

**AGENDA PAPERS**

**NOTICE OF COUNCIL MEETING**

**Notice is Hereby Given that a Meeting of the Council of Auckland  
University of Technology will be held:**

**AG127 Board Room,  
AUT North Campus**

**On: Monday, 31 July 2023**

**FROM: 3.30 – 6.00 PM**

**Andrea Vujnovich  
COUNCIL SECRETARY**



## AUCKLAND UNIVERSITY OF TECHNOLOGY COUNCIL

<b>Chancellor</b>	<b>Rob Campbell</b> Appointed by Council
<b>Pro-Chancellor</b>	<b>Sussan Turner</b> Appointed by the Minister of Education
<b>Members</b>	<b>Professor Damon Salesa</b> Vice-Chancellor of the Auckland University of Technology
	<b>Peter Treacy</b> Appointed by the Minister of Education
	<b>Janine Smith</b> Appointed by the Minister of Education
	<b>Sina Wendt</b> Appointed by the Minister of Education
	<b>Sara Youssef</b> Appointed by the Auckland University of Technology Student Association
	<b>Renata Blair</b> Appointed by Council
	<b>Leo Foliaki</b> Appointed by Council
	<b>Marama Royal</b> Appointed by Council
	<b>Welby Ings</b> Elected by the Academic Staff of the Auckland University of Technology

# PART A

# OPEN AGENDA



COUNCIL PART A OPEN AGENDA

**Council Agenda Part A (Open Agenda)**

**Monday 31 July 2023 at 3.30 pm at the AUT North Boardroom, AG 127-30, Akoranga Drive.**

**The formal meeting will be preceded by a health and safety korero at AUT North including a tour of the A1 project site. Please meet at 2.00 pm at AUT North Board room, AG 127-30, Akoranga Drive to be escorted.**

<b>Karakia</b>		
<b>Mihi – Chancellor</b>		
1. Welcome, Apologies and approval of agenda	The <b>Chancellor moves</b> that apologies from S Turner be <b>noted</b> , and that <b>Council approve</b> the assignment of agenda items to Part A and Part B of the Council agenda.	
2. Declaration/Recording of any Interests	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The <b>Chancellor moves</b> that the declarations, if any be noted and the action taken be <b>endorsed</b> .
3. Constitutional Matter, Conferment of Degrees, and Policy	3. Noting Chancellor delegation – Granting, Rescinding, Revoking and Amending Qualifications – July 2023	The <b>Chancellor moves</b> that the following be <b>approved</b> : <ul style="list-style-type: none"> <li>• See item 3</li> </ul>
4. Council Meetings	4.1 Council Meeting Draft Minutes Part A, 29 May 2023  4.2 Matters arising from the Minutes Part A of the Council Meeting, 29 May 2023 not elsewhere on the agenda.	The <b>Chancellor moves</b> that the Minutes Part A of the Council Meeting held on, 29 May 2023 be taken as <b>read</b> and <b>confirmed</b> .
5. Vice-Chancellor’s Report	5. Vice-Chancellor’s Report	The <b>Chancellor moves</b> that the <b>Vice-Chancellor’s Report</b> be received.

6. Chancellor's Report	6. Chancellor's Report	The <b>Chancellor moves</b> that the <b>Chancellor's Report</b> be received.
7. Reports from Boards, Committees and Working Groups to Council	7. Reports and Minutes from the Academic Board, 29 May 2023 and 26 June 2023	The <b>Chancellor moves</b> that <b>Reports and Minutes from the Academic Board, 29 May 2023 and 26 June 2023</b> be <b>received</b> – see item 7
8. Health, Safety, and Wellbeing Reports	8. Health, Safety and Wellbeing Reports for May & June 2023	The <b>Chancellor moves</b> that the <b>Health, Safety and Wellbeing Reports</b> be <b>received</b> .
9. Te Tiriti	No report	
10. Strategic Report	No report	
11. Student Success	No report	
12. Correspondence referred by the Chancellor	12. Letter Received 29 May 2023 from the Hon. Jan Tinetti, Minister of Education	The <b>Chancellor moves</b> that Letter from the Hon. Jan Tinetti be <b>received</b> and the following be <b>approved</b> : <ul style="list-style-type: none"> <li>• See item 12</li> </ul>
13. Other matters arising for decision or noting	13.1 Update from AUTSA	The <b>Chancellor moves</b> that the <b>Update from AUTSA</b> be <b>received</b> .
	13.2 Draft Meeting Schedule 2024	The <b>Chancellor moves</b> that the draft meeting Schedule 2024 be <b>received and approved</b> .
	13.3 Appointments to the AUT Ethics Committee	The <b>Chancellor moves</b> see item 13.3
14. Items moved from Part B of the agenda to Part A and General Business		

**PUBLIC EXCLUSIONS**

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure. This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting AND THAT W Lawson, L Williams, A Vujnovich and R Nottingham be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under section 9 of the OIA identified below.	Ground(s) under section 48(1) LGOIMA for the passing of this resolution
15. Council Minutes Part B of the Council meeting held on 29 May 2023	s 9(2)(b)(ii), s 9(2)(i), 9(2)(k)	7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)
18. Report from AUT Ethics Committee	s 9(2)(a), 9(2)(b)(ii), s 9(2)(i), 9(2)(g)(i)	s7(2)(a), 7(2)(c), 7(2)(j), 7(2)(F)(i), 7(2)(f)(ii)
19. Draft Minutes of Honours Committee, 24 July 2023	s 9(2)(a), s 9(2)(g)(i)	s7(2)(a), 7(2)(f)(i)
20.1 Draft Minutes of Finance and Audit Committee, 17 July 2023	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
20.1 Draft Minutes of People and Culture Committee, 17 July 2023	s 9(2)(b)(ii), s 9(2)(g)(i), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(f)(i), 7(2)(h), 7(2)(i), 7(2)(j)
22. Other Business		
22.1	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
22.2	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)



<b>PART A</b> <b>OPEN AGENDA ITEM</b>
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## Council Agenda Paper

**Subject:** Granting, Rescinding, Revoking and Amending Qualifications – July 2023

**Date:** 19 July 2023

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**RECOMMENDATION:**

*THAT COUNCIL NOTE THAT THE CHANCELLOR HAS EXERCISED A DELEGATION UNDER THE COUNCIL DELEGATION POLICY AND IN ACCORDANCE WITH THE GENERAL ACADEMIC STATUTE AND SECTION 283 OF THE EDUCATION AND TRAINING ACT 2020 TO:*

*JULY:*

- 1. GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2023 WINTER CORPORATE GRADUATION ON 3-4 AUGUST 2023;*
- 2. GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2023 WINTER OFFSHORE CYCLE ON 4 AUGUST 2023;*
- 3. GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE JULY 2023 MONTHLY QUALIFICATION CYCLE ON 20 JULY 2023;*
- 4. GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE JULY 2023 MONTHLY CERTIFICATE AND CERTIFICATE OF PROFICIENCY (COP) CYCLE ON 20 JULY 2023;*
- 5. REVOKE THE QUALIFICATIONS FOR THE STUDENTS ON THE ATTACHED SCHEDULE AHEAD OF THE 2023 WINTER GRADUATION ON 3-4 AUGUST; AND*
- 6. AMEND THE QUALIFICATIONS FOR THE STUDENT ON THE ATTACHED SCHEDULE.*

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The schedules are in Supplementary Papers.

<b>PART A</b>	
<b>OPEN AGENDA ITEM</b>	<b>4.1</b>

## **Council Agenda Paper**

**Subject: Minutes of Part A of the Council Meeting held on 29 May 2023**

**Date: 31 May 2023**

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**RECOMMENDATION:**

*THAT THE MINUTES PART A OF THE COUNCIL MEETING HELD ON 29 MAY 2023 BE CONFIRMED AS A TRUE AND CORRECT RECORD.*

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CONFIDENTIAL



## MINUTES

### **Council Meeting**

Meeting held Monday 29 May 2023 at 3.30 pm  
AUT South, Boardroom, MB317, 640 Great South Road

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<b>PRESENT:</b>	R Campbell (Chair); D Salesa; J Smith; R Blair (in Teams); P Treacy; L Foliaki and C Cook.
<b>SECRETARY:</b>	A Vujnovich, Council Secretary
<b>IN ATTENDANCE:</b>	W Lawson (Deputy Vice-Chancellor Academic), L Williams (Chief Financial Officer and AVC Finance and Infrastructure). For Part A: Valance Smith - Kaihoutu Tiriti Megan Skinner - Group Director, Strategy and Planning
<b>IN APOLOGY:</b>	S Turner, S Youssef, S Wendt and M Royal

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The Vice Chancellor opened the meeting with a Karakia and gave tribute to Her Royal Highness Princess Mele Siu'ilikutapu of the Kingdom of Tonga who has sadly passed.

The Chancellor noted that this is the last meeting for Corrie Cook who was elected by the professional staff of AUT. On behalf of Council, the Chancellor acknowledged Corrie for his contribution to the work of Council over many years and thanked him for his time and commitment to the University.

#### **PART A OPEN AGENDA**

It was noted that when Council meets at AUT North or AUT South, the meeting will start earlier and commence with a health and safety walk around that campus.

- 1 WELCOME, APOLOGIES AND APPROVAL OF AGENDA ITEMS**  
*THAT AN APOLOGY FOR ABSENCE FROM S TURNER, S WENDT, M ROYAL AND S YOUSSEF BE RECEIVED.*  
Resolved  
*THAT THE ASSIGNMENT OF AGENDA ITEMS TO PART A AND PART B OF THE COUNCIL AGENDA BE APPROVED.*
- 2 DECLARATION/RECORDING OF INTERESTS**  
The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.  
Resolved  
*THAT THE DECLARATIONS BE NOTED AND THE ACTIONS TAKEN BE ENDORSED.*
- 3 CONSTITUTIONAL MATTER, CONFERMENT OF DEGREES AND POLICY**
  - 3.1 Granting, Rescinding, Revoking and Amending Qualifications – April 2023**  
Received  
Memorandum for Granting of Qualifications – April 2023

Resolved

*THAT COUNCIL NOTE THAT THE CHANCELLOR HAS EXERCISED A DELEGATION UNDER THE COUNCIL DELEGATION POLICY AND IN ACCORDANCE WITH THE GENERAL ACADEMIC STATUTE AND SECTION 283 OF THE EDUCATION AND TRAINING ACT 2020 TO:*

*THAT COUNCIL NOTE THAT THE CHANCELLOR HAS EXERCISED A DELEGATION UNDER THE COUNCIL DELEGATION POLICY AND IN ACCORDANCE WITH THE GENERAL ACADEMIC STATUTE AND SECTION 283 OF THE EDUCATION AND TRAINING ACT 2020 TO:*

*APRIL*

1. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE APRIL 2023 MONTHLY CORPORATE CYCLE ON 20 APRIL 2023; AND*
2. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE APRIL 2023 MONTHLY CERTIFICATE AND CERTIFICATE OF PROFICIENCY CYCLE ON 20 APRIL 2023; AND*
3. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE 2023 AUTUMN OFFSHORE CYCLE ON 20 APRIL 2023.*

*MAY*

4. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE MAY 2023 MONTHLY CORPORATE CYCLE ON 18 MAY 2023; AND*
5. *GRANT QUALIFICATIONS TO THE GRADUANDS ON THE ATTACHED SCHEDULE FOR THE MAY 2023 MONTHLY CERTIFICATE AND CERTIFICATE OF PROFICIENCY CYCLE ON 18 MAY 2023; AND*
6. *REVOKE QUALIFICATIONS FOR THE STUDENTS ON THE ATTACHED SCHEDULE FOR THE MAY 2023 MONTHLY CORPORATE CYCLE ON 18 MAY 2023.*

**4 COUNCIL MEETINGS AND MINUTES OF PREVIOUS MEETING(S)**

**4.1 Minutes of the previous meeting**

Received

Draft Minutes (Part A), of the Special Council meeting held on 17 April 2023

Resolved

*THAT THE MINUTES (PART A) OF THE SPECIAL COUNCIL MEETING HELD ON 17 APRIL 2023 BE TAKEN AS READ AND CONFIRMED AS A TRUE AND CORRECT RECORD.*

**4.2 Matters Arising**

There were no matters arising from the Minutes (Part A), of the Council meeting of 17 April 2023 not elsewhere on the agenda.

**5 VICE CHANCELLOR'S REPORT**

Received

Vice Chancellor's Report

Noted in discussion

- In last year's budget, the Student Achievement Component (SAC) funding, which comprises around 75% of income for universities was budgeted to increase by 1.2% from January 2022 and 2.75% from January 2023 at a time when inflation was running at 6.9%.
- The announcement in the May 2023 budget, of a 5% increase in SAC from 1 January 2024 comes at a time when inflation remains high at 6.7%. The impact on University revenue with other

budgetary changes announced by Government, are not yet clear. It has been noted that other New Zealand universities have recently announced change programmes to meet projected their budget deficits.

- Recruitment for the Dean of the Faculty of Health and Environmental Sciences is progressing well, and interviews have been conducted for the role of Group Director - People and Culture.
- A new steering committee will be chaired by DVC- Research Mark Orams, to further the Sustainability Plan and give effect to our sustainability goals, including policies and programmes that promote sustainable practice. An update on the Plan will be provided to Council.
- The campus shuttle between South and City campuses operates at a significant loss and usage has decreased. Cost saving options are being explored and financial support is targeted to those students who have the most need.
- The approach to international student recruitment should be a centralized one led by Government and which focuses on NZ Inc. and promotes Auckland as an attractive destination for international students.

