy Vice-Chancellor will liaise with the Director of Strategy and Planning to provide data to a future Academic Board meeting on: TEC expectations; Pacific success rates; key areas of the university where research into Pacific matters is underway; how equity funds are deployed and rationale; current work with Pacific communities etc. so that informed consideration can be given to academic structure.

10.3 University's Covid-19 response

The default response in the event of another lockdown is for all learning to be online. Faculties and schools are responsible for making the necessary arrangements and communicating with their students. There should be no delay as there was in 2019 because the University is equipped to teach online. Student Services is working to find out how many new students would have equipment issues if another lockdown is necessary. The university has 435 laptops (in stock at PB tech), with some already on site at city and south.

11 Open Session/Debate

Date of next meeting Monday 29 March 2021, Agenda closes 19 March