Resolved

*THAT THE VICE-CHANCELLOR'S REPORT TO COUNCIL BE RECEIVED.*

**6 CHANCELLOR'S REPORT**

No report

**7 PART A – REPORTS FROM BOARD, COMMITTEES AND WORKING GROUPS OF COUNCIL**

**7.1 Academic Board Reports and Minutes, 24 April 2023**

Received

Academic Board Reports and Minutes, 24 April 2023

Resolved

*THAT THE ACADEMIC BOARD REPORTS AND MINUTES OF THE MEETING HELD ON 24 APRIL 2023 BE RECEIVED.*

**7.2 Council Executive Committee, draft minutes 28 April 2023**

Received

Council Executive Committee Minutes, 28 April 2023

Resolved

*THAT COUNCIL:*

- 1. RECEIVE THE MINUTES OF THE COUNCIL EXECUTIVE COMMITTEE MEETING HELD ON 28 APRIL 2023; AND*
- 2. NOTE THAT COUNCIL EXECUTIVE COMMITTEE UNDER DELEGATION FROM COUNCIL HAS:*
  - a. RECEIVED THE FINAL 2022 ANNUAL REPORT;*
  - b. NOTED THAT THE ANNUAL REPORT 2022 HAS BEEN PREPARED IN ACCORDANCE WITH SECTION 306 (4) OF THE EDUCATION AND TRAINING ACT 2020 AND WITH SECTIONS 154 - 157 OF THE CROWN ENTITIES ACT 2004 IN RESPECT OF THE 2022 ACADEMIC YEAR;*
  - c. APPROVED THE ANNUAL REPORT 2022; AND*
  - d. GIVEN THE ANNUAL REPORT 2022 TO THE MINISTER IN ACCORDANCE WITH SECTION 306(1) OF THE EDUCATION AND TRAINING ACT 2020.*

**8 HEALTH, SAFETY AND WELLBEING REPORTS**

**8.1 Health, Safety and Wellbeing Reports, March and April 2023**

Received

Health, Safety and Wellbeing Reports, March and April 2023

Noted in discussion

- The HSW report will be amended to include data that is included in the HSW reports of other universities.
- It was noted that health and safety reporting is often through different divisions of the University and not necessarily, through the health and safety division. The estates and health and safety reporting platforms, require integration, and an update will be provided to Council.
- HSW is working with the various areas of the university that take students off campus and which involve additional risks. AUTSA also arrange off site student activities that could involve risk and the HSW team will liaise with AUTSA regarding the risk that is carried by AUTSA as a separate legal entity.

Resolved

*THAT THE HEALTH SAFETY AND WELLBEING REPORTS FOR MARCH AND APRIL 2023 BE RECEIVED.*

**9 TE TIRITI REPORT**

Received

Te Aronui Report

Noted in discussion

- A Te Tiriti workshop will be scheduled for Council.
- Te Aronui will be communicated to staff once approved, through a communication plan including brand development, use of social media and webpage updates to incorporate Te Aronui.
- A number of workshops are planned to educate the AUT community on Te Tiriti, Te Aronui and use of Te Reo Māori, so Te Reo Māori and tikanga Māori is incorporated into the everyday life and business of AUT. The vision is to establish a culture that views Te Tiriti as an opportunity to grow and prosper as a university. The kaupapa is to enable our people to implement Te Tiriti and honouring actions in the core work of the University.
- AUT recognises tangata whenua and its commitment to Te Tiriti. AUT has a number of different, and significant iwi with the different locations of its campuses. Each iwi will be invited by AUT into discussions in regard to Te Aronui to inform its implementation.

Resolved

*THAT COUNCIL APPROVE IN PRINCIPLE AND ENDORSE TE ARONUI - AUT'S TE TIRITI FRAMEWORK NOTING THAT THIS WORK IS ONGOING AND WILL BE IMPLEMENTED ACCORDING TO AGREED TIMEFRAMES*

**10 STRATEGIC REPORTS**

Received

AUT Strategy Report

Noted in discussion

- The current strategic plan is not fit for purpose and the recommendation is to develop a new strategy that focuses on the end goal, allows a longer planning horizon and provides clarity in planning. This would include high-level vision, mission, and outcomes, supported by plans that outline the initiatives and actions to achieve the outcomes, as well as metrics and measures to monitor progress.
- There is currently limited differentiation between other university plans, and the new plan provides an opportunity to differentiate AUT. It is proposed that AUT takes an outcomes-based approach to consultation to ensure consultation focusses on what AUT wishes to achieve in Tamaki-Makaurau, Aotearoa and beyond.
- AUT is committed to:
  - Upholding Te Tiriti for the benefit of all our communities.
  - Ensuring student success.
  - Impactful research benefiting people and the planet.

- Sustainable operations.
- Excellence and equity in education and research.
- Areas of differentiation are:
  - Embracing AUT as a university of technology.
  - Deepening engagement, locally, nationally, and internationally.
- The process will take 8 months and will include consultation with Council and senior leaders and engagement with mana whenua. It would also include key engagements with various internal Committees and through the Te Pae Tata forum, coinciding with Tērā te Haeata at Matariki.
- Consultation with key stakeholders will focus on students and staff, and with key priority groups, including Māori and Pacific staff and students, and students with disabilities. Discipline sessions will also be held. There will be further consultation with mana whenua, selected school principals, TEC, Alumni, industry representatives and employers, professional accreditation bodies and other key stakeholders.
- A draft strategy will be presented to Council at its December meeting and will be finalised and approved by Council at its meeting in February 2024.

Resolved

*THAT THE COUNCIL:*

- *RECEIVE THE REPORT AND APPROVE THE PROPOSED APPROACH AND TIMELINE FOR THE DEVELOPMENT OF A NEW AUT STRATEGY; AND*
- *COUNCIL PROVIDE INPUT INTO THE STRATEGY AT A SPECIAL COUNCIL MEETING THE WEEK OF 26 JUNE 2023.*

**11 CORRESPONDENCE REFERRED BY THE CHANCELLOR**

**12 OTHER MATTERS FOR DECISION OR NOTING**

**12.1 Update from AUTSA**

No Update

**13 GENERAL BUSINESS AND ITEMS MOVED FROM PART B TO PART A**

**RESOLUTION TO EXCLUDE THE PUBLIC**

The Chancellor moved that the public be now excluded from Part B of this meeting, and that the following matters be discussed without public disclosure. This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and section 9 of the Official Information Act, as the case may require, which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting **AND THAT** W Lawson, L Williams, and A Vujnovich be permitted to remain at this meeting, after the public has been excluded, because of their knowledge of the matters to be discussed in the proceedings while the public are excluded. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to these matters because they relate to aspects of the administration of AUT for which those persons are responsible.

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter. The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under section 9 of the OIA identified below.	Ground(s) under section 48(1) LGOIMA for the passing of this resolution
15. Council Minutes Part B of the Special Council meeting held on 24 April 2023	s 9(2)(b)(ii), s 9(2)(i), 9(2)(k)	7(2)(f)(i), 7(2)h, 7(2)(i), 7(2)(j)

Formal Council Agenda 31 July 2023 - 4. Council Meeting Items Part A

16. Report from AUT Ventures	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
17. Report from AUT Millennium	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
18. 2022 Annual HRCEC Report from AUT Ethics Committee	s 9(2)(a), 9(2)(b)(ii), s 9(2)(i), 9(2)(g)(i)	s7(2)(a), 7(2)(c), 7(2)(j), 7(2)(F)(i), 7(2)(f)(ii)
20.1 Draft Minutes of Finance and Audit Committee held on 22 May 2023	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)
20.2 Draft Minutes of People and Culture Committee held on 17 April 2023	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	s7(2)(b), 7(2)(h), 7(2)(i), 7(2)(j)

**Item 4.2 Matters Arising from the Minutes Part A**

COUNCIL AGENDA ITEM	ACTION	WHO	STATUS
22 February 2021	<b>5. Vice Chancellor’s Report</b> <ul style="list-style-type: none"> <li>In 2021, a Council meeting will be hosted in AUT’s wharenuī.</li> </ul>	Tumuaki / Dean Te Ara Poutama	Open
31 May 2021	<b>3.3. Equal Opportunities Policy</b> <ul style="list-style-type: none"> <li>The Treaty of Waitangi should be referenced in the policy or alternatively, expressed in a Council Charter.</li> <li>A paper will be drafted regarding a policy on Te Tiriti and each Council policy should be reviewed at each Annual General meeting.</li> </ul>	Tumuaki / Dean Te Ara Poutama	Council Charter - Ongoing  Fourth Quarter 2021
29 May 2023	It was noted that when Council meets at AUT North or AUT South, the meeting will start earlier and commence with a health and safety walk around that campus.	Council Secretary	31 July 2023
	<b>5 VICE CHANCELLOR’S REPORT</b> <ul style="list-style-type: none"> <li>A new steering committee will be chaired by DVC - Research Mark Orams, to further the Sustainability Plan and give effect to our sustainability goals, including policies and programmes that promote sustainable practice. An update on the Plan will be provided to Council.</li> </ul>	DVC - Research Mark Orams	30 October 2023
	<b>8.1 Health, Safety and Wellbeing Reports,</b> <ul style="list-style-type: none"> <li>It was noted that health and safety reporting is often through different divisions of the University and not necessarily, through the health and safety division. The estates and health and safety reporting platforms in particular, require integration, and an update will be provided to Council.</li> </ul>	Director Health, Safety & Wellbeing – Dave Pinchen	31 July 2023
	<b>9 TE TIRITI REPORT</b> <ul style="list-style-type: none"> <li>A Te Tiriti workshop will be scheduled for Council.</li> </ul>	Valance Smith - Kaihautu Tiriti	Date in Planning



<b>PART A</b>	<b>5</b>
<b>OPEN AGENDA ITEM</b>	

## Council Agenda Paper

Subject: **VICE-CHANCELLOR'S REPORT**

Date: **31 July 2023**

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### **RECOMMENDATION:**

*THAT THE VICE CHANCELLOR'S REPORT BE RECEIVED*

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In June, I travelled to Europe, visiting Germany (as a guest of the German government) and the United Kingdom. I have had the opportunity to meet leaders at a range of organisations, including from the Technische Universität Berlin (TU Berlin) and a German association of Tech universities (the TU9), from educational and science institutions in Britain, as well as some proud alumni at AUT alumni events in Berlin, London, and Oxford.

AUT recorded its highest position in the latest QS World University Rankings, rising 79 places on last year's rankings to sit equal 407th in the world.

Te Wānanga Aronui o Tāmaki Makau Rau (AUT) celebrated Matariki in July with a programme of events for students and staff from 13 to 21 July. This began with our annual Kawe Aroha remembrance service and concluded with Matariki ki Waihorotiu, an inaugural staff celebration, which brought together tangata whenua Ngāti Whātua Ōrākei, a contingent from Waipapa Taumata Rau the University of Auckland and our AUT colleagues, staff, and whānau. It was a significant moment and signal change for our university, as we launched our Te Tiriti Framework - Te Aronui and presented a proposal for our new staff awards – Ngā Whakamānawa o Matariki.

In my address to the University at Matariki ki Waihorotiu, I laid out three wero or challenges as we go into consultation on a new strategy for AUT. These challenges were to consider:

1. The value and future of education and the need to be ready for students.
2. Our purpose and kaupapa as a “University of Technology” and our unique position and way of doing things.
3. How we must face into a radical transformation of student outcomes.

In the past two decades, our journey has been that of uniformity. We were striving to be more like other universities. This is not the next part of our journey. We are New Zealand's most different university. Our focus must be to deepen and anchor that difference, and to build it into our strategy alongside Te Aronui. The Matariki star cluster heralds the new year for Māori, but this year it has also heralded a step change for our university towards being Te Tiriti aligned and enacting.

### **HIGHLIGHTS**



- Te Aronui AUT's Te Tiriti Framework was officially unveiled and launched at AUT's inaugural Matariki staff celebration and is now available on [Tuia](#).
- The first Matariki ki Waihorotiu annual staff celebration event was held on Friday, 21 July, at the City Campus. A viewing party was organised remotely for staff at South Campus in MH102, with shuttles organised for North Campus and South Campus staff who wished to travel in.
- A proposal for the new University Awards to replace the AUT Excellence Awards was announced at the Matariki event. Called [Ngā Whakamānawa o Matariki](#), nine awards are proposed across three categories – educating, research and professional services, each award linking to a star in the Matariki cluster. Consultation on the proposal will run till the end of August.
- After a rigorous recruitment process, Stephen Davies will be AUT's new Group Director People and Culture commencing at the end of October. Stephen has held leadership roles in Te Whatu Ora Health NZ, the University of Auckland, and Auckland Council. He will join the Executive Leadership team, and I look forward to working with him.
- Jayne Mayerhofler has accepted the position of Acting Chief Marketing Officer (CMO) for twelve months starting in July, taking over from Luke Patterson.
- Professor Ben Wooliscroft has been appointed via secondment as the Academic Lead PBRF for AUT, based within Te Kāhui Poipoi Rangahau (TKPR) AUT Research Office.
- A [Te Pae Tata leadership series](#) event took place on 11 July and focused on student support, particularly around the challenges facing students and how AUT can support them. The panel was facilitated by the Vice-Chancellor and involved the Student President Sara Youssef, Te Kaiwhakahaere Te Tari Takawaenga (Māori Student Support Manager) Hariata Mareroa, Group Director Student Services and Administration Joanna Scarbrough and Rainbow Student Inclusion Manager Jessie Lewthwaite.
- AUT will have its first AUT Research Week in September, including several events, showcases and symposia from AUT's five faculties.
- A new pastoral care training is now available to AUT staff. The new [pastoral care eLearning module](#) is designed to increase understanding of student pastoral care and the ability to recognise student struggles, respond to them, and refer students to AUT's support services.
- The AUT Winter Graduation 2023 will occur on the 3-4 August at the Aotea Centre.
- AUT's biggest recruitment event of the year, [AUT LIVE](#), will occur on Saturday, 26 August.

## **PRIORITY 1: TE TIRITI O WAITANGI**

### **1.1 Te Aronui**

Te Aronui, AUT's Te Tiriti Framework, was unveiled and launched at the Matariki ki Waihorotiu Staff Celebration on Friday, 21 July and is now available to staff via [Tuia](#). The framework will subsequently be released to students via the student communications channels and externally to the public with a media release and on the [AUT website](#).

The framework has been developed after more than a year of consultation and co-creation with tangata whenua and tangata Tiriti, and a body of work spanning several years before this. It is intended to provide AUT with guidelines to give life to Tiriti honouring actions.

### **1.2 Matariki**

The Kaihautū Te Tiriti, the Office of Māori Advancement, Te Tari Takawaenga Māori and the Office of the Vice-Chancellor delivered a series of events on all three campuses to mark Matariki. The programme of events commenced with the annual Kawe Aroha service on Thursday, 13 July, which was open to staff, students, the wider community and whānau. Matariki events concluded with the Matariki ki Waihorotiu staff event on Friday, 21 July. Events for students and staff were hosted from the 17-20 July at North, South and City Campuses.

### **1.3 Aronui Ora Development Opportunities**

In support of Te Aronui, Kaihutū Tiriti Dr Valance Smith has been working alongside People and Culture to develop AUT staff opportunities to build cultural capability around Te Tiriti o Waitangi. Called Aronui Ora, the workshops are being piloted with 120 people leaders. More information about the programme of workshops can be found on [Tuia](#).

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## **PRIORITY 2: EXCELLENCE AND EQUITY IN EDUCATION AND RESEARCH**

### **2.1 Learning and Teaching**

Final results from Semester 1 are still being processed, but verbal reports from faculty leaders suggest that the Semester 1 results showed improved pass rates from this time last year and from Semester 2 last year and that attendance rates during the Semester were also better than last year. The University has been piloting Feedback Fruits, a programme that integrates with Canvas and provides an opportunity for systematic and seamless feedback for students individually and in groups. The full suite of tools is now available to the University and will be rolled out this semester. The timing of this dovetails with work that has been ongoing about the development of assessment principles for the University. A set of draft principles has been developed and discussed with Associate Deans Academic and will shortly be made available for wider consultation.

### **2.2 PBRF Quality Evaluation**

- TEC has released its [In-Principle Decisions for Sector Reference Group Papers 9 and 10](#) (Technical Matters and Recognising the Impact of the COVID-19 Pandemic).
- A summary of all in-principle decisions will be released in August, aligning with the consultation on TEC's draft PBRF Quality Evaluation Guidelines.
- Initial panel member appointments have been announced. Seven academics from AUT have been appointed as initial panel members in addition to the two-panel co-chairs, Gail Pacheco (BEL) and El Shadan Tautolo (HES). They are Nicola Brasch (HES), Hannah Buckley (HES), Scott Duncan (HES), Ella Henry (BEL), Alison McIntosh (CSOC), Tom Scott (BEL), and Felix Tan (DCT). At this early stage, AUT staff are represented on 50% of the TEC panels.
- AUT is in the process of appointing its Academic Lead, PBRF.

**2.3 Research Funding**

ERI	<i>Source - TechOne</i>
2017 (unweighted)	\$11.458M
2017 (weighted)	\$13.955M
2018 (unweighted)	\$15.089M
2018 (weighted)	\$16.699M
2019 (unweighted)	\$15.3M
2020 (unweighted)	\$13.47M (est. as at 30/11/2020)
2021 (unweighted, unaudited)	\$17.30M (est. as at 31/12/2021)
2022 (unweighted, unaudited)	\$22,960,559M (est. as at 31/12/2022)
2023 (unweighted, unaudited)	\$9,416,369M (est. as at 31/05/2023)

*Note: Unweighted means that ERI is not weighted according to TEC guidelines e.g., 1x for NZ government contestable funds; 1.5x for overseas; 2x for NZ non-government*

**External funding activity**

<b>MBIE</b>	<p><b>Submissions</b></p> <ul style="list-style-type: none"> <li>TKPR met with the Faculty Research Managers for the planning of 2024 MBIE Endeavour submission.</li> <li>TKPR met with potential applicants to plan and work on their 2024 MBIE applications.</li> </ul>
<b>HRC</b>	<p><b>Submissions</b></p> <ul style="list-style-type: none"> <li>2023 NZ-China Biomedical Research Alliance – submitted 1 AUT led application and 2 as collaborators (1 led by University of Auckland (UoA), 1 led by Unitec).</li> <li>2024 Career Development Awards – 2 Clinical Research Training Fellowship applications in development for 4 July deadline.</li> <li>2024 Project EOIs – 11 applications in development for 12 July deadline.</li> </ul>
<b>Government</b>	<p><b>Submissions:</b></p> <ul style="list-style-type: none"> <li>Department of Prime Minister &amp; Cabinet RFP' Insights to build an empirical picture of the disinformation landscape' – AUT submitted a cross Faculty (BEL/DCT/CSOC) response.</li> </ul> <p><b>Results:</b></p> <ul style="list-style-type: none"> <li>Teaching &amp; Learning Research Initiative (TLRI) 2023 EOI outcomes – 1 of 3 AUT submitted EOI applications invited to full proposal round.</li> <li>Department of Corrections – contract signed for negotiated project led by Prof Alice Theadom and team.</li> </ul>
<b>Royal Society of New Zealand</b>	<ul style="list-style-type: none"> <li>RSNZ Catalyst Seeding and Leaders applications are due 17 July.</li> <li>RSNZ Rutherford Foundation Postdoctoral Fellowships are due 31 July.</li> <li>5 full Marsden Grant applications submitted; 1 Marsden full application submitted by UoA with an AUT AI</li> <li>1 awarded Marsden grant in the process of being transferred to AUT from Otago University</li> </ul>
<b>National Collaborations</b>	<p><b>Submissions</b></p> <p><b>Results:</b></p> <ul style="list-style-type: none"> <li>2 Nga Pae CoRE applications were successful.</li> <li>1 Healthy Heart CoRE contract was received.</li> <li>1 Dodd-Walls CoRE contract was received</li> </ul>
<b>Community</b>	<p><b>Submissions</b></p> <ul style="list-style-type: none"> <li>1 Auckland Medical Research Foundation grant application submitted.</li> <li>1 Maurice Phyllis Paykel Trust grant application submitted.</li> </ul>

## Horizon Europe

Horizon Europe, the European Union's key funding programme for research and innovation, is now open to applications from New Zealand researchers. Te Kāhui Poipoi Rangahau is working through an initial plan to support AUT staff to win Horizon Europe research funding during the early stages of access to the fund.

### 2.4 Graduate Research School

- 64% increase in PhD applications compared to last year (source Uni Assist). The increase might be slightly smaller if we consider that we changed the process for doctoral enquiries mid-year last year.
- 132 New PhD admissions. Admissions increased by 7% compared to 2022 (year to date).
- Master and doctoral candidates were invited to participate in the 2023 AUT 3 Minute Thesis (3MT) competition. Finalists have been determined, and 3MT will be held on 4 August. The doctoral category winner will participate in the 2023 Virtual Asia-Pacific 3MT Competition.
- A fourth Doctoral Induction will take place at the Ngā Wai o Horotiu marae on 31 August.
- Inaugural Doctoral Exit Survey results have been received and will be presented for discussion at the next University Postgraduate Research Board meeting.
- A contract has been signed with Cayuse for a Graduate Education Manager (GEM) and Ethics Monitor software. The anticipated start of the discovery phase for GEM is August 2023. GEM enables a 360-degree view of the entire postgraduate programme process. AUT is the fourth university in New Zealand to adopt GEM.

### 2.5 Research Week 2023

The Graduate Research School RED team is launching AUT's inaugural Research Week from 4-8 September 2023. The theme of the event is 'Celebrating Research'. The official programme was released in June and is available on Tuia, the [AUT website](#), and other postgraduate channels.

The programme features:

- An opening breakfast with Deputy Vice-Chancellor Research Professor Mark Orams
- Research events, showcases, and symposia from every faculty.
- Over a dozen panel discussions and workshops on research impact, Vision Mātauranga, PBRF, open access publication, Tiriti-led research, commercialisation, IP, research integrity, research support services, and more.
- An Early Career Academics Symposium
- A Māori Research Symposium
- A Pacific Research Symposium
- The annual Postgraduate Research Symposium
- Opportunities to get expert assistance with research profiles and AUT research software.

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## PRIORITY 3: ENVIRONMENTAL AND FINANCIAL SUSTAINABILITY

### 3.1 Financial Performance (detail in Appendix 2)

Overall, the year-to-date (YTD) net surplus variance to budget of \$3.0M reflects the impact of lower student-derived income, unbudgeted salaries, and higher operating costs, partially offset by other income.

The full-year forecast projects a break-even from operations, which is \$6.1M behind budget. The forecast position reflects the impact of unbudgeted salaries and higher operating costs, offset by net improvement in student-derived income due to international fees and other income.

### 3.2 Student enrolment update (detail in Appendix 1)

- **Overall, AUT has achieved 98% of its total (domestic and international) 2023 budget**, compared to 95% of the 2022 budget this time last year.
  - This improvement in progress towards the total EFTS budget is due to a lower domestic target and improved international intake.
- **Domestic EFTS** are down on the same time last year due to a drop in both new and returning EFTS.
  - In previous reports, year-to-date new EFTS appeared up at the same time last year because of a change in pattern to the enrolments of clinical health science students compared to 2022. In this update, we are seeing a more accurate picture of the year-on-year change in new domestic EFTS.
  - This year, new to programme domestic EFTS are down as a result of a decrease in the intake of clinical health degrees down to a more sustainable level, as well as a decrease in the Bachelor of Education (Specialty) Teaching, Bachelor of Arts, Bachelor of Science, Bachelor of Laws, and Bachelor of Business.
    - There are more new domestic enrolments in DECT programmes, such as the Bachelor of Computer and Information Science, Bachelor of Construction, Certificate in Science and Technology, and Bachelor of Architecture, due to increased enrolments in DECT programmes from students under the age of 20. As a result, overall, new domestic enrolments from students aged under 20 did not decrease.
    - The overall decrease in new domestic enrolments was mostly in students aged 20-30.
  - Most years, year to date domestic funded EFTS is within 250 (1.5%) of our full-year EFTS at this time.
- **International EFTS** have already exceeded the 2023 budget target as a result of a large increase in new to programme International full fee EFTS.
  - China remains AUT's largest source of new programme International Full fee students, followed by India, Canada, Vietnam, and South Korea.
    - The largest increase in 2023 new international students compared to the same time last year came from those who identified themselves as citizens of India, followed by China and Canada.
  - Most years, year-to-date international EFTS are within 250 (8%) of our full-year EFTS currently.

	Domestic SAC		International		Total	
	2023	2022	2023	2022	2023	2022
YTD EFTS	15,471	16,662	2,318	1,682	17,789	18,344
% of target	94%	94%	139%	104%	98%	95%

Year to Date **Domestic SAC** enrolments (2023, compared to the same time in 2022):

- Total: down -7% (-1,191 EFTS)
- New to Programme: down -6% (-399 EFTS)
- Returning: down -8% (-792 EFTS)

Year to Date **International Full Fee** enrolments (2023, compared to the same time in 2022):

- Total: up 38% (+636 EFTS)
- New to Programme: up 133% (+730 EFTS)
- Returning: down -8% (-94 EFTS)

Year to Date **Māori Domestic SAC** enrolments (2023, compared to the same time in 2022):

- Total: down -11% (-216 EFTS)

New to Programme: down -16% (-131 EFTS)  
Returning: down -8% (-85 EFTS)

Year to Date **Pacific Domestic SAC** enrolments (2023, compared to the same time in 2022):

Total: down -12% (-345 EFTS)  
New to Programme: down -14% (-176 EFTS)  
Returning: down -10% (-169 EFTS)

Year to Date **Pre-Degree** enrolments (2023, compared to the same time in 2022):

Total: up 4% (+37 EFTS)  
New to Programme: up 15% (+108 EFTS)  
Returning: down -31% (-70 EFTS)

Year to Date **Undergraduate** enrolments (2023, compared to the same time in 2022):

Total: down -7% (-979 EFTS)  
New to Programme: down -4% (-212 EFTS)  
Returning: down -8% (-767 EFTS)

Year to Date **Postgraduate** enrolments (2023, compared to the same time in 2022):

Total: up 12% (+376 EFTS)  
New to Programme: up 36% (+441 EFTS)  
Returning: down -3% (-65 EFTS)

### **3.3 University key performance indicators (detail in Appendix 3)**

### **3.4 Marketing and Recruitment**

May and June saw an increase in activity year on year, with new leads acquired increasing 43% for May and 40% for June.

#### **Recruitment**

Enquiries increased year-on-year across most of the recruitment platforms. Of note were phone calls increased 24% in June, and 1:1 course counselling increased 31% across the two months.

Lead capture by the secondary schools' team increased by 81% across the two months, reflecting higher attendance at presentations and events as concerns around COVID-19 have decreased compared to last year. The total presence across all activities was almost 34,000 people, contributing to AUT brand awareness.

Seven events were planned and hosted across May and June, including a Coaching and Leadership Day collaboration between Harbour Sport, the School of Sport and Recreation, Marketing and Recruitment, and Pacific Island Leaders of Tomorrow (PILOT). The AUT LIVE invite has been sent out to around 30,000 people.

#### **Domestic marketing**

Themed-based video and static digital advertising went live on May 10 on Meta platforms and Google Display Network. Video advertising drove 76% of the traffic to landing pages, with the landing pages for Health & Wellbeing and Business & Enterprise being the most popular. Over 15,000 ad clicks came through Meta platforms. In June, a webinar was held for mature students looking to study in semester 2 – attendance was 110 people, with 270 registrations approx.

#### **International marketing**

An India PG campaign was completed and launched with a strong early response. A P2P Pilot programme is being developed where 5 International Student Experience Representatives will provide peer-counselling and blog content to international prospects through a P2P app. The planned launch date is 8 August.

### 3.5 Places and Spaces

During the reporting period, the Estates Group was focused on the following key areas:

- Implementation of the Estates FRP change proposal.
- Preparation for the implementation of the new Integrated Workplace Management System (IWMS).
- Delivery of Estates Business Plan 2023 services and projects.
- A1 Project implementation and delivery of Planned Maintenance/Minor Capital Works programme.
- Active management of all property and services-related risks within resource constraints.
- Planning for upcoming lease terminations.

#### Major Capital Works

##### A1 Project

- A1 construction proper was tracking 43 days behind programme but on budget.
- Delays included 36 inclement weather days.
- Construction progress is excellent and progressing on all work faces.
- Loose FF&E was priced and on budget, with ordering on hold until Q3.
- Contingency usage was on track with \$1.86M remaining (of \$5m).

##### AX Exit Project

- 4x work construction packages were underway at various stages on the North and South campuses.
- Completion was due Q3/Q4.

##### WD Refurbishment Project

- Project on hold until overall property strategy is reviewed.

##### WQ Retail fitout project

- Project on hold until overall property strategy is reviewed.

#### Security

- There were 64 occurrences reported in May and 42 occurrences reported in June 2023, the categories of note being:
  - A total of 15 incidents of suspicious behaviour over the two months, whereby security involvement prevented crimes from occurring. The Campuses continued to be probed by opportunist thieves, some of whom are well known to Security staff and Police; 3 individuals have been trespassed from the City Campus but continue to return.
  - There were 26 incidents of intoxication or behavioural concern reported. The majority of these were from student residences and consistent with end-of-term celebrations. Several members of the public were also asked to leave campus due to their intoxication.

#### Emergency Management

- This was a quieter period. MET SERVICE provided 1 x 'heads up' regarding high winds, rain and thunderstorms; Campus FM was advised, and precautions were taken.
- The Interim Emergency Management Team (EMT) members were advised only.

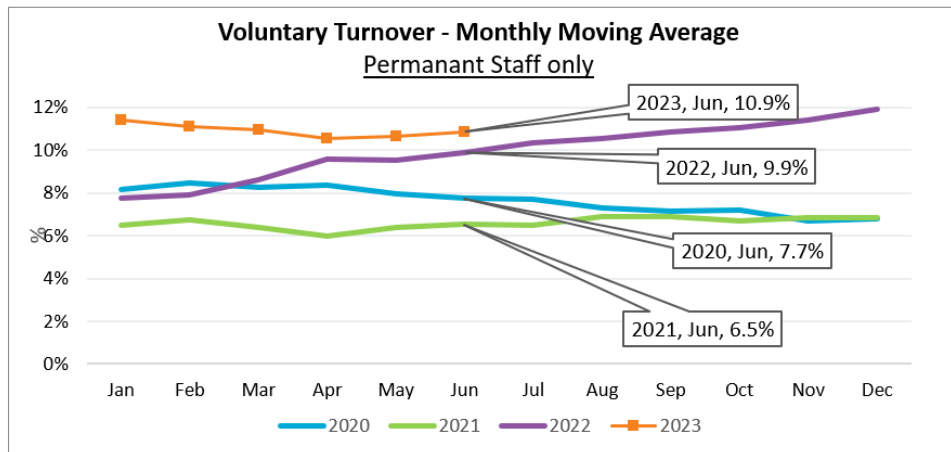
### 3.6 Staff diversity statistics (June 2023)

Staff count	Occupation	
1,913.9 FTE (100%)	Academic	Professional

				891.8 (46.6%)	1,022.2 (53.4%)			
<b>Gender</b>				<b>Annual Turnover Rate</b>				
<b>Female</b>	60.3%	<b>Gender diverse</b>	0.5%	<b>6.9%</b>	<b>14.8%</b>			
<b>Male</b>	38.3%	<b>Not disclosed</b>	0.9%					
<b>Ethnicity (excluding hourly paid)</b>								
<b>Academic (46.6%)</b>				<b>Professional (53.4%)</b>				
<b>NZ Māori</b>	7.4%	<b>African</b>	1.2%	<b>NZ Māori</b>	5.7%	<b>African</b>	0.8%	
<b>Pacific</b>	4.3%	<b>Other</b>	4.9%	<b>Pacific</b>	7.6%	<b>Other</b>	5.1%	
<b>Asian</b>	17.3%	<b>European</b>	9.1%	<b>Asian</b>	28.8%	<b>European</b>	4.2%	
<b>Middle Eastern</b>	2.4%	<b>NZ European/Pākehā</b>	45.4%	<b>Middle Eastern</b>	1.5%	<b>NZ European/Pākehā</b>	38.2%	
<b>Latin American</b>	1.2%	<b>No declaration/Blank</b>	6.7%	<b>Latin American</b>	1.0%	<b>No declaration/Blank</b>	7.0%	

53.4% of Senior staff roles are held by men, and 46.5% are held by women. 14 Senior staff roles are held by Māori and Pacific women, and 10 Senior staff roles are held by Māori and Pacific men.

### 3.7 People and Culture – Voluntary Turnover



- The trend for voluntary turnover showed a consistent marginal drop in 2023 with a turnover rate of 10.9% in June, 1.0% higher than the corresponding period of the previous year.
- The highest point was observed in the Q4 of 2022, which coincided with the announcement of the Financial Recovery Plan.

### 3.8 Visa Management

Visa Management activities concluded for most new and returning international students for Semester 1 with a few exceptions. These were predominantly students whose visas expired outside the standard dates, most of whom were enrolled in doctoral programmes. Operational planning also commenced for Semester 2 visa management activities for both new and returning students as the last arrival dates for international students were finalised by faculties.

Immigration New Zealand continued to regularly liaise with Service Manager (Records & Compliance) to confirm student details for any pending student visa applications for whom further information was required.



### 3.9 Student Accommodation

Over June, the village quietened down with exam preparation and study time. By late June, many residents have left for the Semester break, and the accommodation team is preparing for Semester 2 intake.

Semester 2 occupancy intake indication reflects similar levels as Semester 1. Comprising extending semester only and new resident intakes for Wellesley Student Apartments (WSA) and Akoranga Student Village (ASV), with Te Āhuru Mayoral Drive Student Accommodation (WQ) increasing based on reserve and tentative status.

WSA is tracking ahead 95% of the budgeted 90% in Semester 1, with Semester 2 estimated to drop to 90% based on applications (reserved including tentative status). WQ reached contractual guarantee occupancy at 96% (598 rooms) in Semester 1 and is expected to remain the same for Semester 2 based on tentative status.

ASV occupancy at 152, lower than in prior years (traditionally above 90%). This may be related to several factors, including the online provision of papers, high cancellation (did not meet AUT admissions), a significant drop in returning residents and the impact of high price point vs facility quality due to cost-of-living affordability. With 16 rooms currently flood-damaged and under remedial work, this will counteract the financial shortfall in the guarantee. Semester 2 is expected to reach similar occupancy.

Below is the Resident Occupancy profile breakdown for 2023:

	WSA	ASV	WQ
<b>Rooms occupied</b>	368 (74%)	152(80%)	596+49 = 646
<b>Reserved &amp; Tentative/ processing</b>	98	38 applications for S2 26 reserved 9 offered.	23- Held 22 - Tentative 45 - Total
<b>Vacant rooms – Semester One</b>	30 (excluding showroom and rooms in U18 apartments)	36(not including rooms out of order)	52
<b>No of beds</b>	496	204 (16 to be adjusted for 2023 Semester 1 due to flood & damaged rooms)	697 391 North Tower 306 South Tower (Occupancy guarantee – 597 room)
<b>Domestic</b>	338 (91%)	125	301 (40%)
<b>International</b>	30 (8%)	27	257 (60%)
<b>Study abroad students</b>	0	TBC	3 (TEINS)
<b>New intakes</b>	0	1 (Short Term)	
<b>Returning</b>	116	34	
<b>Term - Full year</b>	265	112	458 (43 full year beyond Nov)
<b>Term: Semester One only</b>			
<b>Scholarship &amp; other (financial assistance) residents</b>	5	8	302
<b>U18 Residents</b>	2	1	0

### 3.10 AUT Foundation

- For the period (year-to-date) ending 30 June. AUT has received a total of \$912,192.20 from 239 donors.
- For the period 1 June to 30 June 2023, AUT has received \$173,223.23 from 46 donors.
- For the period 1 May to 31 May 2023, AUT has received \$59,747.81 from 45 donors.

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## PRIORITY 4: STUDENT SUCCESS

#### **4.1 Ki Uta Ki Tai**

The Ki Uta Ki Tai Student Success Steering Group has met three times and, over the last two meetings, has reviewed the intervention logic mapping associated with Ki Uta Ki Tai in which each of the initiatives has been mapped to short-term and longer-term outcomes, which in turn have been mapped to long term impacts. The next step is to prioritise the various initiatives, noting that some are already underway, including developing Uni101, streamlining enrolment processes, reviewing mediated pathways, and developing a plan for “trip” courses (courses with lower pass rates). The Ki Uta Ki Tai Student Success Steering Group approved the Terms of Reference for a Student Reference Group, which will be formed over the coming weeks.

#### **4.2 Pacific Student Spaces**

Three [Vā Pasifika student spaces](#) have recently been established at AUT to help set students up for success. During the mid-semester break, a mihi whakatau (welcome ceremony and blessing) took place at the newly allocated Vā Pasifika spaces ahead of AUT students’ return to campus.

Open daily, AUT’s team of navigators (Pacific student mentors) will be on hand at the Vā Pasifika spaces to help students from 12 pm to 6 pm each weekday during the semester. The Vā Pasifika spaces are located in WA301A at City Campus, AE125 at North Campus and MC214 at South Campus.

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### **PRIORITY 5: MATURING UNIVERSITY SYSTEMS AND PROCESSES**

#### **5.1 Enhanced Student Experience**

Work has been ongoing this year to improve the enrolment experience for our students and to remove barriers to enrolment. A more friendly user interface has been developed and will be available on My AUT for use by returning students when enrolments open for 2024 in October. This will be made available for new students next year. Concurrently work has also been undertaken to improve the quality of information on the website to enable all students (prospective and returning) to access, in one place, information on which to base their enrolment decisions. Enhancements to date include more comprehensive programme information and the development of “build your degree” planners for programmes included in the Common Curriculum Architecture suite of programmes to enable students to plan out degrees with majors and minors from across the University. The website links through to descriptors for each course. Planning is being undertaken to improve the information that is provided in descriptors by making it more comprehensive and detailed so that students have better information on which to base their enrolment decisions.

#### **5.2 AUT and Student Hub Online Merger**

A project to merge the publicly facing web content on AUT web and the Student Hub Online is complete. The desktop logged-in experience has been rebranded to My AUT. A communications campaign was delivered to staff and students in the lead-up to the change.

#### **5.3 AUT Accommodation Audit**

The AUT Accommodation Audit occurred and successfully concluded on Wednesday, 19 May.

The audit was part of the NZ Tertiary Accommodation provider pastoral care code compliance audit conducted by auditors Dr John Dance (UNZ) and Roy Rodriguez (NZQA). They have verbally conveyed three key commendable points:

1. They found the AUT Student Accommodation Resident Journey diagram excellent in summarising what we do and providing a great overview of all the documentation.

2. They were impressed by the use of AUT Dynamics CRM and that the platform is accessible to the student accommodation staff in managing residents' wellbeing and welfare.
3. They were also impressed that AUT appointed social worker professionals as part of the student accommodation personnel.

#### **5.4 Emergency Management Team Review**

Work on the review of Emergency Management & Business Continuity arrangements at AUT commenced on 12 June 2023. The Vice-Chancellor approved the Terms of Reference for the review on 10 July 2023. The review is expected to be completed by 31 October 2023. The work is being undertaken primarily using internal resources and is a combined Risk & Assurance/Estates Group effort. Contact has been initiated with a number of specialist external parties who may be able to support the review.

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## Appendix 1: Enrolments

### 2023 EFTS Progress to Target Monitoring Report Year to Date Compared to Target and Equivalent Date Last Year

Programme EFTS as at 16 Jul 2023

Student Achievement Component Total	BEL	CSOC	DECT	HEAL	TEAP	
<b>EFTS</b>						
Pending	1,090	213	126	294	444	13
Actual	14,381	2,329	1,952	4,318	5,682	73
<b>Total Pending and Actual</b>	<b>15,471</b>	<b>2,542</b>	<b>2,079</b>	<b>4,612</b>	<b>6,125</b>	<b>87</b>

<b>2023 Target</b>	<b>16,460</b>	2,755	2,403	4,621	6,540	104
Progress to Target (%)	<b>94%</b>	92%	87%	100%	94%	83%
EFTS needed to reach 100%	<b>989</b>	213	324	9	415	17
Ahead or behind on this time last year:	Behind by	Behind by	Behind by	Ahead by	Behind by	Behind by
	<b>1,191</b>	<b>318</b>	<b>440</b>	<b>122</b>	<b>505</b>	<b>38</b>
Progress to Target, this time last year (%)	<b>94%</b>	89%	91%	95%	98%	65%
EFTS needed to reach Target this time last year	<b>1,030</b>	345	245	233	101	69
Achieved from this time last year to year end	<b>-20</b>	55	-15	50	-119	11

International Full-Fee EFTS	Total	BEL	CSOC	DECT	HEAL	TEAP
Pending	155	45	24	59	24	1
Actual	2,163	658	458	787	255	6
<b>Total Pending and Actual</b>	<b>2,318</b>	<b>703</b>	<b>482</b>	<b>846</b>	<b>278</b>	<b>7</b>

<b>2023 Target</b>	<b>1,663</b>	615	283	565	200	
Progress to Target (%)	<b>139%</b>	114%	170%	150%	139%	Infinity
EFTS needed to reach 100%	<b>-655</b>	-88	-198	-281	-79	-7
Ahead or behind on this time last year:	Ahead by	Ahead by	Ahead by	Ahead by	Ahead by	Ahead by
	<b>636</b>	<b>102</b>	<b>143</b>	<b>318</b>	<b>73</b>	<b>4</b>
Progress to Target, this time last year (%)	<b>104%</b>	83%	140%	113%	136%	175%
EFTS needed to reach Target this time last year	<b>-65</b>	126	-96	-62	-55	-1
Achieved from this time last year to year end	<b>102</b>	73	4	15	7	0

AUT South Campus	Total	BEL	CSOC	DECT	HEAL	TEAP
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Pending	<b>140</b>	18	5	6	110	1
Actual	<b>1,686</b>	207	199	37	1,217	2
<b>Total Pending and Actual</b>	<b>1,825</b>	225	204	43	1,327	2
<b>2023 Target</b>	<b>1,665</b>	4	241	44	1,331	8
Progress to Target (%)	<b>110%</b>	5384%	85%	96%	100%	31%
EFTS needed to reach 100%	<b>-160</b>	-221	37	2	4	5
Ahead or behind on this time last year:	Behind by	Behind by	Behind by	Behind by	Ahead by	Behind by
	<b>100</b>	<b>47</b>	<b>60</b>	<b>15</b>	<b>30</b>	<b>6</b>
Progress to Target, this time last year (%)	<b>78%</b>	62%	94%	59%	82%	46%
EFTS needed to reach Target this time last year	<b>556</b>	164	15	39	278	10
Achieved from this time last year to year end	<b>5</b>	-1	-1	0	8	-1

<b>Pre-Degree Total EFTS</b>	<b>Total</b>
Pending	83
Actual	883
<b>Total Pending and Actual</b>	<b>966</b>
Ahead or behind on this time last year:	Ahead by 37

<b>Undergraduate Total EFTS</b>	<b>Total</b>
Pending	861
Actual	12,809
<b>Total Pending and Actual</b>	<b>13,670</b>
Ahead or behind on this time last year:	Behind by 979

<b>Postgraduate Total EFTS</b>	<b>Total</b>
Pending	334
Actual	3,228
<b>Total Pending and Actual</b>	<b>3,562</b>
Ahead or behind on this time last year:	Ahead by 376
<b>Doctoral</b>	<b>699</b>

<b>Māori Domestic SAC EFTS</b>	<b>Total</b>
Pending	107
Actual	1,590
<b>Total Pending and Actual</b>	<b>1,696</b>
Ahead or behind on this time last year:	Behind by 216

<b>Pacific Domestic SAC EFTS</b>	<b>Total</b>
Pending	193
Actual	2,357
<b>Total Pending and Actual</b>	<b>2,550</b>
Ahead or behind on this time last year:	Behind by 345

**Please Note:**

- In 2022, 75 SDR SAC Budget EFTS were not assigned to an academic faculty. As a result, the total budget is 75 greater than the sum of the faculty totals for tables presenting Domestic – SAC Funded, South (SAC) and University totals.
- Returning to programme EFTS defined as all EFTS consumed by students enrolled in the same programme code in a previous calendar year, regardless of progress through programme. EFTS consumed by students who enrolled in any Bachelor of Health Science programme (any programme code ending in '3680') in a previous calendar year and then enrolled in a related programme are also considered to be returning. All other EFTS classified as 'New'.
- Budget EFTS pertaining to South Campus have been identified using the following working definition: Where the teaching school name includes the word 'Manukau', 'Manku', 'South', or 'South', OR the teaching school code is 'VK', 'RO', or 'KO', the Budget EFTS are assumed to relate to South Campus.
- (\*) Please note that the 2016 South SAC EFTS target of 1,514 represents the University's internal operating budget, as set by central Finance, rather than the 2016 TEC South Campus target provided in the Funding Letter (1,827).

## Appendix 2: Financial Performance – Current Year

### 1. Financial Performance – Current Year

As at 30 June 2023 (refer item 5.1)

\$000s	Year to Date (\$000s)			Full Year (\$000s)		
	Actual	Budget	Variance	Forecast	Budget	Variance
Income	217,202	218,145	(943)	422,164	418,925	3,239
Expense	192,927	190,393	(2,534)	418,939	409,585	(9,354)
<b>Surplus before interest</b>	<b>24,275</b>	<b>27,752</b>	<b>(3,477)</b>	<b>3,225</b>	<b>9,340</b>	<b>(6,115)</b>
Interest	653	1,129	476	3,152	3,152	-
<b>Net surplus/(deficit)</b>	<b>23,622</b>	<b>26,623</b>	<b>(3,001)</b>	<b>73</b>	<b>6,188</b>	<b>(6,115)</b>

#### Comment

Overall, the YTD net surplus variance to budget of \$3.0M reflects the impact of lower student derived income, unbudgeted salaries and higher operating costs, partially offset by other income.

The full year forecast projects a break-even from operations, which is \$6.1M behind budget. The forecast position reflects the impact of unbudgeted salaries and higher operating costs, offset by net improvement in student derived income due to international fees and other income.

#### Banking Covenants

Max Net Debt / Net Debt + Equity Ratio	10%	35%	On target
Min Interest Cover Ratio (EBITDA / Interest Expense)	1,525%	150%	On target
Min Guaranteeing Group Cover	97%	90%	On target

#### TEC Financial Covenants

	FY Forecast	TEC Target	Outcome
Max commercial debt borrowings \$000s	\$95,513	\$220,000	On target
Max aggregate financing \$000s	\$105,373	\$243,000	On target
Max Debt / Debt + Equity Ratio	10%	30%	On target
Max Debt / Net Cash Flow Ratio	2.11	3.00	On target
Max Debt / Total Revenue Ratio	25%	55%	On target
Min Net Surplus Ratio	0.0%	>0.0%	On target
Min Cash Flow from Operation Ratio	113%	113%	On target
Min Interest Cover Ratio (EBIT / Interest Expense)	1.02	Suspended until 2026	
Min Liquidity Ratio	34%	2%	On target

#### Comment

All Banking covenants and TEC financial covenants are projected to be met.



**Financial Performance 2023 YTD Compared to 2022 YTD**

<b>\$000s</b>	<b>Year to Date (\$000s)</b>		
	<b>2023</b>	<b>2022</b>	<b>Variance</b>
Income	217,202	221,504	(4,302)
Expense	193,580	182,190	(11,390)
<b>Net surplus</b>	<b>23,622</b>	<b>39,314</b>	<b>(15,692)</b>

Lower **year-to-date income** compared to the same period last year is driven by lower government funding and domestic fees.

Lower **year-to-date expenses** compared to the same period last year is driven by unbudgeted salaries and higher operating costs.

Overall, the **year-to-date net surplus** is \$15.7M lower than same time last year reflects the impact of lower student enrolments in 2023, unbudgeted salaries and higher operating costs.

## Appendix 3: University key performance indicators – Progress report

### University key performance indicators: Progress report

17 July 2023

AUT's Statement of Service Performance was established as part of Investment Plan 2022-2024. Each KPI relates to at least one of the key themes of *AUT Directions*

- Theme 1 Creating exceptional learning experiences
- Theme 2 Discovery and application of knowledge for wellbeing and prosperity
- Theme 3 Responding to our place in the world
- Theme 4 Building our position as New Zealand's university of technology
- Theme 5 Being a great place to work and learn

KPIs	Theme(s)	Target 2023	YTD 2023	YTD 2022	Actual 2022	Notes
4. Proportion of bachelors graduates who completed work-integrated learning while studying	1, 4	90%	86%	92%	91%	
5. Course completion rate for students from areas with high deprivation scores	1,3	78%	81%	83%	70%	Note very few Semester 1 results are included; this result is subject to change
6. Number of quality-assured research outputs	2	Annual increase	787	724	2,130	
7. Proportion of research-active academic staff	2	Annual increase	41%	38%	62%	
8. Value of new research contracts signed	2,4	Annual increase	\$8.5M	\$7.2M	\$27.6M	
9. Proportion of outputs with an international co-author	2,3	Annual increase	59%	59%	58%	
10. Ranking amongst NZ universities for field citation ratio	2,4	Top-four placing	Third	Second	Second	Based on 2020 publications (used for the 2023 reporting year), AUT's FCR is 2.82, above the sub-sector average of 2.73
11. Number of media articles using AUT staff as expert voices or highlighting AUT research	2,4	>1,000	857	763	1,244	
12. Number of Māori graduates from bachelors degrees	1,3	Annual increase	32	24	308	
13. Number of Māori students in research programmes	2,3	Annual increase	114	122	144	
14. Number of Pacific graduates from bachelors degrees	2,3	Annual increase	43	51	432	
15. Number of Pacific students in research programmes	2, 3	Annual increase	66	77	92	

16. Number of EFTS at South Campus	3, 5	Annual increase	1,728	1,811	1,985
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**Results still to come**

KPI	Theme(s)	Actual 2022	Source	Available
1. Proportion of students who would recommend their programme of study	1	78%	Annual Programme Survey	Annually (December)
2. Proportion of students who are satisfied with teaching quality	1	81%	Annual Programme Survey	Annually (December)
4. Proportion of available graduates who are working full time	1	84%	Annual Graduate Survey	Annually (December)



<b>PART A</b>	<b>6</b>
<b>OPEN AGENDA ITEM</b>	

## Council Agenda Paper

**Subject:** Chancellor's Report

**Date:** 19 July 2023

**Author:** Chancellor

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**RECOMMENDATION:**

*THAT COUNCIL RECEIVE THE REPORT FROM THE CHANCELLOR*

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This is a very testing time for the University. The Council should reflect on the demands being made on staff throughout the University in responding to many stresses while also forging new and important directions. It is well to remind ourselves and others in the University and stakeholders of the many areas which have been and continue under action:

- Financial Recovery Plan. Our leadership was quick to recognise and respond to the financial impact which Covid and difficult economic and social conditions have had. Reduction in operating costs and holding back capital expenditure were required and implemented. I recognise the pain which this caused to many in the University, but it was necessary. Implementation had issues which were addressed, and the leadership was worked to repair union and staff relationships strained by the events. This is a continuing process as is the need to continue change in our operations as financial stress is far from gone.
- Tiriti plan. The leadership in consultation with expert internal and external advice has reframed the University's relationship with Te Tiriti. This represents an historic process for us and will challenge all throughout the University to reflect on and change many practices. This has been led by Dr Valance Smith, Kaihautu Tiriti. Council has endorsed Te Aronui which is a confident response to our commitment to Te Tiriti o Waitangi and seeks to enable us all to give life to Tiriti honouring actions. Such an ambition requires a living framework that is at the centre of all that we do. It represents our Te Tiriti journey as we continue to mature and grow as a university of New Zealand. Importantly Te Aronui responds to the National Education and Learning Priorities (NELP) and the Tertiary Education Strategy (TES) 2020.
- Ki Uta Ki Tai - Led by the Deputy Vice Chancellor - Academic, Professor Wendy Lawson and outlines AUT's Student Success Plan by identifying a shared, cohesive, and intentional approach to achieve our commitments and ambitions for student achievement, inclusion and belonging, for increased retention and success. It will

embody and advance the University's commitment to Te Tiriti, promote equity, and advance the University's approach to holistic sustainability. A Ki Uta Ki Tai Student Success Steering Group has been established to oversee a range of university initiatives that aim to enhance student success. The initiatives in the Ki Uta Ki Tai Student Success Plan have been grouped into work streams, and work is underway to develop measurable outcomes for those initiatives for prioritisation. The Plan will be values centred, evidence based, student centric, culturally, and socially inclusive, and will require our funders, partners, and the sector to shift with us.

- University Strategy - Led by the Group Director Strategy and Planning, Megan Skinner, staff consultation has commenced on the new AUT Strategy to replace AUT Directions 2017-2025. The new strategy will be a "true" strategy rather than in the form of a strategic plan. There are two approaches to the staff consultation currently, underway, "Activity based" - In the areas of teaching and learning, research, engagement, people, place, resources and "Outcomes based"- focussed on the outcomes we seek to make as a university – for example, student success, and sustainable operations. The activities identified will be captured in the core and enabling plans.
- Property Strategy - led by the Vice Chancellor and Estates- The Long Term Academic & Capital Plan and AUT's first ever Workplace Strategy (the nexus of the HR, IT and Premises offerings) will have a significant influence on the Property Strategy and will need to be sufficiently developed to consider the impact on the Property Strategy. At this stage and subject to those plans being completed, it is likely that the Property Strategy will take place in 3 phases. Phase 1 (2023 - 2026) as a foundation or stabilisation phase involving the completion of currently committed projects, reduction in lease holdings, and reduction in physical risk exposure from the existing estate. Phase 2 (2027 - 2030) replacement of several buildings which are beyond repair and Phase 3 (2031 - 2035) further major developments and a limited growth component.
- Freedom of Speech/academic freedom The Academic Board has received and approved an AUT Charter of Academic Freedom which is in on the agenda for this meeting of Council.
- Philanthropic funding work - Led by the Head of the Vice Chancellor's Office, Amy Malcolm, two recent appointments have been made to the Advancement Office in the areas of fundraising and philanthropy. Some significant gifts were received early this year either in the form of donations or as a bequest and we expect this area to grow with the focus on securing funds to benefit student achievement and success.

Taken together these programmes represent a substantive reshaping of the University.

Council should recognise the importance of this, and the contributions being made by the leadership team and, in each case, the contributions from people across the University.

I am deeply appreciative to them all.



<b>PART A</b> <b>OPEN AGENDA ITEM</b>
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<b>7.1</b>
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## **Council Agenda Paper**

**Subject:** Report and Minutes from the Academic Board

**Date:** 15 July 2023

**Author:** Wendy Lawson, Deputy Vice Chancellor – Academic

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**RECOMMENDATION:**

*THAT COUNCIL:*

- *RECEIVE THE ACADEMIC BOARD REPORT AND MINUTES OF THE MEETINGS HELD ON 29 MAY 2023 AND 26 JUNE 2023; AND*
- *ENDORSE THE CHARTER OF ACADEMIC FREEDOM*

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The Minutes are in the Supplementary Papers and the Charter of Academic Freedom is appended to the Academic Board report of 26 June 2023.

## Academic Board Report to Council

Meeting held 29 May 2023

### Strategic Matters and Policy Developments

- **Towards a New Strategy: Planning Update**

The Vice-Chancellor explained that, due to significant changes in the past few years including contextual shifts and volatility within the environment, the decision has been made to bring forward the date of the University's strategy update. Consultation will commence mid-year and will involve staff, students and external stakeholders. It is anticipated the new strategy will be in place early in 2024. The development of a Long Term Academic and Capital Plan as outlined to Academic Board in February, and which will underpin implementation of the strategy, will proceed after the new strategy is in place. Te Tiriti will be embedded in the new strategy which will continue to build on what is unique and special about AUT. It was acknowledged that the University is not able to complete in all academic offerings, therefore consideration has to be given to what we will be known for, what is needed to deliver in this space and what we might deliver less of.

- **Planning for the next PBRF (2026)**

An update was provided by the Deputy Vice-Chancellor Research and Usha Bathia, who has been appointed Technical Lead and will support the PBRF round within the University. The purpose of, and approach to, PBRF is being considered by the PBRF Working Group in an attempt to use the exercise as one to support and help staff reflect on - and plan for - their research contributions. This more reflective approach is being sought rather than a measurement and reporting exercise. Academic Board was reminded that PBRF is a key institutional funding mechanism which provides income for six years following each assessment. AUT currently receives over \$12.5M annually and this revenue is reinvested in research and research support. Changes for the next PBRF round were outlined and the timeline of activity from now until submission was provided.

A review and audit of research ethics is about to commence and participation was invited. Prior notice of the inaugural AUT Research Week from 4-8 September was given.

### Committees of Academic Board

Nil

### Other

Nil

## Academic Board Report to Council

Meeting held 26 June 2023

### Strategic Matters and Policy Developments

- **Charter of Academic Freedom**

Professor Ings and Associate Professor Quince introduced the Charter of Academic Freedom, describing it as is a statement of principle that states the University's promise/obligation around Academic Freedom which is important to the functioning of the University. It will sit above the level of policy and seeks to protect fundamental aspects of academic activity whilst upholding Te Tiriti and the University's Te Tiriti Framework. It has been written to reflect who we are and to protect the wellbeing of staff, students and external visitors. The challenge to achieve a balance that enables respectful disagreement was acknowledged.

The Chair acknowledged the efforts of Dr Vujnovich and the eight other members of the working group over the past eight months and commented that the Charter is both an overdue and timely piece of work.

- **Rautaki Tangahau | Research Plan**

The Deputy Vice-Chancellor Research reported that there was positive engagement by groups and individuals with the feedback and submission process. Key amendments being made in response to the feedback and submissions received were outlined. These include: explicit weaving of the University's Te Tiriti Framework throughout the Research Plan; creating a structure to allow collective (rather than top down) development of research themes; establishing a Māori Research Network and a Pacific Research network; and, developing a process that allows for shifting of priorities in response to change.

The next steps were outlined and it was noted that the next iteration of the plan will be submitted to Academic Board for consideration. It is intended the launch of the Plan will be during AUT Research Week.

### Committees of Academic Board

- Academic Board approved six proposals and reports for submission to CUAP Round 2 2023: two new specialisations and a change to research component; two changes to admission regulations; one change to the name of a major; one reintroduction of a previously deleted major; and, deletion of five qualifications.
- Academic Board approved the closure to new enrolments into two programmes, one specialisation and two majors from Semester One 2024.

### Other

Nil



## CHARTER OF ACADEMIC FREEDOM

Auckland University of Technology (AUT), Te Wānanga Aronui o Tamaki Makau Rau, confirms the right of its community to academic freedom as defined in the Education and Training Act 2020 (or later equivalent). Academic freedom is integral to AUT performing its role as a critic and conscience of society.

AUT also recognises the rights of all, to freedom of expression as a fundamental right in a civilised democracy. The AUT community has the rights to freedom of thought, conscience, religion, expression, association and peaceful assembly and through the exercise of those rights can contribute to positive social change through free expression of opinion on matters of public interest, even if controversial.

AUT adopts this Charter in support of its institutional right to academic freedom and the rights of its community to academic freedom and freedom of expression. The exercise of these rights is subject to the limitations imposed by the law or by AUT, which are necessary for AUT's institutional autonomy, self-governance and its judicious administration. The exercise of the rights of academic freedom and freedom of expression must be informed by knowledge and be conducive to AUT's educational and research activities and the advancement of its academic endeavour.

### AFFIRMATION

AUT affirms it will, subject to the limitations prescribed:

- Encourage the rights of academic freedom and freedom of expression, being fundamental to academic endeavour.
- Encourage its community, including its Council, staff, and students to inquire, discuss and freely challenge ideas.
- Support the right of its community to pursue knowledge and to debate, deliberate and disseminate knowledge through informed discussions that are conducted responsibly and with tolerance.

### CHARTER

1. AUT declares its commitment to academic freedom as important in a democratic society and for intellectual, moral, cultural, and social advance, when lawfully exercised within an area of expertise and in accordance with the highest ethical standards and for the responsible pursuit of knowledge.
2. AUT will preserve and protect the interests of its community in equal dignity through our values that shall not be infringed upon:
  - a. Pono – the threshold of objective truth or opinion and expressed with integrity being expertise-based, either by research or embodied experience.
  - b. Tika – the expression is fair, and respects equality and accessibility and is just, respectful and expressed with courtesy and civility.
  - c. Aroha – the modelling of empathy, compassion, and attention
3. AUT recognises its responsibilities under Te Tiriti o Waitangi and acknowledges those laws which protect tangata whenua communities and all persons from being humiliated or intimidated.
4. AUT affirms its rights of autonomy, self-governance, and judicious administration as well as the rights and responsibilities of the AUT community, to pursue knowledge for its own sake, wherever the pursuit might lead.
5. AUT supports the responsible transmission of knowledge openly within academic and wider communities in conformity with the law and with the values and obligations of AUT.
6. AUT, in accordance with its values, undertakes to promote and support:

**PRINCIPLES FOR THE PROTECTION OF ACADEMIC FREEDOM AND FREEDOM OF EXPRESSION**

- a. the responsible pursuit of knowledge through research in accordance with ethical and professional standards and the law;
  - b. the responsible dissemination of the outcomes of research in publications and creative works, and in public and professional discourse; and
  - c. the principled and informed discussion and debate on all aspects of knowledge and culture.
7. AUT values courage, civility and respect and promotes a climate where people disagree with tolerance.
  8. In support of this Charter, AUT adopts the following Principles for the protection of academic freedom and freedom of speech and expression and affirms its commitment to upholding these Principles.

## **PRINCIPLES FOR THE PROTECTION OF ACADEMIC FREEDOM AND FREEDOM OF EXPRESSION**

### **Academic Freedom**

Academic freedom for the purposes of these Principles, comprises the following elements:

- The freedom of AUT to regulate course content and to allocate resources.
  - The freedom of staff, in the course of their area of expertise to educate, discuss, or research and to disseminate and publish the results of their academic activities.
  - The freedom of staff and students, in the course of their academic activities, to engage in intellectual inquiry, to express their opinions and beliefs, to hear from a range of views and to contribute to public debate, in relation to those academic activities.
  - The freedom of staff and students to express their informed opinions.
1. Every member of the AUT community enjoys academic freedom subject only to the limitations or conditions imposed by:
    - a. AUT as necessary for:
      - i. institutional autonomy, self-governance or its judicious administration;
      - ii. the discharge of its education and research activities and to the extent that the exercise of the right is informed by knowledge;
      - iii. the duty to foster the wellbeing of students and staff;
      - iv. to give effect to its legal duties; and
      - v. the right of AUT to determine the programmes offered and the content and method of their delivery.
    - b. The right and freedom of others to express themselves and to hear and receive information and opinions.
    - c. The law.
    - d. Professional and ethical obligations.
    - e. AUT policies, including policy related to the implementation of the Charter.
  2. The AUT community must observe its duty to foster the wellbeing of staff and students, however, staff are not precluded from exercising academic freedom solely on the ground that it may offend or shock any student or class of students, or any staff member or any person.
  3. The exercise by a member of the AUT community of the right to academic freedom, subject to the above limitations, shall not constitute misconduct or receive any penalty imposed by AUT.

### **Freedom of Expression**

1. Every member of the University community enjoys freedom of expression subject only to restraints or burdens imposed by:
  - a. AUT as necessary for:
    - i. its institutional autonomy, self-governance or its judicious administration.
    - ii. the discharge of its education and research activities and to the extent that the exercise of the right is informed by knowledge;
    - iii. its duty to foster the wellbeing of students and staff; and
    - iv. to give effect to its legal duties including its duties to visitors.
  - b. The right and freedom of others to freedom of expression.
  - c. The law.
  - d. Professional and ethical obligations.
  - e. AUT policies, including policy related to the implementation of the Charter.
2. AUT staff in their personal capacities are able to make lawful public comment on any issue outside their area of expertise, however, public comments that reference their employment at AUT must be truthful and informed.

### **PRINCIPLES FOR THE PROTECTION OF ACADEMIC FREEDOM AND FREEDOM OF EXPRESSION**

3. Recognising that AUT is a place where people are free to express themselves, and a place where people are free to disagree and protest, subject only to the limitations imposed by:
  - a. By AUT as necessary to:
    - i. the discharge of its education and research activities and ensuring these activities are not disrupted by protests;
    - ii. its duty to foster the wellbeing of students and staff including ensuring their safety and mitigating any risk that protests could result in injury; and
    - iii. give effect to its legal duties to visitors.
  - b. The right and freedom of others to express themselves and receive information and opinions and to express their respective views.
  - c. The law including those laws which protect persons from being humiliated or intimidated.
4. Subject to these limitations, a person's lawful speech or activity shall not constitute misconduct or receive penalty from AUT.
5. The AUT community must observe its duty to foster the wellbeing of staff and students, however, are not precluded from including content solely on the ground that it may offend or shock any student or class of students.

#### **Visitors**

6. AUT has the right to determine the terms and conditions for external and invited visiting speakers using AUT premises or facilities and may:
  - a. require the person or organisers of the event to comply with AUT's booking procedures and to provide information relevant to the conduct and content of the event and any safety and security issues;
  - b. refuse permission to an invited or external visiting speaker where the content of the speech may:
    - i. involve the advancement of theories which fall below academic standards or could be detrimental to AUT's reputation; or
    - ii. negatively impact the wellbeing of staff or students; or
    - iii. be unlawful.
  - c. in the interests of public safety, require an external visiting speaker to contribute to the cost of providing security or other measures.
  - d. distinguish between invited visiting speakers and external visiting speakers in framing conditions.

<b>PART A</b> <b>OPEN AGENDA ITEM</b>	<b>8</b>
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## **Council Agenda Paper**

**Subject:** Health, Safety & Wellbeing Reports

**Date:** 8 July 2023

**Author:** Dawn Shanta, Acting Group Director People and Culture and Dave Pinchen, Director of Health, Safety and Wellbeing

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**RECOMMENDATION:**

*THAT COUNCIL RECEIVE THE HEALTH, SAFETY AND WELLBEING REPORTS FOR MAY AND JUNE 2023*

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## Monthly Health, Safety & Wellbeing Summary May 2023

### Executive Summary

EAP usage numbers have risen by six in the last month, which is viewed as a positive action as it indicates that those using the service are getting the help and support they need. The work-related reasons for accessing EAP are broadly similar to what other EAP customers are facing, except for redundancy and restructuring showing higher for AUT. Ninety-nine events were recorded overall for May 2023, an increase of fifty-eight from April 2023. There is broadly a 50/50 split between the reporting of leading (hazard spotting) and lagging (accidents, incidents and near misses) for the reporting period. However, as previously reported, while the increase in event reporting is due mainly to a continued rise in proactive hazard spotting, not all areas are fully engaged in this process, and not all health, safety and wellbeing issues are being recorded. As with all of the monthly activities (KPIs), these are also broadly concentrated in the same areas of the University; the number of monthly focussed inspections recorded continues to fall, with May being down to single digits.

### Highlights - Korero – Risk Assessment and Risk Register Update

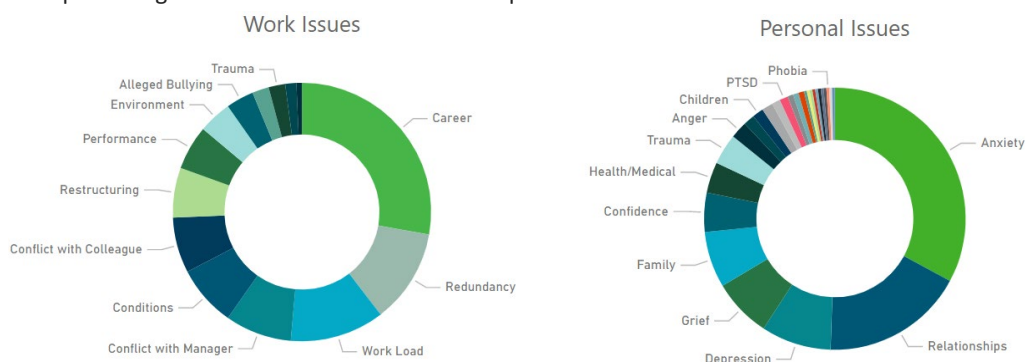
In line with the introduction of the risk assessment and risk register module in Shield, we are updating the psychosocial risk and hazard categories to align with the ISO 45003 classifications, the updated model Work Health & Safety laws for Australia and best practices.

### Risks - Monthly Key Activities

While the Korero measurement is trending marginally positively, activity remains concentrated in the same areas of the University, with only six Senior Leaders participating. Other metrics show some previously engaged areas continuing to decline; others continue not to participate, as shown in the KPI table. This has previously been raised with the Senior/Strategic Leadership Team to help their respective teams focus on these areas.

### EAP Usage

In May, 44 staff accessed EAP Services for support, an increase of six compared to the previous month. This increase is likely due to different areas of the University entering their peak period as semester one is finishing. Thirty-three per cent of the sessions used during this period relate to work issues, compared to Sixty-seven per cent for personal reasons. Since July 2022, we have broadly observed the same percentage differential between work and personal issues.



### Performance (Lagging Indicators)

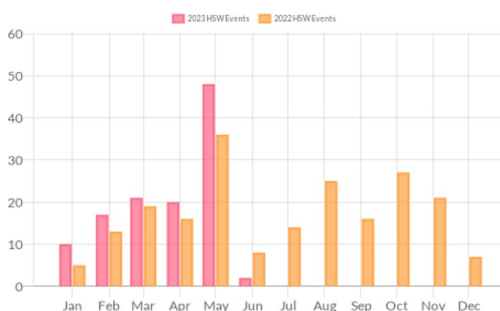
#### Event Comparison

Ninety-nine events were recorded overall for May, an increase of fifty-seven from April. Thirty of these events are categorised as accidents (an event that resulted in injury/ill health), an increase of seventeen from last month.

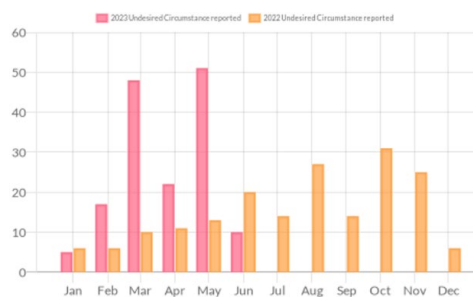
## Monthly Health, Safety & Wellbeing Summary

### May 2023

2022/23 Event Comparison



2022/23 Undesired Circumstance comparison



#### Undesired circumstances

Fifty-one undesired circumstances were recorded, an increase of twenty-nine from April 2023; they were categorised as unsafe acts (13 – seven of which relate to poor behaviour) and unsafe conditions (38 – fifteen of which relate to leaks, dampness or mould).

#### Accidents

Twenty-five reported accidents required first aid, and three required no treatment. Two of the reported accidents required medical treatment. The first medical treatment relates to a staff member slipping in the bathroom, injuring their ankle, arm and hand. The second medical treatment involved a student that slipped on stairs and broke their arm.

#### Incidents

Thirteen incidents were recorded for the period compared to three in April 2023. Five of the causes of the thirteen incidents are related to a non-injury accident, followed by other (3), security response (3) and unknown cause (3).

#### Near misses

Four near misses were recorded, an increase of three for this period.

The near misses involved water on the floor, a fallen ceiling panel, and a hole in the pavement.

#### **Year-on-year HSW incident/accident/event comparison**

The overall number of reported events shows an increase of fifty in the comparative periods of May 2022 (49 events recorded) and May 2023 (99 events recorded). Significantly more undesired circumstances are reported (51 compared to 13 in May 2022).

The overall year-to-date figures show an upward trend of 92% in the number of events reported for the comparative periods (259 events YTD compared to 135 events YTD for 2022). The increase is reflective of an increase in activity and focuses on campus. However, it is broadly the same areas of the University reporting issues, with others continuing not to report any events or participate in the monthly activities.

#### Projects Update – current focus

- Shield - Outboard Student Exchange Application, Updated Risk Assessment Process, e-Learning on Canvas
- Thrive - Move-It; Trivia-verse; Escape Room; Shift
- ISO45001 gap audit and uplift program

## Monthly Health, Safety & Wellbeing Summary June 2023

### Executive Summary

Forty-one staff accessed EAP Services for support, a decrease of three compared to the previous month. This slight decrease is likely due to exams at the beginning of the month and the mid-semester break starting on 23rd June. The risk assessment update program has highlighted areas where assessments have not been further developed or maintained. This has been highlighted to the Acting Group Director for People & Culture for discussion with the Vice Chancellor. Metrics for the monthly activities have slightly decreased, except for the inspections, which have risen from 9 to 21. However, the activities remain focused on the same areas, with some areas of the University not consistently participating and others not participating. As previously reported, while the increase in event reporting is due mainly to a continued rise in proactive hazard spotting, not all areas are fully engaged in this process, and not all health, safety and wellbeing issues are being recorded.

### Highlights - Risk Assessment and Risk Register Update

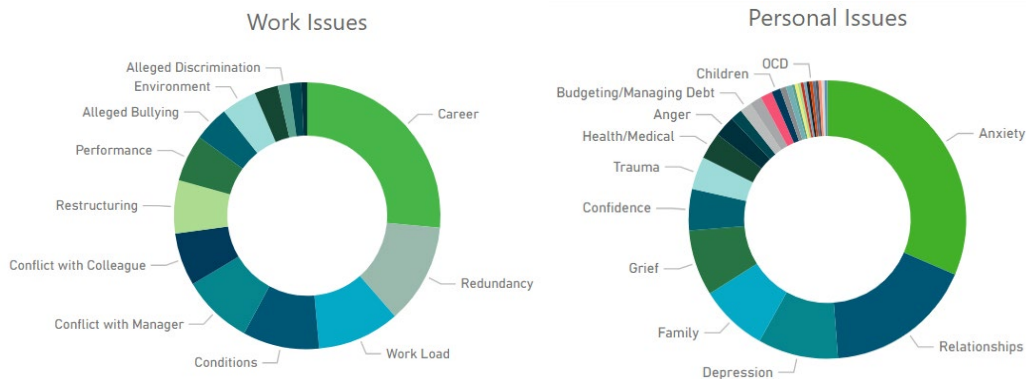
The HSW team have begun rationalising the risk assessments received as part of the update and transfer to Shield. We have updated the psychosocial risk and hazard categories to align more towards the ISO 45003 classifications, the updated model Work Health & Safety laws for Australia and best practices.

### Risks - Monthly Key Activities

While the Korero measurement is trending marginally negatively, except for inspections, activity remains concentrated in the same areas of the University, with only two Senior Leaders showing as participating. This has previously been raised with the Senior/Strategic Leadership Team to help their respective teams focus on these areas.

### EAP Usage

In June, 41 staff accessed EAP Services for support, a decrease of three compared to the previous month. This slight decrease is likely due to exams at the beginning of the month and the mid-semester break starting on 23<sup>rd</sup> June. Thirty-three per cent of the sessions used during this period relate to work issues, compared to sixty-seven per cent for personal reasons. Since July 2022, we have broadly observed the same percentage differential between work and personal issues.



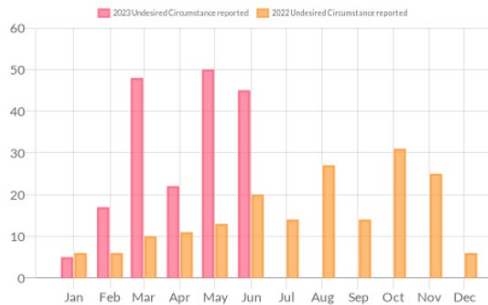
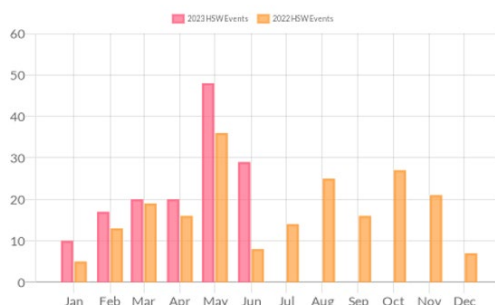
### Performance (Lagging Indicators)

#### Event Comparison

Seventy-four events were recorded overall for June, a decrease of twenty-four from May. Seventeen of these events are categorised as accidents (an event that resulted in injury/ill health), a decrease of twelve from last month.



## Monthly Health, Safety & Wellbeing Summary June 2023



### Undesired circumstances

Forty-five undesired circumstances were recorded, a decrease of five from May 2023; they were categorised as unsafe acts (18) and unsafe conditions (27). All the unsafe acts reported are related to poor behaviour. The main categories of unsafe conditions reported were other (5), followed by building and air-conditioning (4), defective equipment (4) and leaks, dampness or mould (4).

### Accidents

Twelve accidents required first aid, another four required medical treatment, and only one was a restricted work case. The first medical treatment relates to a member of the public spraining their ankle when they slip because of a leak from the ceiling while playing sports. The second medical treatment involved a staff member whose wheelchair overturned while trying to get up the disability ramp to the footpath, resulting in a slight concussion, lacerations, sprains and strains. The third medical treatment reported is a duplication of a later event. The fourth medical treatment involved a student that accidentally hit another student whilst playing ultimate frisbee, resulting in a broken nose. The restricted work case involved a staff member that slipped and fell two flights of stairs because of a wet surface due to rain. The fall caused injuries to the right side of the back, waist, hip, and leg.

### Incidents

Eight incidents were recorded for the period compared to thirteen in May 2023. Two of the causes of the eight incidents are related to exposure, uncontrolled or unexpected release of a hazardous or biological substance, followed by non-injury accident (2), unknown cause (2), damage to property, plant or equipment (1), and other (1).

### Near misses

Three near miss was recorded, an increase of one for the period; a slippery surface, rotten timber decking, and water on a toilet floor.

### *Year-on-year HSW incident/accident/event comparison*

The number of reported events shows an increase of fifty in the comparative periods of June 2022 (28 events recorded) and June 2023 (74 events recorded). Significantly more undesired circumstances are reported (45 compared to 20 in June 2022).

The overall year-to-date figures show an upward trend of 103% in the number of events reported for the comparative periods (331 events YTD compared to 163 events YTD for 2022). However, it is broadly the same areas of the University reporting issues, with others continuing not to report any events or participate in the monthly activities.

### Projects Update – current focus

- Shield - Outboard Student Exchange Application, Updated Risk Assessment Process, e-Learning on Canvas
- Thrive - Move-It; Trivia-verse; Escape Room; Shift
- ISO45001 gap audit and uplift program

<b>PART A</b>	<b>12</b>
<b>OPEN AGENDA ITEM</b>	

## **COUNCIL AGENDA PAPER**

**Subject:** Council Members' Honorarium

**Date:** 1 June 2023

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### **RECOMMENDATION:**

*THAT COUNCIL:*

- 1. RECEIVE THE LETTER FROM THE HON. JAN TINETTI MINISTER OF EDUCATION SENT BY EMAIL ON 29 MAY 2023 NOTIFYING COUNCIL OF THE MINISTER'S DETERMINATION IN ACCORDANCE WITH THE EDUCATION AND TRAINING ACT 2020 (SCHEDULE 11, CLAUSE 17(1)) OF THE NEW MAXIMUM RATES OF FEES FOR COUNCIL MEMBERS TO TAKE EFFECT FROM 1 JULY 2023.*
- 2. CONSENTS TO THE DELIBERATION OF THE MATTER OF COUNCIL FEES PURSUANT TO SCHEDULE 11, CLAUSE 8 OF THE EDUCATION AND TRAINING ACT 2020 BY ALL COUNCIL MEMBERS PRESENT: AND*
- 3. CONSIDER THE HONORARIUM PAID TO COUNCIL MEMBERS*

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### **Background**

Under schedule 11, clause 17 of the Education and Training Act 2020, the Minister of Education determines the maximum fees payable to members. Councils determine the fees payable within this maximum.

A letter from the Minister of Education by email dated 29 May 2023 (**enclosed**) has established new maximum rates following a reassessment of the fees paid to council members under the Cabinet Fees Framework.

The fees presently sit within level 3 of Group 3A of the Cabinet Fees Framework. Considering the challenges faced by university councils the fees have been reassessed to be within Level 2 of Group 3A. In accordance with the Education and Training Act 2020 (Schedule 11, clause 17(1)) the Minister has determined the new maximum rates of fees for council members with effect from 1 July 2023. The maximum fee equates to the top of the range for Level 2 of Group 3A of the Fees Framework, which is intended to provide university councils with the flexibility to take into consideration local factors affecting recruitment and the university's financial position.

The reassessed maximum levels of fees payable to Council members are:

- Chancellor: a maximum of \$73,552 (gross) per annum;
- Pro Chancellor: a maximum of \$45,966 (gross) per annum; and
- Council members: a maximum of \$36,773 (gross) per annum.

## Hon Jan Tinetti

Minister of Education  
Minister for Women  
Minister for Child Poverty Reduction



Rob Campbell  
Chancellor  
Auckland University of Technology  
Te Wānanga Aronui o Tāmaki Makau Rau

By email: [rob.campbell@aut.ac.nz](mailto:rob.campbell@aut.ac.nz)

Dear Rob

### **Fees for council members: new maximum rates**

I am writing to update you about a reassessment of the fees paid to council members under the Cabinet Fees Framework.<sup>1</sup>

The fees presently sit within level 3 of Group 3A of the Cabinet Fees Framework. In light of the challenges now faced by all university councils, the fees have been reassessed to be within Level 2 of Group 3A. In accordance with the Education and Training Act 2020 [Schedule 11, clause 17(1)] I have therefore determined new maximum rates of fees for council members. With effect from 1 July 2023, your council is able to pay an annual fee up to a maximum of \$73,552 for the Chancellor and up to \$36,773 for a member. The Pro Chancellor may be paid an additional 25% of the member rate, which provides a maximum of \$45,966.

The actual fee paid will continue to be determined by your council. The maximum fee equates to the top of the range for Level 2 of Group 3A of the Fees Framework, which is intended to provide university councils with the flexibility to take into consideration local factors affecting recruitment and your university's financial position. Your council may, of course, elect to make any increases incrementally over time.

Yours sincerely

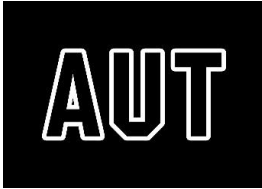
A handwritten signature in black ink, appearing to read 'Jan Tinetti'.

**Hon Jan Tinetti**  
**Minister of Education**

cc Andrea Vujnovich, Assistant Vice Chancellor- Corporate and General Counsel  
Email: [andrea.vujnovich@aut.ac.nz](mailto:andrea.vujnovich@aut.ac.nz)

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<sup>1</sup> [CO \(22\) 2 - Revised Fees Framework for members appointed to bodies in which the Crown has an interest | Department of the Prime Minister and Cabinet \(DPMC\)](#)



<b>PART A</b>	<b>13.1</b>
<b>OPEN AGENDA ITEM</b>	

## **Council Agenda Paper**

**Subject:** Update from the President of AUTSA

**Date:** 31 July 2023

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**RECOMMENDATION:**

*THAT COUNCIL RECEIVE THE VERBAL UPDATE FROM THE PRESIDENT OF AUTSA*

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<b>PART A</b>	
<b>OPEN AGENDA ITEM</b>	<b>13.2</b>

## Council Agenda Paper

**Subject:** Council and Committee Meeting Schedule - 2024

**Date:** 6 June 2023

**Author:** Council Secretary

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**RECOMMENDATION:**

*THAT COUNCIL RECEIVE AND APPROVE THE MEETING SCHEDULE FOR 2024*

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## COUNCIL MEETINGS SCHEDULE FOR 2024

<u>MEETING</u>	<u>FEB</u>	<u>MAR</u>	<u>APR</u>	<u>MAY</u>	<u>JUN</u>	<u>JUL</u>	<u>AUG</u>	<u>SEP</u>	<u>OCT</u>	<u>NOV</u>	<u>DEC</u>
<p><b>Council People &amp; Culture Committee</b></p> <p><b>Mondays 11.30am – 2.30pm</b></p> <p><b>All Meetings Held at AUT City Campus</b></p>			<p>Meeting 22/4</p> <p>Agenda Deadline 12/4</p>			<p>Meeting 22/7</p> <p>Agenda Deadline 12/7</p>				<p>Meeting 18/11</p> <p>Agenda Deadline 8/11</p>	
<p><b>Council Finance &amp; Audit Committee</b></p> <p><b>Mondays 2.30pm – 5pm</b></p> <p><b>All Meetings Held at AUT City Campus</b></p>	<p>Meeting 19/2</p> <p>Agenda Deadline 9/2</p>		<p>Meeting 22/4</p> <p>Agenda Deadline 12/4</p>	<p>Meeting 20/5</p> <p>Agenda Deadline 10/5</p>		<p>Meeting 22/7</p> <p>Agenda Deadline 12/7</p>		<p>Meeting 23/9</p> <p>Agenda Deadline 13/9</p>		<p>Meeting 18/11</p> <p>Agenda Deadline 8/11</p>	
<p><b>Council Meeting</b></p> <p><b>Mondays 3.30pm – 6pm</b></p>	<p>Meeting 26/2</p> <p>AGM: 3.30-3.45 FCM: 3.45 AUT City Campus</p> <p>Agenda Deadline 16/2</p>		<p>Meeting 29/4</p> <p>FCM AUT City Campus</p> <p>Agenda Deadline 19/4</p>	<p>Meeting 27/5</p> <p>FCM AUT Sth Campus</p> <p>Agenda Deadline 17/5</p>		<p>Meeting 29/7</p> <p>FCM AUT Nth Campus</p> <p>Agenda Deadline 19/7</p>		<p>Meeting 30/9</p> <p>FCM AUT City Campus</p> <p>Agenda Deadline 20/9</p>	<p>Meeting 21/10</p> <p>SCM AUT City Campus</p> <p>Agenda Deadline 11/10</p>		<p>Meeting 2/12</p> <p>FCM AUT City Campus</p> <p>Agenda Deadline 22/11</p>

FCM – Full Council Meeting / SCM – Special Council Meeting (As Required)



<b>PART A</b>	<b>13.3</b>
<b>OPEN AGENDA ITEM</b>	

## Council Agenda Paper

**Subject:** Appointments to the AUT Ethics Committee

**Date:** 24 July 2023

**Author:** Council Secretary

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### **RECOMMENDATION:**

*THAT COUNCIL:*

- *APPOINT EMERITUS PROFESSOR MARION JONES TO THE AUCKLAND UNIVERSITY OF TECHNOLOGY ETHICS COMMITTEE; AND*
- *SUBJECT TO CONFIRMATION BY THE ACADEMIC BOARD, APPOINT EMERITUS PROFESSOR PETER LINEHAM MNZM AS CHAIRPERSON OF AUTECH FOR A THREE-YEAR TERM*

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### **Background**

Professor Oram's recommendation for both positions is **enclosed**.

*Council's representative to the AUT Ethics Committee*

Dr Pat Alley currently sits on the AUT Ethics Committee (*AUTECH*) as Council's representative and his term has come to an end.

The Deputy Vice Chancellor- Research, Professor Mark Orams has recommended that Council appoint Emeritus Professor Marion Jones as Council's representative to AUTECH to replace Dr Alley.

Emeritus Professor Marion Jones was Dean of the Graduate Research School and was a Director of AUT's National Centre for Interprofessional Education & Collaborative Practice. In 2015, she was honoured by the New Zealand Nurses Organisation's, for her exemplary contribution to nursing, and in particular perioperative nursing both nationally and internationally.

### *Chair of AUTECH*

The Chair of AUTECH is appointed by AUT Council on the recommendation of Academic Board. On 31 July 2023, Academic Board will consider the recommendation made by the Deputy Vice Chancellor-Research, Professor Mark Orams to appoint Emeritus Professor Peter Lineham MNZM as Chairperson of AUTECH for a three-year term.

## Memorandum

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**To:** AUT Council

**From:** Professor Mark Orams, Deputy Vice Chancellor Research

**Cc:** Dr Andrea Vujnovich, Secretary AUT Council

**Subject:** Nomination of Chairperson, AUTECH and AUT Council Nominee on AUTECH

**Date:** 31 July 2023

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### 1. CHAIRPERSON, AUTECH

#### Background

- The Chair of AUTECH is **appointed by AUT Council on the recommendation of Academic Board**.
- The current AUTECH Chair, Associate Professor Katey Thom, resigned from the role in January 2023 and finished as Chair 31 March 2023.
- For the months of April - July 2023, AUTECH Deputy Chair, Associate Professor Barbara Myers has been serving as Acting Chair, AUTECH.
- The Terms of Reference for AUTECH (under 4.3) require that the Chairperson be appointed from the lay-membership of AUTECH. This requirement is derived from the [Health Research Council \(HRC\) guidelines for Institutional Ethics Committees](#) (such as AUTECH) which state that:

***“The ethics committee should have a lay Chairperson and a non-lay Deputy Chairperson.”***  
(Section 4.2 b).

- Additional requirements are that the Chairperson (as a lay-member of AUTECH):
  - has no affiliation to the institution that sponsors, funds, or conducts research reviewed by that committee, and
  - is not a registered health practitioner, and has not been a registered health practitioner at any time during the five years preceding in the date of their appointment, and
  - is not involved in conducting health or disability research, or employed by an organisation whose primary purpose relates to health and disability research, and
  - may not otherwise be construed by virtue of employment, profession, relationship or otherwise to have a potential conflict or bias with the work of the committee.
- The current lay-members of AUTECH are:
  - Dr Kate O’Connor
  - Yvonne Powley
  - Emeritus Professor Peter Lineham



## **RECOMMENDATION TO AUT COUNCIL**

### **1. That AUT Council appoint Emeritus Professor Peter Lineham MNZM as Chairperson of AUTECH (3 year term).**

Peter Lineham is an Emeritus Professor of Massey University (Albany campus) and meets all the above requirements. Furthermore, he is a senior and experienced social scientist (historian) with mana in his field. He is an experienced committee chair and a person of dignity, fairness and integrity.

I have confidence in his ability to serve as an effective chairperson of AUTECH and make a worthy contribution.

### **2. AUT COUNCIL NOMINEE, AUTECH**

#### **Background**

- AUT Council's current nominee on AUTECH is Dr Pat Alley. His second three year term on AUTECH ends in September 2023.
- AUT Council needs to select a replacement to serve as its nominee on AUTECH.
- There are no requirements that this nominee be a member (or former member of AUT Council).
- It is important that AUT Council's nominee can represent both the interests of Council, AUT and add value in terms of their experience and expertise on AUTECH.

## **RECOMMENDATION TO AUT COUNCIL**

### **2. That AUT Council appoint Emeritus Professor Marion Jones as its nominee on AUTECH (3 year term).**

Emeritus Professor Jones has a background as a health practitioner and a health researcher. In addition, as the former Dean of the AUT Graduate Research School she is experienced in postgraduate research supervision (around 50% of AUTECH applications are for postgraduate student research).

I have confidence in her ability to serve as a worthy nominee of AUT Council and make valuable contributions to AUTECH.

Ngā mihi

Mark Orams

## **FOR NOTING**

- The current membership of AUTECH can be found here:  
<https://www.aut.ac.nz/research/researchethics/autec#members>
- The terms of reference for AUTECH can be found here:  
<https://www.aut.ac.nz/research/researchethics/guidelines-and-procedures#3>